

**Energy Research and Development Division  
FINAL PROJECT REPORT**

# **Ventilation and Indoor Air Quality in New California Homes with Gas Appliances and Mechanical Ventilation**

Prepared for: California Energy Commission  
Prepared by: Lawrence Berkeley National Laboratory



MONTH YEAR  
CEC-500-YYYY-XXX

**PREPARED BY:**

***Primary Author(s):***

Wanyu R. Chan  
Yang-Seon Kim  
Brennan D. Less  
Brett C. Singer  
Iain S. Walker

Lawrence Berkeley National Laboratory  
1 Cyclotron Road  
Berkeley, CA 94720  
Phone: 510-486-6570  
<http://www.indoor.lbl.gov>

***Contract Number: PIR-14-007***

***Prepared for:***

**California Energy Commission**

Yu Hou  
***Contract Manager***

XXXXXXXXXXXX  
***Office Manager***  
***Energy XXXXXXXX Research Office***

Laurie ten Hope  
***Deputy Director***  
***ENERGY RESEARCH AND DEVELOPMENT DIVISION***

Robert P. Oglesby  
***Executive Director***

**DISCLAIMER**

This report was prepared as the result of work sponsored by the California Energy Commission. It does not necessarily represent the views of the Energy Commission, its employees or the State of California. The Energy Commission, the State of California, its employees, contractors and subcontractors make no warranty, express or implied, and assume no legal liability for the information in this report; nor does any party represent that the uses of this information will not infringe upon privately owned rights. This report has not been approved or disapproved by the California Energy Commission nor has the California Energy Commission passed upon the accuracy or adequacy of the information in this report.

## **DISCLAIMER**

This document was prepared as an account of work sponsored by the United States Government. While this document is believed to contain correct information, neither the United States Government nor any agency thereof, nor The Regents of the University of California, nor any of their employees, makes any warranty, express or implied, or assumes any legal responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by its trade name, trademark, manufacturer, or otherwise, does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof, or The Regents of the University of California. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States Government or any agency thereof, or The Regents of the University of California.

Ernest Orlando Lawrence Berkeley National Laboratory is an equal opportunity employer.

## **COPYRIGHT NOTICE**

This manuscript has been authored by an author at Lawrence Berkeley National Laboratory under Contract No. DE-AC02-05CH11231 with the U.S. Department of Energy. The U.S. Government retains, and the publisher, by accepting the article for publication, acknowledges, that the U.S. Government retains a non-exclusive, paid-up, irrevocable, worldwide license to publish or reproduce the published form of this manuscript, or allow others to do so, for U.S. Government purposes.

## ACKNOWLEDGEMENTS

This work was supported primarily by the California Energy Commission through Contract PIR-14-007. Additional support was provided by the Department of Energy under Contract DE-AC02-05CH11231. The Southern California Gas Company (SoCalGas) provided direct financial support to the Gas Technology Institute (GTI) to purchase equipment and to conduct field data collection. Staff support was contributed by the Pacific Gas & Electric Company (PG&E) which funded Misti Bruceri & Associates (MBA) to provide a staff person to support the study, and by SoCalGas, which allocated engineering and technical staff to contribute to the field work in SoCalGas service territory under GTI direction. SoCalGas and PG&E also supported the project by allocating Gas Service Technicians to conduct gas appliance safety inspections in study homes.

The data presented in this report would not exist without the committed work of the field research teams in PG&E and SoCalGas service territories; the authors are deeply appreciative of their efforts. The field work for this project was conducted by Luke Bingham, Erin Case, and Shawn Scott of GTI; Guy Lawrence of MBA; and Eric Barba, Mary Nones, Ara Arouthinounian, and Ricardo Torres of SoCalGas; Randy Maddalena and Woody Delp of LBNL. Rick Chitwood also assisted with field data collection and provided guidance on measuring airflow rates in supply ventilation systems.

The authors would like to thank Max Sherman (now retired from LBNL) who was instrumental in project development and planning, was the original Principal Investigator for this project and provided valuable guidance and support. The authors note with appreciation the following contributions. Genese Scott of SoCalGas helped with online survey recruitment. Marion Russell of LBNL assisted with chemical analysis of samples. Xiong Mei, a visiting doctoral researcher at LBNL assisted with quality assurance review and cleaning of time-resolved pollutant data. Taylor Lyon, a student intern with support from Department of Energy, Office of Science, Office of Workforce Development for Teachers and Scientists (WDTS) under the Science Undergraduate Laboratory Internship (SULI) program. Ji Gao, Samir Anbri, and Hao Fu, summer interns from Institut National Des Sciences Appliquees (INSA) Lyon contributed to preliminary data assessment. Kelly Perce developed online forms to enable easy entry of survey and activity log data from paper forms. The CalCERTS and CHEERS organizations provided Title 24 compliance records for many of the study homes. Neil Leslie, Larry Brand, and Rob Kamisky of GTI provided management support.

The authors thank Yu Hou at the Energy Commission for managing this project and Marla Mueller (retired) for helping to establish the project. Additional thanks go to Marshall Hunt of PG&E and Todd Sostek of SoCalGas for leading the efforts within their organizations to support the project.

The authors also thank the following members of the project advisory committee for their advice and reviews of draft documents: Bill Pennington, Brent Stephens, Eric Werling, Gregg Arney, Marla Mueller, Marshall Hunt, Maziar Shirakh, Michael Blanford, Mike Hodgson, Peggy Jenkins, Robert Raymer, Sarah Widder, Sarany Singer, Scott Kysar, Todd Sostek.

## PREFACE

The California Energy Commission Energy Research and Development Division supports public interest energy research and development that will help improve the quality of life in California by bringing environmentally safe, affordable, and reliable energy services and products to the marketplace.

The Energy Research and Development Division conducts public interest research, development, and demonstration (RD&D) projects to benefit California.

The Energy Research and Development Division strives to conduct the most promising public interest energy research by partnering with RD&D entities, including individuals, businesses, utilities, and public or private research institutions.

Energy Research and Development Division funding efforts are focused on the following RD&D program areas:

- Buildings End-Use Energy Efficiency
- Energy Innovations Small Grants
- Energy-Related Environmental Research
- Energy Systems Integration
- Environmentally Preferred Advanced Generation
- Industrial/Agricultural/Water End-Use Energy Efficiency
- Renewable Energy Technologies
- Transportation

*Ventilation and Indoor Air Quality in New California Homes with Gas Appliances and Mechanical Ventilation* is the final report for the Healthy Efficient New Gas Homes (HENGH) project (contract number PIR-14-007) conducted by Lawrence Berkeley National Laboratory. The information from this project contributes to Energy Research and Development Division's Energy Research and Development Division's Buildings End-Use Energy Efficiency Program.

For more information about the Energy Research and Development Division, please visit the Energy Commission's website at [www.energy.ca.gov/research/](http://www.energy.ca.gov/research/) or contact the Energy Commission at 916-327-1551.

## ABSTRACT

Substantial energy is used to condition the air that enters California homes through leaks in the building envelope and ductwork - typically about a third of all heating and cooling. Reducing this through air sealing is essential to California achieving zero energy homes. However, this outdoor air also dilutes pollutants emitted inside homes and contributes to a healthy indoor environment and acceptable indoor air quality (IAQ). To address this IAQ issue, California's Title 24 Building Standards have required mechanical ventilation in new homes since 2008. This report presents a comprehensive study of the impacts of these requirements in recently constructed homes with natural gas appliances. The study included a survey about satisfaction and activities that impact IAQ; a field study of homes built to 2008 or later; and simulations assessing how various ventilation rates would impact chronic exposures to an indoor emitted pollutant as air tightness improves in California. The report focuses on the field study; the web-based survey and simulation elements are described in appendices.

The field study characterized 70 homes built between 2011 and 2017. Each home was monitored over roughly one week with the dwelling unit mechanical ventilation system operating and windows closed. Pollutant measurements included time-resolved fine particulate matter (PM<sub>2.5</sub>) indoors and outdoors, and formaldehyde, nitrogen dioxide (NO<sub>2</sub>), and carbon dioxide (CO<sub>2</sub>) indoors. Time-integrated measurements were made for formaldehyde, NO<sub>2</sub> and nitrogen oxides (NO<sub>x</sub>) indoors and outdoors at all homes. Activity monitoring devices were installed on the cooktop, range hood and other exhaust fans, and the heating and cooling system. The field study found that most homes met most ventilation requirements and the dwelling unit ventilation fans on average moved 50% more airflow than the minimum specified in Title 24. Air pollutant concentrations were similar or lower than those reported in a study of recent construction California new homes conducted in 2007-08. Notably, the median formaldehyde level was 38% lower than in the prior study. Measured concentrations were below health guidelines for most pollutants, indicating that IAQ is acceptable in new California homes when dwelling unit mechanical ventilation is used. However, the dwelling unit mechanical ventilation fans were only operating in one quarter of the homes when first visited and the control switches in many homes did not have informative labels as required by the standards. Corrective action needs to be taken to improve labeling and controls for ventilation systems.

**Keywords:** Airtightness, Cooking, Formaldehyde, Healthy buildings, Nitrogen dioxide, Particulate matter, Range hood, Title 24

Please use the following citation for this report:

Chan, Wanyu R.; Kim, Yang-Seon; Less, Brennan B.; Singer, Brett C.; Walker, Iain S. (Lawrence Berkeley National Laboratory). 2018. *Ventilation and Indoor Air Quality in New California Homes with Gas Appliances and Mechanical Ventilation*. California Energy Commission. Publication number: CEC-500-YYYY-XXX.

# TABLE OF CONTENTS

<b>Acknowledgements .....</b>	<b>ii</b>
<b>PREFACE .....</b>	<b>iii</b>
<b>ABSTRACT .....</b>	<b>iv</b>
<b>TABLE OF CONTENTS.....</b>	<b>v</b>
<b>LIST OF FIGURES .....</b>	<b>viii</b>
<b>LIST OF TABLES .....</b>	<b>x</b>
<b>EXECUTIVE SUMMARY .....</b>	<b>1</b>
Introduction.....	1
Project Purpose .....	1
Methods .....	2
Project Results .....	2
Project Benefits.....	4
<b>CHAPTER 1: Introduction.....</b>	<b>5</b>
1.1 HENGH Study Overview .....	5
1.2 Prior Studies of Ventilation and IAQ in New California Homes.....	6
1.2.1 Mailed Survey of Ventilation Behavior and Household Characteristics .....	6
1.2.2 Field Study of Ventilation and IAQ in California Homes Built 2002–2004.....	6
1.2.3 Field Studies of Mechanical Ventilation System Performance .....	8
1.3 Title 24 Ventilation Requirements .....	8
1.4 HENGH Field Study Objectives .....	9
1.5 Simulation Study Objectives .....	10
<b>CHAPTER 2: Methods.....</b>	<b>12</b>
2.1 Field Study Overview.....	12
2.1.1 Overview of Data Collection Approach in Homes .....	12
2.1.2 Research Team.....	13
2.1.3 Eligibility .....	14
2.1.4 Recruitment.....	14

2.1.5	Screening and Selection.....	15
2.2	Field Data Collection Procedures .....	16
2.2.1	House, Mechanical Equipment and Appliance Characterization .....	16
2.2.2	DeltaQ Test to Determine Air Leakage of Envelope and Forced Air System.....	16
2.2.3	Measurement of Ventilation Equipment Airflows .....	17
2.2.4	Equipment Usage Monitoring.....	18
2.2.5	Air Quality Measurements .....	18
2.3	Assessing Title 24 Fan Sizing and Airtightness Requirements for New California Homes using Simulations.....	25
2.3.1	IAQ and Relative Exposure .....	26
2.3.2	Airtightness, IAQ and Energy Consumption.....	26
2.3.3	Simulation Tool .....	27
<b>CHAPTER 3:</b>	<b>Results .....</b>	<b>31</b>
3.1	Characteristics of Field Study Homes .....	31
3.1.1	House Characteristics .....	31
3.1.2	Household Demographics .....	36
3.1.3	Understanding of Mechanical Ventilation System Operation.....	38
3.1.4	Self-Reported Window Use Under Typical Conditions .....	40
3.2	Envelope and Duct Leakage .....	41
3.3	Mechanical Ventilation System Characteristics and Flows.....	46
3.3.1	Dwelling unit Mechanical Ventilation .....	46
3.3.2	Kitchen Range Hood.....	56
3.3.3	Bathroom Exhaust Fan .....	58
3.3.4	Mechanical and Total Ventilation Rate .....	59
3.3.5	Air Filters in Central Forced Air Systems.....	63
3.3.6	Standalone Air Cleaners.....	64
3.4	Occupancy and Activity.....	65
3.4.1	Self-Reported Window Use During Monitoring .....	66
3.4.2	Monitored Exterior Door Opening .....	67



3.4.3	Self-Reported Cooking and Other Activities.....	68
3.5	Air Quality Measurements .....	69
3.5.1	Formaldehyde.....	69
3.5.2	Fine Particulate Matter (PM2.5) .....	76
3.5.3	Nitrogen Oxides (NOX) and Nitrogen Dioxide (NO2).....	80
3.5.4	Carbon Dioxide (CO2).....	84
3.5.5	Temperature and Relative Humidity .....	86
3.6	Fan Sizing and Air Tightness Requirements from the Simulation Study .....	86
<b>CHAPTER 4: Conclusions and Recommendations.....</b>		<b>89</b>
	Conclusions .....	89
	Recommendations .....	91
<b>GLOSSARY .....</b>		<b>93</b>
<b>REFERENCES .....</b>		<b>95</b>
<b>APPENDIX A: IAQ Survey Results from the Healthy, Efficient, New Gas Homes Study.....</b>		<b>1</b>
<b>APPENDIX B: Title 24 Fan Sizing and Airtightness Requirements for New California Homes .....</b>		<b>2</b>
<b>APPENDIX C: Healthy Efficient New Gas Homes (HENGH) Pilot Test Results .....</b>		<b>3</b>
<b>APPENDIX D: Daily Activity Log and Occupant Survey .....</b>		<b>4</b>

## LIST OF FIGURES

Figure 1. Examples of air quality monitors.	20
Figure 2: Sampled Homes Locations	31
Figure 3: Envelope Leakage Measured by DeltaQ Test	42
Figure 4: Distribution of ACH50 from Envelope Leakage Measurements	43
Figure 5: Comparison of Envelope Leakage Reported in Title 24 Compliance Records and Measured by DeltaQ Test	44
Figure 6: Duct Leakage Measured by DeltaQ Test	45
Figure 7: Supply Ventilation Filters	47
Figure 8: Continuous Supply Fan Control	48
Figure 9: Central Fan Integrated System	48
Figure 10: Continuous exhaust ventilation controlled at breaker panel in one home	49
Figure 11: Continuous exhaust ventilation provided by a fan in attic	50
Figure 12: Dwelling unit Ventilation Fan Flow Rate	51
Figure 13: Rated and Measured Fan Flow Rate of Dwelling unit Exhaust Ventilation	52
Figure 14: Dwelling unit Ventilation System Label	54
Figure 15: Programmable Controller Used to Control Exhaust Ventilation in Bathrooms	55
Figure 16: Programmable Controller Used to Control Exhaust Ventilation in Laundry Room	56
Figure 17: Bathroom Exhaust Fan Measured Flow Rates	59
Figure 18 Mechanical and Total Ventilation Airflow Rate	61
Figure 19 Total Estimated Air Exchange Rate	62
Figure 20: Comparison of HENGH and CNHS Passive Formaldehyde Measurements	70
Figure 21: One-Week Integrated Formaldehyde Measured with Passive Samples: Comparison of Concentrations at Bedroom and Central (Main) Indoor Locations	72
Figure 22: One-Week Integrated Formaldehyde Measured With Passive Samplers at Two Indoor Locations, Ordered by Concentration at Central (Main) Site	73
Figure 23: One-Week Integrated Indoor Formaldehyde Concentrations from Time-Resolved Monitor	74
Figure 24: Comparison of Passive and Time-Resolved Formaldehyde Measurements	75

Figure 25: PM <sub>2.5</sub> Adjustment Factors Calculated from Filter Measurements	77
Figure 26: One-Week Average PM <sub>2.5</sub> Concentrations	79
Figure 27: Indoor/Outdoor PM <sub>2.5</sub> Ratio	80
Figure 28: Comparison of HENGH and CNHS One-Week Integrated NO <sub>2</sub> Measurements	81
Figure 29: One-Week Integrated NO <sub>2</sub> , NO, and NO <sub>x</sub> Concentrations	82
Figure 30: One-Week Integrated NO <sub>2</sub> Indoor Concentrations from Passive Samples	83
Figure 31: CO <sub>2</sub> Measurements in indoor main living space and bedrooms	84
Figure 32: Overnight (midnight-5am) CO <sub>2</sub> Measurements in Indoor Main Living Space and Bedrooms	85
Figure 33: Overnight (midnight-5am) CO <sub>2</sub> Measurements in Indoor Main Living Space and Master Bedroom	86

## LIST OF TABLES

Table 1. Measured Air Quality Parameters .....	20
Table 2. Specifications of air pollutant monitoring equipment .....	21
Table 3: Sampled Homes by Cities and Climate Zones (N=74).....	32
Table 4: Sampled Homes by Seasons .....	32
Table 5: Sampled Homes by Year Built.....	33
Table 6: Sampled Homes by Number of Bedrooms .....	33
Table 7: Sampled Homes by Number of Bathrooms.....	34
Table 8: Sampled Homes by Number of Stories .....	34
Table 9: Sampled Homes by Floor Area .....	34
Table 10: Age of Homes When Sampled .....	35
Table 11: Appliance Fuel Use in Sampled Homes.....	35
Table 12: Number of Occupants in Sampled Homes .....	36
Table 13: Number of Occupants in Sampled Homes by Age Group.....	36
Table 14: Education Level of Head of Household in Sampled Homes .....	37
Table 15: Total Household Income in Sampled Homes .....	37
Table 16: Responses to Survey Question: Are you the first owner of the property?.....	38
Table 17: Answer to Survey Question: Do you feel you understand how to operate your mechanical ventilation system properly? .....	38
Table 18: Answer to Survey Question: Was the operation of the mechanical ventilation system explained to you when you bought or moved into the home? .....	38
Table 19: Comparison of survey responses from field study with results from HENGH survey	40
Table 20: Self-Reported Window Use in Sampled Homes .....	41
Table 21: Dwelling unit Ventilation System Type .....	46
Table 22: Measured Airflow in Bathrooms Connected to a Single Continuous Exhaust Fan in Attic.....	50
Table 23: Dwelling unit Ventilation System Control .....	53
Table 24: Measured Kitchen Range Hood Fan Flow (cfm).....	57

Table 25: Fan Speed Settings at Which Range Hoods and Over-the-Range Microwave Exhaust Fans Moved at Least 100 cfm, as Required by Title 24. ....	57
Table 26: Rated and Measured Performance of HVI-Rated Range Hoods and Over-the-Range Microwave Exhaust Fans. ....	58
Table 27: Number of Air Filters Characterized Per Home .....	63
Table 28: Air Filter MERV Ratings.....	63
Table 29: Time Since Last Air Filter Change .....	64
Table 30: Condition of Air Filters Observed by Field Team .....	64
Table 31: Use of Standalone Air Cleaners in Homes With/out Occupants Diagnosed with Asthma or Allergies.....	64
Table 32: Placement of Standalone Air Cleaners .....	65
Table 33: Self-Reported Average Occupancy (Number of People) When Home Was Occupied. ....	65
Table 34: Self-Reported Average Number of Occupied Hours per Day During One-Week Monitoring .....	66
Table 35: Self-Reported Window Use (Number of Times) During One-Week Monitoring Period .....	66
Table 36: Self-Reported Window Use (Total Length of Time) During One-Week Monitoring Period.....	67
Table 37: Average Duration of Door Opening Per Day During Monitoring Week.....	67
Table 38: Self-Reported Cooktop Use (Number of Times) During Monitoring Week.....	68
Table 39: Self-Reported Oven and Outdoor Grill Use During Monitoring Week .....	68
Table 40: Self-Reported Average Duration of Cooking Activities During One-Week Monitoring .....	69
Table 41: Comparison of HENGH and CNHS Passive Formaldehyde Measurements.....	70
Table 42: Comparison of Time-Integrated Formaldehyde Measurements Using UMEx-100 Samplers and Gray-Wolf FM-801 Monitors .....	74
Table 43: PM <sub>2.5</sub> Adjustment Factor Using Filter Measurements .....	76
Table 44: Comparison of HENGH and CNHS PM <sub>2.5</sub> Measurements.....	78
Table 45: Comparison of HENGH and CNHS One-Week Integrated NO <sub>2</sub> Measurements .....	80
Table 46: Comparison of HENGH and CNHS CO <sub>2</sub> Measurements.....	84

# EXECUTIVE SUMMARY

## Introduction

Many California homes waste energy to condition excessive outdoor air that enters via uncontrolled infiltration through the building envelope. Though energy inefficient, outdoor air infiltration has traditionally served to dilute indoor-generated air pollutants. Thus, while reducing infiltration saves energy, these measures also increase the risk of negative health impacts as indoor air pollutant concentrations and exposures could increase. Previous California Energy Commission research studies found that windows are not a reliable source of ventilation, measured ventilation rates in many homes were below target minimum levels and formaldehyde and PM<sub>2.5</sub> (particulate matter with aerodynamic diameter less than 2.5 micrometers) exceeded health guidelines.

In 2008, ventilation requirements were added to the California Title 24 Building Energy Efficiency Standards (Title 24) to address adverse impacts that could potentially result from air sealing envelopes to reduce air infiltration. Previous work in California has highlighted contaminants of concern and documented their levels, but this was done in homes that were built before building standards required dwelling unit mechanical ventilation. Prior to the study reported here, it was not known if the ventilation requirements resulted in acceptable levels of contaminants or how the ventilation requirements are being met in the state. The study reported here was designed to measure the indoor air quality (IAQ) in California homes built to meet these requirements and to determine if the requirements are having the desired effect: i.e., ensuring acceptable IAQ for California residents. In addition to IAQ measurements collected over a one-week period, the study measured installed ventilation system operation characteristics together with other home parameters related to airflows between the house and outside, such as envelope and duct leakage. The field study also collected data about ventilation practices and indoor air quality and comfort satisfaction of the home's occupants. The field study focused on homes with natural gas appliances with gas service provided by California's investor-owned utilities. The field study obtained data from 70 homes. Prior to the field study, the project implemented a web-based survey to obtain data on IAQ satisfaction and ventilation practices in a much larger sample of homes. The web-based survey aimed to collect data from homes built both before and after the 2008 standards, starting with homes built in 2002; but mostly obtained data from homes built before the 2008 Standards were in effect. Participants in the field study homes also completed the survey. Another major element of the project was a simulation-based analysis of potential energy benefits and indoor air quality implications of reducing infiltration and modifying ventilation requirements. The body of this report focuses on field study data and analysis. The survey and simulation studies are described in appendices.

## Project Purpose

The Healthy Efficient New Gas Homes (HENGH) project aimed to study the impacts of new home mechanical ventilation requirements included in the 2008 Title 24 Building Energy Efficiency Standards. The goals of the HENGH project were: (a) to assess whether the

mechanical ventilation systems that have been required starting with California's 2008 Title 24 Building Standards are effectively providing acceptable IAQ, and (b) to provide recommendations on how to achieve adequate ventilation while reducing infiltration and associated energy consumption.

## Methods

A field study protocol was designed and overseen by Lawrence Berkeley National Laboratory (LBNL) and LBNL conducted all data analysis. The study included measurements of indoor air quality (IAQ), home characteristics, mechanical ventilation, and occupant activities in 70 occupied new California homes with natural gas appliances. The IAQ measurements were performed over a period of one week and included time-resolved concentrations of particulate matter (PM<sub>2.5</sub>), nitrogen dioxide (NO<sub>2</sub>), carbon dioxide (CO<sub>2</sub>), and formaldehyde, together with time-integrated concentrations of formaldehyde, NO<sub>2</sub> and total nitrogen oxides (NO<sub>x</sub>); the concentration of NO was estimated as the difference between NO<sub>x</sub> and NO<sub>2</sub>. Diagnostic tests were conducted to measure air leakage of the envelope and heating and cooling duct systems and the airflows of all ventilation fans including those used to satisfy local exhaust in kitchens and bathrooms. Occupant activities were monitored for cooking, use of range hood and other exhaust fans.

HENGH field teams, one led by the Gas Technology Institute (GTI) with technical support from the Pacific Gas & Electric Company (PG&E) and the other comprising Southern California Gas Company (SoCalGas) researchers and gas service technicians working under GTI guidance, completed field data collection in 70 homes (48 homes in PG&E territory, and 22 homes in SoCalGas territory), between July 2016 and April 2018. LBNL obtained human subject approval for this study, recruited study homes, provided technical oversight of data collection, and performed data analysis. LBNL also performed chemical analysis of all time-integrated formaldehyde and NO<sub>2</sub>/NO<sub>x</sub> samples, and quantification of PM filters.

## Project Results

The web-based survey results from 2648 respondents indicate that the homes sampled in the field study were typical of new California homes in terms of house size and occupancy. About 90% of occupants rated IAQ neutral or better and were generally more satisfied with IAQ than outdoor air quality. Other key results from the web-based survey include the following: range hoods that were vented to outside were used more often than recirculating hoods (suggesting that occupants are aware of the difference in efficacy of these devices) and while most occupants are satisfied with IAQ, there are some indications that increased bathroom exhaust venting and fewer occupants are correlated to reductions in complaints of mustiness/odor. In addition, households with sensitive occupants (at least one person diagnosed with asthma or allergy; all answers are self-reported) were much more likely to use air cleaning devices. Homes with mechanical ventilation system that the survey respondents identified as providing fresh air are correlated with higher IAQ satisfaction.

Most of the field study homes (N=55, out of 70) met the dwelling unit ventilation requirement with a continuous exhaust fan that was either in the laundry room or a bathroom. Three homes

— all in the same development — used a continuous exhaust fan in the attic that was connected to all three bathrooms to meet both the dwelling unit and local exhaust ventilation requirements. The other dwelling unit mechanical ventilation system identified were intermittent exhaust fan(s) with operation interval controller (N=9), supply fans connected to the central forced air system operating continuously (N=4), and supply ventilation provided intermittently by central fan integrated system with a motorized damper (N=2). In most cases, the measured airflow of the exhaust fan exceeded the required dwelling unit ventilation needs. However, the field teams found the dwelling unit mechanical ventilation system operating in only one in four homes during the first visit. The systems were not operated because occupants were unaware that the system existed and did not understand the control that was typically not labeled. Only 12 homes had a label that identified the control switch for the dwelling unit mechanical ventilation system. Field teams also found that fan runtime was set to run intermittently in half of the homes with a programmable controller. In the two homes where the thermostat is used as the controller, the fan was turned off in both cases.

The kitchen ventilation equipment in many homes appears to meet most but not all of the Title 24 requirements: moving  $\geq 100$  cfm at a setting with a certified sound rating of  $\leq 3$  sones. While most homes had a range hood or over-the-range microwave exhaust fan (OTR) that met the 100 cfm minimum airflow requirement, many of the range hoods and most of the OTRs did so only at medium or high speed that is often louder than 3 sones, and some OTRs did not meet the airflow requirement even at the highest speed setting. An important caveat to this finding is that the OTR airflows could be biased low based on the measurement method, which required taping over the air inlets provided at the front top of some OTRs.

Comparisons of indoor formaldehyde,  $\text{NO}_2$ , and  $\text{PM}_{2.5}$  with a prior study of new homes in California (conducted in 2007-08) suggest that contaminant levels are lower in recently built homes. California's regulation to limit formaldehyde emissions from composite wood products appears to have substantially lowered its emission rate and concentration in new homes. Formaldehyde levels are still above California guidelines, but lower than other national and international guidelines. Lower outdoor  $\text{PM}_{2.5}$  can only explain part of the substantially lower indoor  $\text{PM}_{2.5}$  levels measured in the HENGH study compared to the prior study. Other contributors to lower indoor  $\text{PM}_{2.5}$  are the use of higher efficiency air filters in central forced air systems (MERV8 or better in almost all homes and MERV11 or better in about a quarter of homes); filtration of outdoor particles by the building envelope, as occurs when ventilation is provided with an exhaust fan; and possibly lower particle emission rates inside the home. The finding of relatively low time-averaged  $\text{NO}_2$  concentrations in this study is significant, given that all HENGH homes had natural gas cooking appliances. It suggests that the mechanical ventilation systems in HENGH homes may be contributing to lower  $\text{NO}_2$ .  $\text{CO}_2$  concentrations were highest overnight in bedrooms. Indoor  $\text{CO}_2$  concentrations measured in the main living space were not substantially different from the prior study.

Our results suggest that unless occupant pollutant exposure is allowed to increase by 5-10% relative to target rates, then an airtightness limit will have very marginal savings of roughly 1% of annual HVAC energy. If exposure is allowed to increase (by about 5-24%), then savings of 3-5% are possible through airtightening. On average, the adopted 2019 fan sizing method for Title



24 performed similarly to ASHRAE 62.2-2016 method under current airtightness conditions. The 2019 Title 24 fan sizing method gave weighted average exposure very near to 1.0 under both current and hypothetical airtightened scenarios, though exposure would increase roughly 5% under a hypothetical airtightness requirement in the energy code. The 2019 Title 24 fan sizing approach was found to give consistent results for occupant exposure across a wide range of climates and airtightness with the exception that it over-ventilates leaky homes (3 and 5 ACH50), with increased site energy consumption ranging from 70 to, 1,400 kWh/year. The other Title 24 fan sizing methods from 2008 and 2013 did not have this consistency, and had exposures 30-40% worse than the 2019 Title 24 method.

When the energy savings are normalized to give the same exposure the weighted average energy savings were reduced to less than 1% for all fan sizing methods. In practice, the effects of the higher minimum mechanical airflow requirement on home energy use may be less than the estimates presented above because the field study found that many recently constructed homes already have ventilation equipment that would meet the new fan sizing requirements.

## **Project Benefits**

The field study of 70 homes that were built to meet the 2008 Title 24 mechanical ventilation requirements found acceptable indoor air quality in the homes when the mechanical systems were operating and windows were generally closed. Therefore, we conclude that these, or similar requirements should continue to be included in Title 24 to ensure healthy indoor environments for California ratepayers. The finding that roughly three quarters of the homes did not have their ventilation systems operating and many of those homes did not have code-required labels on ventilation controllers suggests that indoor air quality may not be adequately protected in many homes. Corrective actions to mediate the widespread prevalence of non-operation of mechanical ventilation in new homes will benefit occupants by reducing their exposure to indoor generated pollutants. At a minimum, the requirement to label switches controlling ventilation systems needs to be enforced. Even better would be to have a standardized label used in all homes in the state and indicators to show system operation.

There is little energy benefit associated with implementing a maximum air leakage requirement for new California homes on a statewide basis, unless exposure to indoor generated contaminants is allowed to increase by 5-10%. Estimated energy savings were higher in climate zones (CZ1 and CZ16) with the harshest weather, but the number of new homes being constructed in those climate zones is small compared to other parts of California.

# CHAPTER 1:

## Introduction

### 1.1 HENGH Study Overview

The Healthy Efficient New Gas Homes (HENGH) project aimed to study the impacts of new home mechanical ventilation requirements included in the 2008 Title 24 Building Standards (CEC, 2008). The ventilation requirements were added to the standards to address adverse impacts that could potentially result from air sealing envelopes to reduce infiltration and improve energy efficiency. The field study component of the project aimed to characterize installed ventilation system designs and rated airflows, to measure airflows, and to monitor ventilation equipment use and indoor air quality (IAQ) over a one-week period in a diverse sample of homes built to meet the 2008 or subsequent versions of the standards. The field study also collected data about ventilation practices and indoor air quality and comfort satisfaction of the home's occupants. The field study obtained data from 70 homes with natural gas appliances and service provided by one of California's investor-owned gas utilities.

Many California homes, including some that have been built in recent decades, waste energy to condition excessive outdoor air that enters via uncontrolled infiltration through the building envelope. Air leakage to and from forced air heating and cooling system ducts in unconditioned attics and garages results in additional energy losses. Though energy inefficient, the infiltration of outdoor air has traditionally served to dilute air pollutants emitted inside the building. Thus, while reducing infiltration and duct leakage saves energy, these measures also increase the risk of negative health impacts as indoor air pollutant concentrations and exposures could increase.

Starting in the mid-2000s, the California Energy Commission funded several research studies (e.g., Price et al., 2007, and Offerman, 2009) that aimed to evaluate the potential IAQ impacts associated with envelope air sealing, and the potential to mitigate these through the use of mechanical ventilation systems. These studies found (a) that a majority of the households in new California homes reported not opening windows regularly for ventilation in some seasons, and a substantial minority of households reported not using windows to ventilate during any season; (b) that actual, measured ventilation rates in many homes were below target minimum levels; and (c) that the median measured formaldehyde concentration across study homes was four times the chronic reference exposure level set by the California Office of Environmental Health Hazard Assessment (OEHHA).

To address this issue, the 2008 California Building Energy Efficiency Standards<sup>1</sup> included requirements for mechanical ventilation to maintain acceptable IAQ, and ventilation requirements have been included in all subsequent versions of the standard. The first ventilation requirement was based on a version of ASHRAE Standard 62.2 that was specifically

---

<sup>1</sup> In this document we use the term "Title 24" to refer to California Title 24, Part 6, Building Energy Efficiency Standards.

developed for California and set a minimum continuous mechanical airflow along with an option to ventilate intermittently at rates determined to provide equivalent dilution of indoor sources. The standards also include requirements for kitchen and bathroom ventilation.

The Energy Commission funded the HENGH study to evaluate the impacts of the mechanical ventilation system requirements that started in 2008. The intent was for HENGH results to inform considerations of ventilation requirements as California transitions to a building standard requiring all new homes to be zero net energy.

## **1.2 Prior Studies of Ventilation and IAQ in New California Homes**

### **1.2.1 Mailed Survey of Ventilation Behavior and Household Characteristics**

In the mid-2000s, the Energy Commission funded, via contract CEC-500-02-023, a study of ventilation behaviors, IAQ perceptions, and related household characteristics in recently built California homes. The study, reported in Price and Sherman (2006) and Price et al. (2007), had the following objectives:

- Determine how occupants use windows, doors and mechanical ventilation
- Determine occupants perceptions of and satisfaction with IAQ in their homes
- Determine the relationships among ventilation practices, perceived IAQ and house and household characteristics
- Determine barriers that prevent or inhibit the use of windows, doors, and mechanical ventilation systems.

The study was conducted using a paper survey form that was mailed to a statewide, stratified random sample of 4972 single-family detached homes in 2003 with 1448 responses received. The data were supplemented with 67 completed interviews from a “builder” (convenience) sample of 230 houses known to have mechanical ventilation systems. The data from the sample were analyzed for the entire state and also by region; associations between behaviors and household characteristics were investigated.

The results of this study showed that window opening was not a reliable method to ventilate homes. Windows were not used for a wide range of reasons including inclement outdoor weather, noise and security issues. Even among homes that did open windows, the use was generally sporadic and inconsistent.

### **1.2.2 Field Study of Ventilation and IAQ in California Homes Built 2002–2004**

As a follow-up to the mailed survey, the Energy Commission and Air Resources Board jointly supported a field study of ventilation and IAQ performance in recently built California homes as described in Offermann (2009). Throughout this report the Offermann study is referred to as the California New Home Study or CNHS. The CNHS characterized ventilation equipment and relevant performance aspects of the home – such as envelope air leakage and garage to house air leakage – and measured air exchange rates, ventilation equipment use, and a suite of IAQ

parameters over a 24-h period in each home. The CNHS used the mailed survey database from the earlier mail out survey and supplementary procedures to recruit 108 homes, with most built in 2002-2004. At the time of the research team visits in the summer of 2007 through winter 2008, the homes ranged in age from 1.7 to 5.5 years. The study measured CO<sub>2</sub>, CO, temperature, and relative humidity with time resolution. Formaldehyde, acetaldehyde, and 20 other volatile organic compounds (VOCs) were measured in 24-h integrated samplers inside all homes and outside of 40 homes. Measurements of time-integrated PM<sub>2.5</sub> and NO<sub>2</sub> were made inside 29 homes and outside at 11 homes. Time-integrated air exchange rates were measured in all homes over the 24-h sampling period and in a subset of 21 homes over a two-week period. Use of windows and ventilation equipment was monitored over a weeklong period in almost all study homes. Twenty of the homes were visited in both summer and winter seasons. Day-to-day variability was assessed by measurements conducted on three successive days in four of the study homes.

The air exchange rate (AER) of a home describes the rate of airflow in and out of the home as a fraction of the volume of air in the house. For the CNHS, the median AER was 0.26/h (i.e., about one quarter of the air in the home was exchanged with outside each hour) among the 107 homes with data from the main monitoring day and 0.24/h for the 21 homes with AER measured over a 2-week period. Approximately 2/3 of the homes had air exchange rates below the implicit target of 0.35/h. Thirty-two percent of study homes had no window or door use for ventilation during the 24-h monitoring period and 15% had no use during the preceding week. There were a total of 48 seasonal measurements (winter and/or summer) for 26 homes that had provided data through the prior mailed survey. In 52% of homes, the actual week-average window use exceeded the high end of the usage estimated during the survey. And in another 10% of cases, there was measured usage in homes that estimated no use of windows.

The two contaminants with measured indoor air concentrations that exceeded health guidelines were formaldehyde and PM<sub>2.5</sub>. Indoor formaldehyde concentrations exceeded the OEHHA chronic reference exposure level (CREL) of 9 µg/m<sup>3</sup> in 98% of study homes and the median level of 36 µg/m<sup>3</sup> was four times the OEHHA CREL. While none of the homes had indoor PM<sub>2.5</sub> above the guideline exposure level of 65 µg/m<sup>3</sup> considered by Offermann, we believe the US EPA national ambient air quality annual standard of 12 µg/m<sup>3</sup> is a more relevant benchmark for in-home, time-averaged PM<sub>2.5</sub>. The Offermann study reported a 75<sup>th</sup> percentile indoor PM<sub>2.5</sub> concentration of 14 µg/m<sup>3</sup> and a 50<sup>th</sup> percentile of 11 µg/m<sup>3</sup>. Outdoors, the 75<sup>th</sup> and 50<sup>th</sup> percentile concentrations were 9.5 and 8.7 µg/m<sup>3</sup>. Overall, these results suggest that a substantial minority of the homes in the Offermann study may have had indoor PM<sub>2.5</sub> above the NAAQS threshold and high indoor PM<sub>2.5</sub> was not solely due to high outdoor concentrations. A large fraction of the homes studied by Offermann also exceeded the Proposition 65 Safe Harbor Levels of acetaldehyde (93%). Concentrations of VOCs other than formaldehyde were lower than OEHHA CRELs in all cases, though several VOCs were present in at least some homes at levels that exceeded the Proposition 65 Safe Harbor Levels: trichloromethane (8%), tetrachloroethene (8%), 1,4-dichlorobenzene (12%), naphthalene (27%), benzene (63%).

### 1.2.3 Field Studies of Mechanical Ventilation System Performance

Published data on installed ventilation system performance suggest uneven implementation of code and standard requirements across states. A study of 29 homes in the state of Washington (Eklund et al., 2015) found that most had systems that were set, or that could be set to comply with the state standards for general mechanical ventilation. However, many of the systems were not operating at these design conditions as found. There were problems with incorrect settings (mostly systems not set to operate continuously or with adequate frequency) and maintenance issues, including some that required substantial expertise to resolve. A 21-home study conducted in Florida (Sonne et al., 2015) found that only 12 of the installed general ventilation systems were capable of operating and many of those had airflow rates well below design conditions. These two studies reported the following problems:

- Installation problems, e.g., disconnected duct, blocked vent, poorly hung ducts, inadequate duct insulation, inoperable outdoor air exhaust duct damper, ERV/HRV system installed backward.
- Operational problems, e.g., fan turned off, dirty filters, inadequate operation runtime.
- Difficult access to on/off controls, inaccessible intake/discharge vents (e.g., on roof) with screens that require routine maintenance.

In contrast, a study of 15 new homes in California (Stratton et al., 2012) – including six which were occupied – found installed exhaust ventilation systems that exceeded the minimum airflow requirements (by 40% on average) and only 2 homes failed to meet the minimum dwelling unit ventilation requirement. About one third of the kitchen and bathroom exhaust systems failed to meet minimum requirements.

## 1.3 Title 24 Ventilation Requirements

Dwelling unit mechanical ventilation has been required in new homes and in additions of more than 1,000 ft<sup>2</sup> since the 2008 California Title 24 Building Energy Efficiency Standards. The standard also requires exhaust ventilation in each bathroom and either a venting range hood or an exhaust fan in the kitchen.

The local exhaust requirements can be met by continuously operating fans or “demand controlled” fans that are either operated manually or using a sensor, e.g. based on occupancy or humidity level. The fans must have certified airflow ratings or must be field verified to move a specified minimum amount of air at a rated maximum sound level. Bathroom fans must move at least 20 cubic feet per minute (cfm) or 10 liters per second (l/s) if continuous or 50 cfm (25 l/s) if demand-controlled. Enclosed kitchens can have a continuous exhaust fan moving air equivalent to at least 5 kitchen air volume per hour. Non-enclosed kitchens must have a range hood that moves at least 100 cfm (50 l/s) or an exhaust fan that moves at least 300 cfm (150 l/s) or 5 kitchen air volumes per hour. Continuously operating exhaust fans – used either for dwelling unit or local exhaust – must be rated at 1 sone or lower and demand-control exhaust fans must be rated at 3 sone or lower at the required airflows.

Initially, the only compliance path for dwelling unit ventilation was the Fan Ventilation Rate method (FVRM), as described in Section 4.6.2 of the 2008 California Title 24, Part 6 Building Energy Efficiency Standards Residential Compliance Manual. This calculation requires 1 cfm of mechanical airflow for every 100 ft<sup>2</sup> of conditioned floor area and an additional 7.5 cfm for each occupant (typically bedroom count + 1). This calculation and the kitchen and bathroom venting requirements are taken from ASHRAE Standard 62.2-2007. Required airflows calculated using the FVRM do not vary by location or airtightness, but only by house size and occupancy. The FVRM is currently used to size dwelling unit ventilation fans for the prescriptive reference homes used to demonstrate Building Standards compliance in CBECC-Res. While not explicitly stated in the Standard, this calculation assumes 2 cfm of natural infiltration per 100 ft<sup>2</sup> of conditioned floor area (per the ASHRAE Standard), which is a reasonable assumption for homes in the 5-7 ACH50 range of airtightness. For more airtight homes (particularly in mild California climates), this infiltration assumption is too high, leading to dwelling unit ventilation rates that are below current targets. Recognizing the incompatibility of the FVRM with low-infiltration, airtight new homes, the CEC added a parallel compliance path in the 2013 standard cycle called the Total Ventilation Rate method (TVRM), calculated as follows. First, a Total Required Ventilation Rate is calculated ( $Q_{total}$ ) similarly to the FVRM, but with a 3 cfm per 100 ft<sup>2</sup> conditioned floor area requirement (based on more recent versions of ASHRAE Standard 62.2 from 2013 onwards). Next, the Effective Annual Infiltration Rate is estimated based on the home's normalized leakage (as measured by blower door), geometry and geographic location ( $Q_{inf}$ ). Finally, the Required Mechanical Ventilation Rate ( $Q_{fan}$ ) is calculated as the difference between the Total Required Ventilation Rate and the Effective Annual Infiltration Rate. For airtight homes, this sizing method results in larger mechanical fan airflow requirements than the FVRM. For leaky homes, fan size can be reduced. Dwelling unit ventilation fan airflows differ by airtightness, house geometry and climate zone. The new 2019 Title 24 Building Energy Efficiency Standards have eliminated the FVRM for demonstrating compliance, and also adjusted the TVRM such that all homes will receive a dwelling unit ventilation fan sized as if the home were 2 ACH50. If air leakage is measured and is less than 2 ACH50, then the lower leakage rate is used in fan sizing calculations.

## 1.4 HENGH Field Study Objectives

The HENGH field study aimed to collect data on indoor air quality and ventilation system characteristics, installed performance and usage in California homes built to the 2008 or more recent version of the Title 24 Building Energy Efficiency Standards. The overarching goal of the field study was to collect data to improve understanding of whether the ventilation equipment being installed to meet the recent Title 24 requirements is effectively providing acceptable IAQ in new California homes. The study had the following specific data collection objectives:

- Collect field data from a diverse sample of homes that covers the areas of the state with substantial new home construction and including a range of climate zones;
- Characterize the dwelling unit/dwelling unit mechanical ventilation systems and measure their airflows for comparison to Title 24 requirements;

- Characterize all other mechanical systems (e.g., bathroom exhaust fans) that may contribute to outdoor air exchange in the home and measure their airflows as feasible;
- Collect data on the use of kitchen and bathroom exhaust fans in relation to activities that release pollutants and moisture into these rooms;
- Measure concentrations of air pollutants inside and outside of the homes, including as feasible, time-varying monitoring of pollutants that are impacted by occupant activities;
- Obtain information about occupant activities and use of controls that may impact IAQ during the in-home monitoring period;
- Obtain monitoring data over a period of a week in each home to capture the cycle of activities that happen over this interval;
- Collect data on occupant satisfaction with IAQ and comfort conditions in the field study homes;
- Examine the relationships among ventilation equipment and use, measured and perceived IAQ, and house and household characteristics; and
- Evaluate how to provide adequate ventilation in homes while reducing infiltration beyond the 2008 Title 24 standard, while still providing acceptable IAQ.

Since the focus of the study was to investigate whether the current requirements for mechanical ventilation provide sufficient protection, and it was known that a substantial fraction of California households do not routinely open windows for ventilation during at least some parts of the year, the study protocol was to measure IAQ in homes while windows were generally kept closed and with dwelling unit ventilation systems operating.

Prior to the field study, the project implemented a web-based survey to obtain data on IAQ satisfaction and ventilation practices in a much larger sample of modern California homes. The survey aimed to collect data from homes built both before and after the 2008 Building Energy Efficiency Standards, starting with homes built in 2002. However, almost all the data were from homes built to pre-2008 versions of the standard. Details about the web-based survey are provided in Appendix A.

## **1.5 Simulation Study Objectives**

Another major element of this project was a simulation-based analysis of potential energy benefits and indoor air quality implications of reducing infiltration and modifying ventilation requirements. This element of the study is described in Appendix B. The main goals of this simulation effort were to quantify the energy, ventilation and IAQ impacts of airtight residences under current and proposed IAQ compliance paths available in the Title 24 Building Energy Efficiency Standards and the ASHRAE 62.2 ventilation standard. Specifically, we examined how different levels of envelope airtightness and methods of sizing dwelling unit ventilation fans would affect HVAC energy use and time-averaged concentrations of a theoretical, continuously emitted pollutant (as an IAQ indicator). The results of this work are designed to inform the questions of whether an airtightness requirement should be included in the Title 24 standard,

and if so, should ventilation requirements be modified to compliment this requirement, to avoid causing harm.

The main objectives of the simulation study were (1) to evaluate the IAQ and energy impacts of different dwelling unit fan sizing methods, and (2) to assess the impacts of a hypothetical 3 ACH50 airtightness requirement in the Title 24 Building Energy Efficiency Standards. Energy, ventilation and IAQ performance were simulated in two prototype homes compliant with the 2016 prescriptive provisions of the Title 24 Building Energy Efficiency Standards, across a subset of California climate zones (CZ 1, 3, 10, 12, 13 and 16), reflecting the variety of climate conditions in the state. Airtightness was varied between 0.6 and 5 ACH50, and dwelling unit ventilation fans were sized according to seven currently available or proposed compliance paths in Title 24 or ASHRAE Standard 62.2. Fan sizing methods either accounted for infiltration and fan type (i.e., balanced vs. unbalanced), or they used a fixed airflow approach, with no variability in the fan sizing by airtightness, climate zones, geometry and fan types. The simulations used the ASHRAE 62.2 relative exposure framework to assess IAQ. This framework considers IAQ by calculating the time-integrated concentration of a generic contaminant emitted at a constant rate under some alternative ventilation approach and compares that to the time-integrated concentration that would occur with a continuous, fixed airflow – in this case the target dwelling unit airflow required by ASHRAE Standard 62.2. This metric is described in the 62.2 framework and subsequently in this report as relative exposure. The results for individual cases were combined using a weighting based on the fraction of new homes constructed in the state's climate zones to get statewide estimates of performance.



## CHAPTER 2: Methods

### 2.1 Field Study Overview

#### 2.1.1 Overview of Data Collection Approach in Homes

The HENGH field study was designed by the research team from Lawrence Berkeley National Laboratory (LBNL) to achieve the objectives of obtaining measured IAQ and ventilation equipment usage data over a weeklong cycle of household activity, characterizing the installed ventilation equipment and measuring airflows, and obtaining information on perceptions and activities from the participant, in each study home. The detailed protocol is provided in a report (Chan et al., 2016, LBNL-1005819) that is available via the LBNL Energy Technologies Area (ETA) publications web site (<https://eta.lbl.gov/publications>). The final protocol was developed in part based on a pilot study conducted by LBNL in two homes in Northern California. The pilot study protocols and results are described in Appendix C, which is also published as a separate report (Chan et al., 2016, LBNL-1005818). Both the pilot study and final field study protocols were reviewed and approved by the LBNL institutional review board.

Each home in the HENGH field study was visited three times.

During the first visit, the research team obtained written consent from the study participant, checked that the home had the basic ventilation equipment required by the Title 24 Building Energy Efficiency Standards, and confirmed that the equipment was operable. If the dwelling unit ventilation fan was not operating, the researcher obtained consent from the participant to activate the system. The team confirmed that the participant met and understood all study requirements including the expectation that the dwelling unit ventilation system would operate throughout the week and the use of windows and doors would be limited to dealing with acute IAQ challenges (e.g. during major cleaning) and not opened for extended periods to provide extra ventilation beyond the mechanical system. The participant was asked about potential hazards and any locations within the home that the researcher should not enter, and potential indoor and outdoor locations for siting of air quality measurement stations were discussed. Characterization of the house, gas appliances, and ventilation equipment was also started on the first visit. The characterization included marking the locations of ventilation equipment and appliances on a house floor plan; photographing appliance and ventilation equipment as installed; and recording make, model, and performance ratings such as gas appliance burner fuel use rates and airflow rates for ventilation fans. A detailed list of parameters recorded in the characterization is provided in the LBNL report about the protocol. Each home also received a standard gas appliance safety inspection (NGAT) by a utility field service technician who performs this test routinely for utility customers. In a few homes, the inspection identified an issue that the gas service technician was able to fix on the spot, at the homeowner's request. Three homes failed NGAT because of a venting non-conformity identified for a fireplace or water heater. In two cases, a follow up visit was scheduled with a gas technician, and one-week monitoring was rescheduled at a later date. In the third case, the gas technician determined that

the appliance could be used and monitoring could safely proceed without rescheduling. A few homes had problems with mechanical ventilation systems that were corrected prior to monitoring. In one home, the exhaust fan providing the dwelling unit ventilation was not connected to the terminal fitting at the roof; the homeowner contacted the builder and this was resolved before the next scheduled visit. In two other homes, exhaust fans providing the dwelling unit ventilation were unplugged. These were referred to the owner, who contacted the builder. In one of these homes, the builder simply came to plug-in the fan. In the other, the builder found that the fan was not working and replaced it with a new fan. At the request of two of the homeowners, air filters in the forced air heating and cooling systems were replaced by the research team prior to the one-week monitoring period in these homes. In addition, air filters were missing from both of the filter slots in one home. At the request of the homeowner, air filters were installed prior to the one-week monitoring period.

During the second visit, the team conducted equipment performance measurements, installed devices to measure indoor air quality and record equipment use over the week, and finished the house and equipment characterization. The performance measurements included a “DeltaQ” test to determine air leakage through the building envelope and through the HVAC and duct system, and airflow measurements of the following exhaust fans: kitchen range hood, exhaust fans in the three most used bathrooms, and exhaust fans in any toilet rooms. Air quality monitors and samplers were placed outdoors, at a central indoor location (usually the great room), in the master bedroom, and in up to three additional bedrooms. Monitors were installed to record the usage history for kitchen, bath and laundry exhaust fans and the clothes dryer, and temperature sensors were placed on the cooktop and an HVAC supply register to record their operation. Photographs were taken of the installations. Detailed descriptions of the measurement methods and devices and a complete list of the parameters monitored are provided in subsequent sections of the Methods. The research team provided the participant with a printed survey and a set of daily activity logs (see appendix D) and explained how to complete the forms. The survey included a subset of the questions from the online survey conducted as an earlier research task of HENGH, focusing only on perceptions and activities and excluding questions about equipment that the research team could determine themselves while on site. A few days into the monitoring period, a researcher called the participant to check if they had any issues or discomfort related to the research operating in the home or any questions.

During the third visit, the research team removed all equipment monitors and air quality samplers, collected the survey and activity logs and did an exit walkthrough with the participant to verify that all equipment was removed. The incentive – a \$350 gift card to a national home improvement store – was provided to the participant upon completion of this visit and a signed record of incentive payment was obtained.

### 2.1.2 Research Team

The field study was a collaboration involving LBNL, the Gas Technology Institute (GTI), the Pacific Gas & Electric Company (PG&E), the Southern California Gas Company (SoCalGas), Misti Brucerri & Associates (MBA), and Chitwood Energy Management. LBNL designed the

overall study and recruitment plan; developed the specific data collection protocols; conducted recruitment; analyzed IAQ samples; and compiled, reviewed and analyzed the data. GTI managed all elements of the field study including scheduling visits, preparing equipment, conducting quality assurance checks of the equipment, managing staff working in homes to implement data collection, and providing data to LBNL in electronic format. SoCalGas provided staff members from their engineering and technical services departments to collect data under GTI direction in homes in SoCalGas service territory, and also provided gas service technicians to conduct safety inspections in those homes. PG&E provided financial support for MBA to commit a technical staff person to work with the GTI field team in PG&E territory; PG&E also arranged for their gas service technicians to conduct safety inspections in these homes. Chitwood Energy Management worked as a subcontractor to GTI, providing technical support and guidance.

### 2.1.3 Eligibility

To be accepted into the study, the following criteria had to be met by the participant, the building and the household. The participant had to be 18 years of age and speak English sufficiently well to understand the consent form. The building had to be a single-family detached structure, located in California, and built in 2011 or later. The home had to have gas appliances and mechanical ventilation, suitable locations and electrical outlets for study instruments, and not have highly unusual filtration or ventilation systems. The household had to prohibit smoking and at least one adult resident had to be available to grant access to the study for each in home visit. The home had to be occupied by the owner and the participant had to agree to allow the study team access to the home to recover measurement devices if they decided to stop participating before the week of in-home measurements was complete.

The “built in 2011 or later” requirement was used as a proxy for homes built to comply with the 2008 version of Title 24. The study team assumed it would be difficult for potential participants to determine which version of Title 24 was applicable when their home was permitted. Records were obtained from CalCERTS/CHEERS for 23 homes to verify that they were certified to meet the 2008 or more recent standards. Even though Title 24 compliance documents were not available for the other homes, the presence of mechanical ventilation equipment in all 70 homes indicates that they were built to the 2008 or more recent standards.

### 2.1.4 Recruitment

The study was advertised and homes were recruited via several mechanisms.

The initial plan was to identify eligible and interested field study participants via the online survey (see Appendix A for details). After they completed the online survey, respondents who had indicated that their home was built 2011 or later and was a single-family detached structure were asked if they were interested in learning about “a follow-up study of indoor air quality and ventilation” that “involves research teams visiting homes to measure the performance of ventilation equipment, and to set up air quality and ventilation monitoring devices that will remain in place for a one-week period.” Twenty-eight online survey homes built 2011 or later indicated interest in learning more about the study, but none of them ultimately participated.

The low yield from these homes may have resulted from the long delay between the time when they completed the survey and indicated their interest (in 2015), and the time that the field study started to visit homes in SoCalGas service territory (in second half of 2017).

The second major approach was to advertise the study through various mechanisms and direct potentially interested individuals to visit a website that provided information about the study along with eligibility and participation requirements. The website had a page for interested individuals to provide their contact information. The online survey and an early version of the website noted that participants could receive an incentive valued at up to \$230 for completing all elements of the study. Prior to the start of field monitoring, the incentive was increased to a \$350 gift card to a home improvement store if they completed all study elements including the occupant survey and all daily activity logs. Participants also were offered a report summarizing the results of ventilation and IAQ measurements in their home. This report was prepared and provided to study participants by LBNL.

The most successful mechanism used to advertise the study was direct mailing of postcards to addresses of qualifying homes identified by searching the Zillow.com website for recently-sold, single-family homes built in 2011 or later. The postcards provided the basic study requirements, noted the incentive, and provided the study project website. Postcards were sent in several batches, each time targeting a different area with the study domain. During the last phase of recruitment, a \$50 referral was offered to participants in order to meet the target number of study homes. Another mechanism that was tried without success was to offer incentives to home energy raters for any referrals that led to a consented study participant.

### 2.1.5 Screening and Selection

An LBNL researcher attempted to call each person who indicated interest through the survey or website. When a connection was made, the researcher first confirmed eligibility, then provided key information about the study and answered questions. During this call, study participants were informed that the field team could, in some cases, determine on site that a home is unsuitable for the field study. For example, this would occur if the field team could not clearly identify a dwelling unit mechanical ventilation system or not confirm that it is operable. If the ventilation system was merely turned off or if the runtime was improperly set, the field team would ask permission of the study participant to make a repair or adjustment. The potential participants were also informed that the research team would arrange with their local utility to conduct a safety inspection of their gas appliances and venting. Any critical safety issues would need to be resolved before proceeding. If a home were determined to be unsuitable by the research team or the participant decided to stop after the first visit, the participant would receive a \$75 gift card.

If, at the end of the screening call, the person was still interested and both they and their home appeared to be eligible, LBNL provided the person's contact information to GTI to schedule the first visit. In total, LBNL recruited 103 homes. In the majority of the homes referred by LBNL to GTI that did not complete the study, there were no house visits, either because the formerly interested person did not respond to three attempts by the GTI team to make contact or the

person decided to not participate before the first scheduled visit. One consented participant withdrew after the first visit and prior to the scheduled second visit. One home was excluded when it became clear on the first visit that the home was built before 2011.

## **2.2 Field Data Collection Procedures**

### **2.2.1 House, Mechanical Equipment and Appliance Characterization**

Prior to the visit, the research team typically was able to obtain a floor plan from the builder's website; sometimes this was a mirror image plan or a basic plan that could have small modifications among constructed homes. If the floor plan was not obtained prior to the visit, a basic floor plan was sketched on site. The team used a paper form to record basic information about the house: floor area and ceiling heights; number of stories, bedrooms, full and half baths, and other rooms on each floor; attached garage and number of parking spots, etc. Photos were taken of the connecting walls and ceilings between the garage and house, attic, backyard, gas appliances and mechanical ventilation equipment, general layout and exterior of the house.

The following equipment was identified, characterized and located on the floor plan, and photos were taken to document the details of the installation and typically also the nameplate information:

- Dwelling unit mechanical ventilation system. Noted basic design (exhaust, supply, or balanced); type of control; make, model and rated flow; and fan settings.
- Other ventilation equipment: bath and toilet room exhaust fans, kitchen range hood, and any laundry exhaust fans. Noted make, model and rated flow, type of control for each fan; and for kitchen note if range hood is microwave or simple range hood.
- Heating and cooling system(s). Noted type of system (all were forced air), make and model, capacity (in tons and Btuh) and whether system was zoned. Noted dimensions and location of each return and locations of filter(s) if not at the return air grille. Noted location(s) and types of thermostats. For each filter in a forced air heating or cooling system, recorded make, model and performance rating and visually assessed condition of filter; also took photo. Identified and characterized thermostat and marked location on floor plan.
- Attic. Noted whether it was vented or unvented and the type of insulation. Photographed ductwork, gas furnace, exhaust fans, and vents.
- Gas-burning appliances. Noted make, model and firing rates of all burners or photographed nameplate. Noted locations on floor plans.

### **2.2.2 DeltaQ Test to Determine Air Leakage of Envelope and Forced Air System**

Air leakage of the building envelope and forced air system was measured with the DeltaQ test (Method A of ASTM-E1554-2013) using a TEC Minneapolis Blower Door System with DG-700 digital manometer (energyconservatory.com). The DeltaQ test provides the air leakage

associated with the forced air system at its normal operating conditions. The TEC system includes software to perform the DeltaQ test in an automated manner. This software operates the blower door fan, records airflow rate and envelope pressure difference and calculates the resulting envelope and duct leakage. The software also automatically checks to see if the results are adequate to compute the building envelope and duct system air leakage. The software allows the user to repeat the whole test or part of the test if necessary, such as if someone stepped on a pressure tube during the test or a door was inadvertently opened.

The DeltaQ test was developed as an efficient alternative to the traditional duct leakage measurement method, which uses a duct blaster fan connected to the HVAC distribution system (per ASTM Standard E1554), and measures the airflow required to achieve a specified, arbitrary pressure relative to the house (typically -25 Pa), while all supply and return registers are tightly sealed off. Measuring duct leakage to outside requires further use of a blower door to zero-out pressure differences between the ducts and occupied space. In contrast, the DeltaQ duct leakage test (also in ASTM E1554) measures the duct leak airflows to outside at normal HVAC system operating conditions, using only the blower door fan and requiring no sealing of registers. The DeltaQ test builds on the standard envelope tightness blower door measurement techniques by repeating the tests with the HVAC system air handler turned off and on. The DeltaQ test requires several assumptions to be made about duct leakage and its interaction with the duct system and building envelope in order to convert the blower door results into duct leakage at system operating conditions. DeltaQ repeatability testing has shown the duct leakage measurement to be accurate within 1% of the air handler total flow. Accuracy may be reduced under windy conditions. We chose to use the DeltaQ test because it is more useful in considering the duct leak effects on IAQ as it gives the supply and return airflows at operating conditions. The metric used for duct leakage compliance is a total leakage airflow (supply + return) at a fixed pressure that does not give us the flow we need for IAQ assessments.

### 2.2.3 Measurement of Ventilation Equipment Airflows

Airflows of bath and laundry exhaust fans were measured using a TEC Exhaust Fan Flow Meter (The Energy Conservatory). Range hood airflows were measured using a balanced-pressure flow hood method described by Walker and Wray (2001). A calibrated and pressure-controlled variable-speed fan (TEC Minneapolis Duct Blaster, The Energy Conservatory) was connected to either the exhaust inlet (preferred approach) or outlet. The Duct Blaster was connected at each site using a transition piece that was adapted onsite to cover the entire underside of the range hood. Using a pressure sensor, the Duct Blaster fan was controlled to match the flow of the exhaust fan while maintaining neutral pressure to the room at the exhaust inlet. The pre-calibrated speed versus flow relationship of the Duct Blaster provided the flow through the exhaust fan. For microwave range hoods, the top vent was covered with tape to ensure that the airflow measured at the bottom inlet represented the entire flow through the device.

Supply fan flow rates were not measured directly because the air inlets – at the attic level – could not be quickly and safely accessed by the field teams. It was also not feasible to measure flows using in-duct velocity probes because the supply ducts were encased in spray foam insulation in the attic in all four of the HENGH homes that used supply ventilation.

Natural infiltration airflow was calculated over the same period and mechanical airflow was summed using sub-additivity, as described later in the Methods, to estimate the overall house air exchange rate.

## 2.2.4 Equipment Usage Monitoring

Cooktop and oven use were monitored using iButton temperature sensors attached to the surface of the cooktop, generally with one iButton adjacent to each burner. The temperature data were analyzed to find rapid increases in temperature that signal use of the cooking appliance.

Operation of exhaust fans, range hoods, clothes dryers, and the central forced air system were determined using one of the following methods: motor on/off sensor, air velocity anemometer, or power meter. The field team determined which method to use depending on the accessibility and configuration of the appliances. Fans with multi-speeds (e.g., range hood) were monitored using a vane anemometer to discern use at varied settings and to enable use of the setting-specific airflow (measured separately) to be used when calculating the overall airflow through the home.

State sensors that discern open vs. closed condition were used to monitor the most often used exterior doors and windows. Although study participants were asked to keep these openings closed during the one-week study period, it was deemed valuable to monitor as any extended natural ventilation could impact pollutant measurements.

Temperature and relative humidity were monitored at the supply air registers as an indicator of heating/cooling use.

## 2.2.5 Air Quality Measurements

Air pollutant concentrations and environmental temperature and relative humidity were measured at several locations indoors and also outdoors on the premises. The central indoor air quality station was generally in the great room, a large open room on the first floor of the house that includes the kitchen and family room, or in a dining room that was openly connected to the other rooms on the first floor. The parameters measured at each location are noted below.

### **IAQ parameters and measurement equipment at outdoor station**

- PM<sub>2.5</sub>, 1-min resolved, MetOne ES-642 photometer
- Formaldehyde, 1-week integrated, SKC UMEx passive sampler
- NO<sub>2</sub> and NO<sub>x</sub>, 1-week integrated, Ogawa passive sampler
- Temperature and humidity, 1-minute resolved, Onset HOBO U23 Pro v2

### **IAQ parameters and measurement equipment at central indoor station**

- PM<sub>2.5</sub>, 1-min resolved, MetOne BT-645 photometer

- Formaldehyde, 30-minute resolved, GrayWolf Monitor FM-801<sup>2</sup>
- NO<sub>2</sub>, 1-minute resolved, Aeroqual Series 500
- CO<sub>2</sub>, temperature and RH, 1-minute resolved, Extech SD-800
- Formaldehyde, 1-week integrated, SKC UMEx passive sampler
- NO<sub>2</sub> and NO<sub>x</sub>, 1-week integrated, Ogawa passive sampler
- Temperature and humidity, 1-minute resolved, Onset HOBO UX100-011

#### **IAQ parameters measured and measurement equipment in master bedroom**

- Formaldehyde, 30-minute resolved, GrayWolf Monitor FM-801
- CO<sub>2</sub>, temperature and RH, 1-minute resolved, Extech SD-800
- Formaldehyde, 1-week integrated, SKC UMEx passive sampler

#### **IAQ parameters and measurement equipment in other occupied bedrooms**

- CO<sub>2</sub>, temperature and humidity, 1-minute resolved, Extech SD-800

The measured IAQ parameters are summarized in Table 1. Specifications of the time-resolved monitoring equipment, as advertised by the nameplate manufacturers, are provided in Table 2.

The central indoor monitoring equipment was deployed using a stacked crate system that protected the measurement equipment but allowed free airflow (Figure 1). The outdoor monitoring station was mounted on a tripod with air sampling occurring at roughly 2 m height. The target location for the outdoor station was at least 3 m from the nearest exterior wall of the house and any local sources such as a fire pit or grill. The ES-642 photometer was housed in a weatherproof enclosure designed and sold by the manufacturer (Met One Instruments, Inc.) that incorporates a sharp-cut cyclone to exclude particles larger than 2.5  $\mu\text{m}$  aerodynamic diameter. The formaldehyde and NO<sub>2</sub>/NO<sub>x</sub> passive samplers were placed inside a 10 cm diameter PVC cap. This configuration is shown in Figure 1.

---

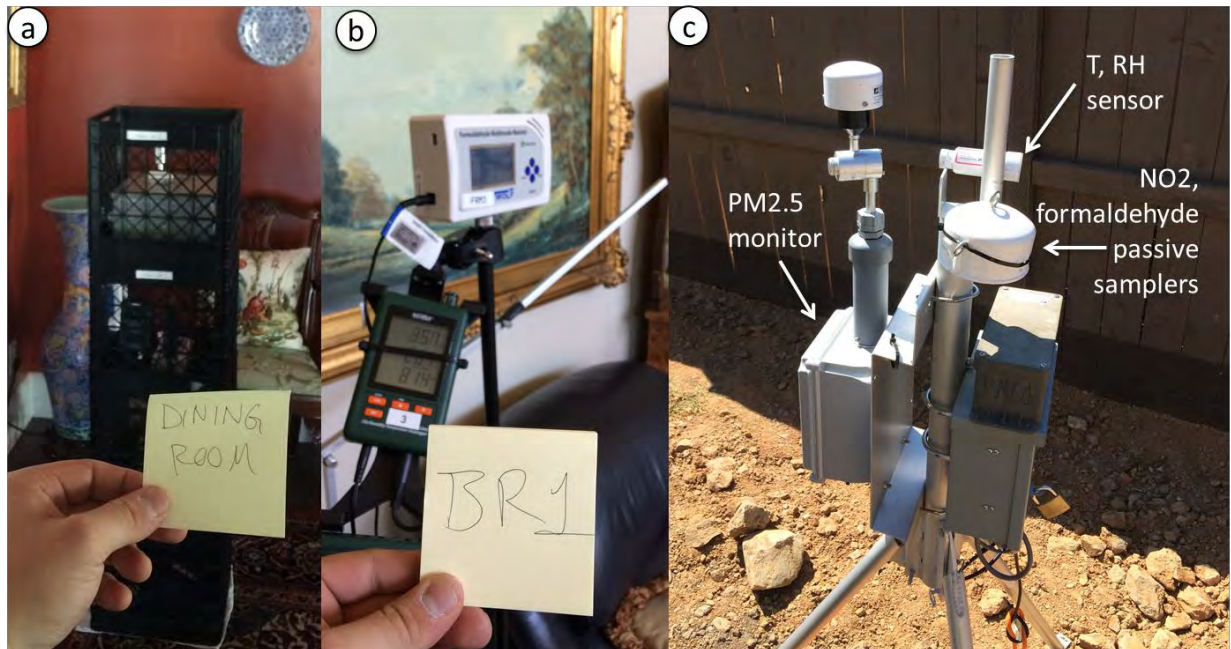
<sup>2</sup> This monitor is a rebranded Shinyei Multimode Formaldehyde Monitor



**Table 1. Measured Air Quality Parameters**

Parameters	Measurement Device	Sampling Locations	Sampling Resolution
PM <sub>2.5</sub>	MetOne ES-642	Outdoor	1-minute
	MetOne BT-645	Indoor (central)	1-minute
CO <sub>2</sub> , T, RH	Extech SD-800	Indoor (central, master & other bedrooms)	1-minute
NO <sub>2</sub>	Aeroqual NO <sub>2</sub> Monitor	Indoor (central)	1-minute
	Passive Ogawa Samplers	Outdoor Indoor (central)	1-week
Formaldehyde	GrayWolf FM-801 (Shinyei Multimode)	Indoor (central, master bedroom)	30-minute
	Passive SKC UMEx-100	Outdoor Indoor (central, master bedroom)	1-minute
T, RH	Onset HOBO U23 Pro v2	Outdoor	1-minute
	Onset HOBO UX100-011	Indoor (central)	

**Figure 1. Examples of air quality monitors.**



Air quality monitors deployed at indoor central station (a), master bedroom (b), and outdoors (c).

**Table 2. Specifications of air pollutant monitoring equipment**

<b>Parameter</b>	<b>Device make and model</b>	<b>Range and Resolution</b>	<b>Accuracy</b>	<b>Other</b>
Temperature	Onset HOBO UX100-011	Range: -20° to 70°C. Resolution: 0.024°C at 25°C	±0.21°C from 0° to 50°C	Response time: 4 min in air moving 1 m/s Drift: <0.1°C per year
Temperature	Extech SD800	0 to 50°C	±0.8°C	
Relative humidity	Onset HOBO UX100-011	Range: 1% to 95% (non-condensing); Resolution: 0.05%	±2.5% from 10% to 90%; up to ±3.5% at 25°C including hysteresis	Response time: 11 sec to 90% in airflow of 1 m/s Drift: <1% per year typical
Relative humidity	Extech SD800	Range: 10-90%	±4%RH below 70%; 4% of reading + 1% for 70–90% range	
Particulate matter, PM <sub>2.5</sub>	MetOne ES-642 MetOne BT-645	Range: 0-100 mg/m <sup>3</sup> . Resolution: 0.001 mg/m <sup>3</sup> .	± 5% traceable standard with 0.6µm PSL	
Carbon dioxide, CO <sub>2</sub>	Extech SD800	Range: 0-4000 ppm; Resolution: 1 ppm	±40 ppm under 1000 ppm; ±5% (>1000ppm)	
Nitrogen Dioxide	Aeroqual 500 Series	Range: 0 to 1 ppm	± 0.02 ppm within 0 to 0.2 ppm range	
Formaldehyde	GrayWolf (Shinyei) Multimode Monitor	20 to 1000 ppb	± 4ppb for <40ppb, ± 10% of reading for ≥40ppb	30 min resolution; 20 ppb is lowest reliable value with stated accuracy

The standard software for the GrayWolf (Shinyei) formaldehyde monitor reports readings below 10 ppb as “<LOD”. By special arrangement, GrayWolf provided modified software to provide readings below the nominal detection limit of the instrument.

The MetOne Instruments ES-642 and BT-645 are aerosol photometers that quantify the light scattered by the ensemble of particles passing through the measurement cell and translate that

to an estimated PM<sub>2.5</sub> concentration based on a device-specific calibration relationship developed in the laboratory using a traceable reference of 0.6 µm diameter polystyrene latex (PSL) spheres. Since photometer response varies with aerosol size distribution and chemical composition, the accuracy of these devices for ambient (outdoor) or indoor PM<sub>2.5</sub> can vary substantially as the qualities of the aerosol vary. The recommended practice when using a photometer is to measure an environmental aerosol sample is to collect a filter sample in the same environment, preferably at the same time, and determine a location specific gravimetric PM<sub>2.5</sub> adjustment factor. In this study, we sought to both check the mass calibration factor and the time-response of the primary photometers by deploying Thermo pDR-1500 photometers with onboard filter sample alongside the MetOne monitors indoors and outdoors at 8 homes. Due to power interruptions, data are available for only 5 of the outdoor deployments.

#### *2.2.5.1 Calibrations and Quality Assurance for Time-Resolved Measurement Devices*

All of the monitors used to collect time-resolved air quality data were purchased new at the start of the study, and thus were expected to conform to the manufacture specification for accuracy. The following additional procedures were implemented to check instrument cross calibrations.

The indoor and outdoor PM<sub>2.5</sub> monitors were co-located for roughly one hour during the instrument deployment visit at each home. In most cases the co-location was outdoors at the location of the outdoor monitor. Co-located comparisons were available from 45 homes. In two of the homes, the two monitors measured very different concentrations likely because the outdoor monitor had a heated inlet that was set to activate when relative humidity reached above 60%, and the indoor monitor did not. The heated inlet prevents condensation that could damage the instrument. The indoor monitor did not have a heated inlet because high humidity is generally not a concern when sampling indoor. At the two homes during the one-hour co-location test, the outdoor monitor measured high concentration of PM<sub>2.5</sub> (51 and 60 µg/m<sup>3</sup> at Home 063 and 068, respectively). Without the heated inlet, the co-located indoor monitor measured 111 and 78 µg/m<sup>3</sup>, respectively. The two homes were sampled in winter (January 2018) in Tracy and Manteca CA, where high humidity condition in the morning likely explained this difference between the co-located indoor and outdoor PM<sub>2.5</sub> monitors. Excluding these two cases, the co-located indoor and outdoor PM<sub>2.5</sub> monitors agreed to within 1.9 µg/m<sup>3</sup> on average (median = 0.9 µg/m<sup>3</sup>). In the remaining 43 homes, the outdoor monitor read somewhat lower concentration than the indoor monitor when the two were co-located more often (79%) than not (21%). This is likely because the heated inlet intended to prevent condensation resulted in some volatilization of the outdoor particles.

The Extech CO<sub>2</sub> monitors were co-located for 1 hour at each home or at a warehouse where the field team used for setup before the visit. The Extech were also calibrated at LBNL midway through the field study. During a break in the field study, the calibrations of all Extech CO<sub>2</sub> monitors were checked at LBNL by deploying the monitors in a well-mixed chamber with CO<sub>2</sub> concentrations varying between 400 and 1700 ppm. CO<sub>2</sub> concentrations were measured concurrently using an EGM-4 gas analyzer (PP systems, Amesbury, MA, USA). The EGM-4 was separately calibrated using standard gas of known CO<sub>2</sub> concentrations between 0 and 2500

ppm. CO<sub>2</sub> concentrations measured by the Extech were compared minute by minute against the EGM-4 data. On average, the difference in readings between the Extech monitors and EGM-4 was 7% of the CO<sub>2</sub> concentrations being measured by the EGM-4.

The Aeroqual 500 NO<sub>2</sub> monitor was calibrated before each visit with zero gas and a 1 ppm NO<sub>2</sub> standard gas. Monitor response was adjusted to match those values following manufacturer instructions.

#### *2.2.5.2 Quality Assurance for Passive Samplers*

Ogawa samplers were prepared according to manufacturer protocols. Prior to assembly for field deployment, all parts of the samplers were washed thoroughly with deionized water and allowed to dry thoroughly in a laboratory at LBNL. Sample pads were stored in the refrigerator in their original packaging until they were inserted into samplers. After samplers were assembled with new sample pads, they were placed in sealed amber plastic bags (Ziploc) and shipped to the field team in an insulated box with ice packs to keep them cool.

Four Ogawa samplers were deployed at each study home: one outdoors, two at the central indoor station (duplicates), and one field blank. The field blank was opened either at the indoor or outdoor station, then packaged and stored in a refrigerator for the monitoring week.

At least four UMEx 100 formaldehyde samplers were deployed at each study home: one outdoors, two in the central indoor station (duplicates) and one in the bedroom. In most of the sampled homes, a fifth formaldehyde sampler was opened indoors and packaged immediately to serve as a field blank. The formaldehyde blanks were stored in a refrigerator during the monitoring week.

#### *2.2.5.3 Analysis of Passive Samplers*

All passive samplers were shipped to LBNL for analysis. To avoid damage to the chemical samplers from extreme temperatures, samplers were mailed in an insulated shipping container with ice packs to keep them cool. The samples were extracted and analyzed following the protocols provided by each company (Ogawa & Company 2017; SKC, Inc. 2017). All Ogawa samples were extracted for analysis within 30 days from when the samplers were assembled.

For each NO<sub>x</sub> and NO<sub>2</sub> sample we subtracted the mass determined from the field blank at the same home before calculating the sample period concentrations of NO<sub>x</sub>, NO<sub>2</sub> and NO as the difference between the adjusted NO<sub>x</sub> and NO<sub>2</sub> concentrations. Analysis of 64-paired duplicates of indoor samples found that agreement in NO<sub>2</sub> concentrations was within 0.6 ppb on average (median = 0.3 ppb). When available, duplicates were averaged to provide a better estimate of the indoor concentrations of NO, NO<sub>2</sub>, and NO<sub>x</sub>.

The formaldehyde concentration determined by passive sampler at each home also was adjusted by the effective sample period concentration determined from the field blank at the same home. For the eleven homes that did not have a formaldehyde passive sample field blank, we subtracted 0.15 micrograms, which is the mean mass determined from all available field blanks (and corresponds to 0.6 ppb for a 7-day collection period). Sixty-six paired indoor

formaldehyde samples agreed to within 1.0 ppb on average (median = 0.7 ppb). When available, duplicates were averaged to provide a better estimate of the indoor concentrations.

The UMEx contains an internal blank within each sampler that can potentially be used for convenience instead of deploying a separate field blank sampler. However, analysis of the internal blank suggested that even it was not directly exposed to the sampling air, some formaldehyde was collected, possibly because the compartment isolating the internal blank was not completely airtight. The average analyte mass determined from internal blanks of indoor samples was 0.6 micrograms; this is 4 times the field blank value noted above.

Formaldehyde indoor emission rates  $E$  ( $\mu\text{g}/\text{m}^3\cdot\text{h}$ ) were calculated using a simple mass-balance equation assuming well-mixed, steady state condition. The same method was applied by Offermann (2009) to estimate indoor emission rates of formaldehyde and other VOCs.

$$E = (C_i - C_o) \times AER \quad (1)$$

Outdoor formaldehyde concentration ( $C_o$ ,  $\mu\text{g}/\text{m}^3$ ) was subtracted from the indoor concentration ( $C_i$ ,  $\mu\text{g}/\text{m}^3$ ) measured at the central location, assuming that there is no loss in formaldehyde as the outdoor air enters through the building envelope. Air exchange rate ( $AER$ , 1/h) is assumed to be the only mechanism that removes formaldehyde from the indoor air. Air exchange rate was estimated from natural infiltration airflow and mechanical airflow using sub-additivity, as described later in the Methods.

#### *2.2.5.4 Weighing of Filters for Gravimetric $\text{PM}_{2.5}$ Determination*

The filters used for gravimetric analysis were 37 mm diameter, 2.0 micron pore size Pall Teflo filters with ring. Prior to deploying to the field, each filter was preconditioned for 24 hours at controlled temperature and humidity conditions ( $47.5 \pm 1.5$  % RH and  $19.5 \pm 0.5$  °C), according to EPA guidance for gravimetric measurements. The filters were passed over a deionizing source to remove any static charges and each filter was weighed twice using a Sartorius SE2-F balance. After pre-weighing, filters were loaded into the two pDR-1500 photometers and the devices were shipped to GTI prior to the scheduled deployment. At the conclusion of the week of side-by-side monitoring, GTI shipped the two pDR monitors back to LBNL. LBNL removed the filters, and repeated the preconditioning and weighing procedures noted above. The collected mass was determined as the difference in mass, post-sampling versus pre-sampling. The sample air volume was taken from the pDR software and the sample concentration was calculated as collected PM mass / sample air volume.

#### *2.2.6 Survey and Activity Log*

Participants were asked to complete a daily activity log, provided in Appendix D.

Field study participants also were asked to complete a survey that was adapted from the online survey conducted earlier in the project; the complete survey is provided in Appendix D. The field study survey reduced the number of questions about the mechanical equipment in the home as these data were collected already during the characterization work of the field team.

### 2.2.7 Data Compilation

Following the visits to homes, GTI researchers uploaded data files from all measurement devices, photos, and completed home characterization data forms to a secure server at GTI. The LBNL team copied these data onto a secure server at LBNL for compilation and analysis. The compiled LBNL database includes only de-identified data and may be made available to other researchers as specified in the approved IRB protocol.

### 2.2.8 Total Ventilation Rate Calculation

The total ventilation rate ( $Q_{total}$ ) from mechanical fans and air infiltration was calculated following the procedure described in ASHRAE Handbook Fundamentals (2017). The calculation assumed that during the monitoring week, occupants followed instructions to keep windows and doors closed, so natural ventilation was negligible.

First, airflow rates from mechanical fans were added to calculate balanced ( $Q_{balance\_mech}$ ) and unbalanced ( $Q_{unbalance\_mech}$ ) airflow rates by comparing minute by minute the amount of exhaust and supply air from usage data collected from each home. Next, air infiltration ( $Q_{infiltration}$ ) was calculated using the flow coefficient and pressure exponent of the building envelope, determined as part of the DeltaQ Test. Wind data were obtained from the nearest weather station<sup>3</sup>. Indoor and outdoor temperature were monitored onsite. Typical shelter class of 4 (urban building on larger lots where sheltering obstacles are *more than* one building height away) and 5 (shelter produced by buildings or other structures that are *closer than* one house height away) was used, as determined by reviewing photos of the house in relation to its surrounding. The total ventilation rate was calculated following Equation 2, which uses a superposition adjustment ( $\phi$ ) to account for the sub-additivity of unbalanced mechanical airflows with air infiltration.

$$Q_{total} = Q_{balance\_mech} + Q_{unbalance\_mech} + \phi Q_{infiltration} \quad (2)$$
$$\phi = \frac{Q_{infiltration}}{Q_{unbalance\_mech} + Q_{infiltration}}$$

## 2.3 Assessing Title 24 Fan Sizing and Airtightness Requirements for New California Homes using Simulations

The main objectives of the simulation study were (1) to evaluate the IAQ and energy impacts of different dwelling unit fan sizing methods, and (2) to assess the impacts of a hypothetical 3 ACH50 airtightness requirement in the Title 24 Building Energy Efficiency Standards. The results for individual cases were combined using a weighting based on the fraction of new homes constructed in the state's climate zones to get statewide estimates of performance. The simulations included several fan sizing methods: the new requirements in 2019 Title 24, the fan ventilation rate method from the 2008 Title 24, the total ventilation rate method introduced in the 2013 Title 24 (with and without natural infiltration), the ASHRAE 62.2-2016 approach, and

---

<sup>3</sup> Data obtained from [www.wunderground.com](http://www.wunderground.com). During periods when wind was reported as "calm", 1 mph (mile per hour) was assumed for calculating air infiltration rate.

current builder practice based on the installed fan sizes found on the field testing part of this study.

The following discussion outlines the approach used on the simulation of fan sizing and air tightness requirements. More details are provided in Appendix B.

### 2.3.1 IAQ and Relative Exposure

IAQ impacts are assessed using the metric of relative exposure. The simulations used the relative exposure approach to assess IAQ where the concentration of a generic, continuously-emitted contaminant under some alternative ventilation approach is compared to the concentration that would occur with a continuous, fixed airflow – in this case the dwelling unit target airflow required by ASHRAE Standard 62.2 ( $Q_{total}$ ). The ratio of the exposure under the alternative ventilation scenario to the continuous fixed flow is the relative exposure. The metric of relative exposure is now the accepted method of determining compliance for time-varying ventilation approaches in the ASHRAE 62.2-2016 standard.

At a given time, a relative exposure equal to 1 means the two ventilation rates lead to identical pollutant concentrations. When averaged over a period of time (e.g., annually), a value of 1 means the two rates provide equivalent chronic pollutant exposure. A relative exposure of one-half suggests the real-time ventilation rate is double the reference ventilation rate, and a relative exposure of two indicates a real-time ventilation rate that is half the reference rate. The annual average relative exposure during occupied hours must be less than or equal to one in order to satisfy ASHRAE 62.2-2016 requirements.

The relative exposure can be interpreted as a multiplier that could be applied to any generic contaminant emitted uniformly and at a constant rate from only indoor sources. For example, a value of 1.2 reflects a 20% increase in pollutant concentration relative to the concentration that would occur if the home's actual ventilation ( $Q_i$ ) was at the target ventilation rate ( $Q_{total}$ ). Or a value of 0.66 would reflect a 34% reduction in the pollutant concentration, relative to the concentration at the target ventilation rate.

In general, the pollutant concentration is inversely related to the ventilation rate. As a result, the increased airflow required to reduce the concentration by some fixed amount is much greater than the reduction in airflow needed to result in a similar increase in the concentration.

### 2.3.2 Airtightness, IAQ and Energy Consumption

Overall, reducing air leakage while mechanically ventilating to maintain equivalent IAQ is expected to save energy for two reasons: (1) it reduces the variability in the ventilation rate throughout the year, shifting airflows to milder weather conditions, and (2) this reduction in variability means the same exposure can be maintained with a lower total airflow. Both of these effects reduce the heating and cooling loads associated with ventilation, even when the same relative exposure is maintained.

### 2.3.3 Simulation Tool

The REGCAP simulation tool is used to predict the ventilation and energy performance. It combines detailed models for mass-balance ventilation (including envelope, duct and mechanical flows), heat transfer, HVAC equipment and moisture. Two zones are simulated: the main house and the attic. REGCAP is implemented using a one-minute time-step to capture sub-hourly fan operation and the dynamics of cycling HVAC system performance.

#### 2.3.3.1 *Prototype Descriptions*

Two CEC prototype homes were simulated: one- and two-story, referred to throughout as “med” (or “medium”) and “large”, respectively. These were made to align as well as possible with the prescriptive performance requirements (Option B) in the 2016 Title 24 Building Energy Efficiency Standards. Thermostat schedules were set to meet those specified in the 2016 Title 24 Alternative Calculation manual (ACM). Heating and cooling equipment was sized using Air Conditioning Contractors of America (ACCA) Manual J load calculation procedures. Current deviations from the Title 24 prescriptive path prototypes include no economizer fans, internal gains based on RESNET calculation method, HVAC equipment efficiencies and elimination of duct leakage to outside. Equipment efficiency was increased beyond prescriptive minimums to SEER 16 A/C and 92 AFUE gas furnaces in order to align with standard new construction practice.

The climate zones were chosen to capture a range of heating and cooling loads. The airtightness levels used in the simulations were 0.6, 1, 2, 3 and 5 ACH<sub>50</sub>. The ventilation fan for Title 24 compliance was sized according to seven different calculation methods. Each case was simulated with both balanced and unbalanced dwelling unit ventilation fans. A baseline case with no dwelling unit ventilation fan operating was simulated for each combination of prototype, airtightness and climate zone. The ventilation energy use was the difference in total annual HVAC consumption between the fan and no fan cases, which includes changes in fan energy and thermal loads from air exchange.

#### 2.3.3.2 *Weighted Average Calculations*

To scale these individual cases up to statewide estimates, weighting factors were developed that represent our best estimate of the current distribution of parameters, including climate zone, envelope airtightness, house prototype and ventilation fan type. A second series of weighting factors were developed to represent a proposed envelope leakage requirement of 3 ACH<sub>50</sub>. The weighting factors are discussed further in Appendix B. Even though this is an imperfect approach to characterizing the entire new California single-family building stock, it provides a way to generalize and summarize our results, with a focus on where and how new homes are built in the state. For example, this method gives greater weight to results from the mild climate zones in Southern and Central California where most new home development occurs in the state, and it reduces the effect of the larger energy impacts in sparsely populated zones, like CZ1 (Arcata) or 16 (Blue Canyon).



### 2.3.3.3 Energy Use Normalization with Relative Exposure

When assessing energy savings from an airtightness requirement, the results conflate changes in airtightness with changes in the ventilation rate and relative exposure. To isolate the energy associated with ventilation and infiltration from other envelope loads, we simulated cases with no fan operation and no envelope leakage. The energy use for these cases was subtracted from the total to get the ventilation-only component. We used these ventilation-only energy use estimates to determine estimates of energy savings normalized by relative exposure. This is achieved by simply multiplying the ventilation-only energy estimates by the relative exposure in this case. E.g., a relative exposure of 1.2 would lead to a 20% increase in energy use to correct to a relative exposure of 1. While this assumed linear relationship may not be exactly true in all cases it is the only way to achieve comparisons at the same relative exposure without considerable manual iteration. The total HVAC energy use was then calculated for each case by adding the adjusted ventilation energy use back onto the envelope-only HVAC energy use to provide an estimate of energy use for each case when they are forced to provide the same exposure.

### 2.3.3.4 Dwelling unit ventilation fan Size Calculation With Fixed Natural Infiltration

We assessed three fan sizing methods that have fixed assumptions for natural infiltration and do not include variability in house leakage. Their calculated fan airflows do not vary by the factors that affect infiltration: airtightness, house geometry and climate zone. These methods were chosen to reflect the most common approaches in California construction: two are directly from the Title 24 Building Energy Efficiency Standards and the third is based on field observations of installed systems (Builder Practice).

#### Fan Ventilation Rate Method (T24\_2008)

The Fan Ventilation Rate method (referred to as *T24\_2008*) was added as a requirement in the Title 24 (2008) Residential Compliance Manual Section 4.6.2. It calculates dwelling unit ventilation fan airflow from conditioned floor area and occupancy, as shown in Equation 3. This was the fan sizing equation in the version of ASHRAE 62.2 at the time the Title 24 requirement was written. This fan sizing approach implicitly assumed a background infiltration rate equivalent to 0.02 cfm per ft<sup>2</sup> of conditioned floor area. This is an appropriate natural infiltration rate assumption for homes in the 5-7 ACH<sub>50</sub> range, but it is inadequate for substantially more airtight homes. The *T24\_2008* method results in fan sizes that do not vary by either airtightness or location. This fan sizing method continues to be available in the current 2016 Title 24, and it is the default sizing method for IAQ ventilation in the prescriptive and performance path homes.

$$Q_{fan} = \frac{A_{floor}}{100} + 7.5 \times (N_{br} + 1) \quad (3)$$

$Q_{fan}$  = calculated dwelling unit ventilation fan airflow, cfm

$A_{floor}$  = conditioned floor area, ft<sup>2</sup>

$N_{br}$  = number of bedrooms

#### Total Ventilation Rate Method ( $Q_{total}$ )

In 2013, the Total Ventilation Rate method was added to the Title 24 Building Energy Efficiency Standards as an alternative IAQ compliance path for airtight, low-infiltration homes. Homes using the Total Ventilation Rate method would typically calculate a fan size by subtracting an infiltration estimate from a dwelling unit target airflow. This is based directly on changes to ASHRAE 62.2 that explicitly changed the basic equations from fan sizing (based on an assumed natural infiltration airflow of 2 cfm/100 sq. ft. of floor area) to a total ventilation target. In this no-infiltration sizing method (referred to as  $Q_{total}$ ), we simply set the dwelling unit fan airflow equal to the dwelling unit ventilation airflow target, as in Equation 4, where the fan airflow is equal to  $Q_{tot}$ .

$$Q_{tot} = 0.03 A_{floor} + 7.5 \times (N_{br} + 1) \quad (4)$$

### Current Builder Practice Method (BuilderPractice)

Field studies, including preliminary feedback from the HENGH field study, suggest that current builder practice in California homes is to install a dwelling unit ventilation fan that is oversized relative to the T24\_2008 airflow requirement by roughly 40%<sup>4</sup>. We refer to this fan sizing as *BuilderPractice* and use a 40% oversized fan in the simulations.

#### 2.3.3.5 Dwelling unit ventilation fan Size Calculation with House-Specific Natural Infiltration

Four dwelling unit fan sizing methods are examined that include house-specific natural infiltration estimates with varying levels of sophistication, all of which are based on the methods in the ASHRAE 62.2 ventilation standard. ASHRAE 62.2-2016 is structured to help ensure that all compliant homes have similar dwelling unit airflows that are consistent with the target airflow set by the standard ( $Q_{tot}$ ). We begin by outlining the general process of calculating a dwelling unit target airflow ( $Q_{total}$ ), a house-specific infiltration estimate ( $Q_{inf}$ ), and the resulting requirement for the dwelling unit mechanical ventilation system ( $Q_{fan}$ ). We then highlight where specific fan sizing methods diverge from this general approach.

### Total Ventilation Rate Method Including Infiltration (T24\_2013)

Here we take the Total Ventilation Rate method, above, and account for natural infiltration in the dwelling unit fan sizing; it is henceforth referred to as *T24\_2013*.

The target total ventilation airflow, comprising the combined natural and mechanical flows, is calculated using Equation 4. The natural infiltration airflow is estimated from blower door air leakage, house geometry and climate data using the procedures from ASHRAE 62.2-2016 (see Appendix B for more details).

---

<sup>4</sup> The 70 homes in the current study had an average measured fan flow 50% above the minimum requirement. However, all these data were not available at the time of performing the simulations and a 40% value was used based on the initial field study results and the results of Stratton et al. (2012) in 15 California homes.

## ASHRAE 62.2-2016 Ventilation Standard Method (ASH622\_2016)

The current ASHRAE 62.2-2016 ventilation standard (referred to as *ASH622\_2016*) builds on the T24\_2013 calculation approach, but it adds a superposition adjustment ( $\phi$ , see Equations 5 and 6) to account for the sub-additivity of unbalanced mechanical airflows with natural infiltration. Inclusion of superposition reduces the effective infiltration airflow, as explained earlier in Equation 2.

$$\phi = \frac{Q_{inf}}{Q_{total}} \quad (5)$$

where  $\phi$  is the sub-additivity factor, having a value of 1 if the dwelling unit fan is a balanced system.

$$Q_{fan} = Q_{total} - \phi(Q_{inf}) \quad (6)$$

## 2019 Title 24 Method (T24\_2019)

This fan sizing procedure is identical to the ASH622\_2016 method, except envelope leakage is treated differently. IAQ fans in homes with envelope leakage greater than 2 ACH<sub>50</sub> are sized using a default 2 ACH<sub>50</sub> envelope leakage value. Homes with reduced envelope leakage below the 2 ACH<sub>50</sub> limit use the actual leakage rate in fan sizing calculations. For very airtight homes, the calculated IAQ fan sizes are identical to those using the ASH622\_2016 sizing procedure, while leakier homes have larger fan airflows, because of lower natural infiltration estimates resulting from the default leakage rate of 2 ACH<sub>50</sub>.

### 2.4.3.6 Calculation of Relative Exposure

The relative exposure for a given time step is calculated from the relative exposure from the prior step ( $R_{i-1}$ ), the target ventilation rate ( $Q_{tot}$ ) and the current ventilation rate ( $Q_i$ ) using Equation 7, unless the real-time or scheduled ventilation is zero, then Equation 8 is used.

$$R_i = \frac{Q_{tot}}{Q_i} + \left( R_{i-1} - \frac{Q_{tot}}{Q_i} \right) e^{-Q_{tot}\Delta t/V_{space}} \quad (7)$$

$R_i$  = relative exposure for time-step  $i$

$R_{i-1}$  = relative exposure for previous time-step  $i-1$

$Q_{tot}$  = Total ventilation rate from ASHRAE 62.2-2016, cfm

$Q_i$  = Ventilation rate from the current time-step, cfm

$\Delta t$  = Simulation time-step, seconds

$V_{space}$  = Volume of the space, ft<sup>3</sup>

$$R_i = R_{i-1} + \frac{Q_{tot}\Delta t}{V_{space}} \quad (8)$$

The real-time ventilation rate ( $Q_i$ ) is the combined airflow of the dwelling unit ventilation fan and natural infiltration, predicted by the REGCAP mass balance model.

## CHAPTER 3: Results

### 3.1 Characteristics of Field Study Homes

#### 3.1.1 House Characteristics

Figure 2 shows the locations of the sampled homes. Forty-eight of the sampled homes were in PG&E service area and the other 22 were in SoCalGas service area.

**Figure 2: Sampled Homes Locations**

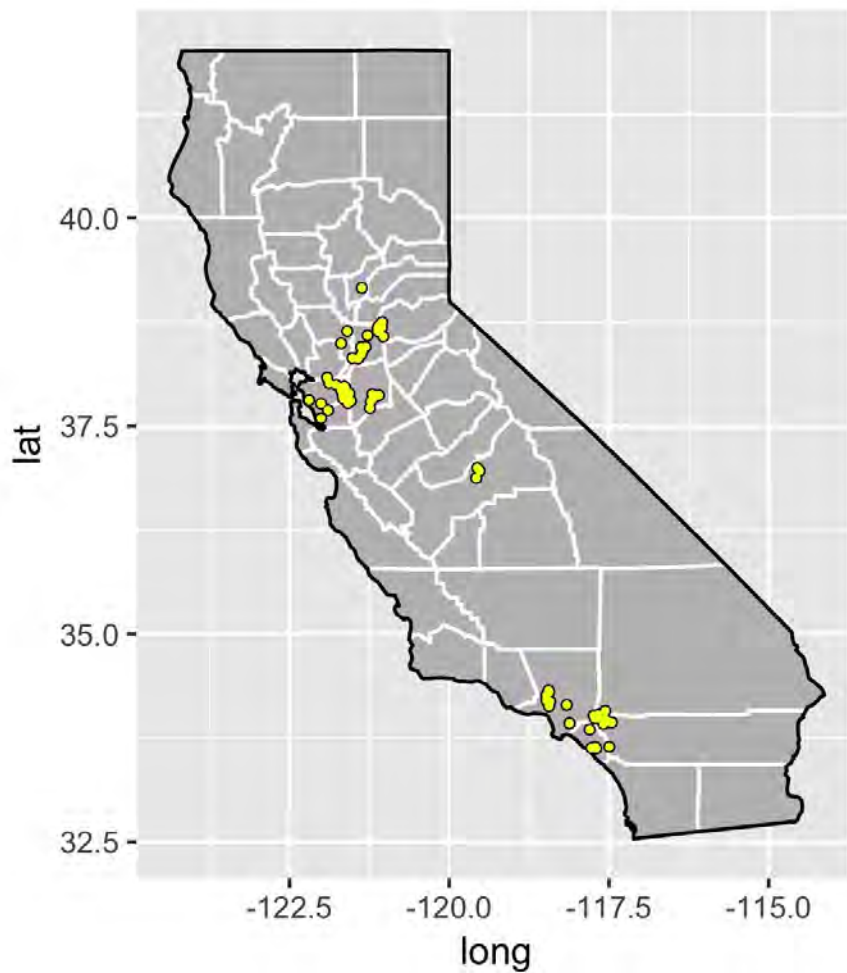


Table 3 shows the cities and climate zones where HENGH study homes were located. About 70% of new home construction in California is located within one of the 7 represented climate zones, based on the projected new housing by the CEC Demand Analysis office for 2017 (the same data was used to calculate weighing factors for the simulation analysis, see Appendix B).

Sampling occurred throughout the year, with summer (June through September) having the most samples, as shown in Table 4.

**Table 3: Sampled Homes by Cities and Climate Zones (N=74)**

<b>IOU</b>	<b>Climate Zone</b>	<b>Cities (Number of homes)</b>	<b>Number of Homes</b>	<b>Total</b>
PG&E	3	Discovery Bay (2), Hayward (2), Oakland (1)	5	48
	11	Marysville (1)	1	
	12	Brentwood (12), El Dorado Hills (10), Elk Grove (6), Manteca (4), Mountain House (2), Pittsburg (2), Davis (1), Dublin (1), Sacramento (1)	39	
	13	Clovis (3)	3	
SOCALGAS	8	Irvine (2), Downey (1), Lake Forest (1), Yorba Linda (1)	5	22
	9	Van Nuys (5), Alhambra (1)	6	
	10	Jurupa Valley (5), Chino (4), Corona (1), Eastvale (1)	11	

**Table 4: Sampled Homes by Seasons**

<b>Season</b>	<b>Months</b>	<b>Number of Homes</b>
Winter	Dec-Feb	16
Spring	Mar-May	13
Summer	Jun-Sep	27
Fall	Oct-Nov	14
Total		70

The earlier study by Offermann examined homes built between 2002 and 2004 and collected data from summer 2007 through winter 2008. This study sampled homes roughly a decade later,

with most homes built between 2012 and 2016, and visited in fall 2016 through March 2018. The distribution of HENGH homes' construction years is shown in Table 5.

**Table 5: Sampled Homes by Year Built**

<b>Year Built</b>	<b>Number of Homes</b>
2011	1
2012	7
2013	13
2014	17
2015	15
2016	14
2017	3
Total	70

Tables 6 and 7 summarize the distribution of bedrooms and bathrooms. Almost all the homes had between 3 and 5 bedrooms.

**Table 6: Sampled Homes by Number of Bedrooms**

<b>Bedrooms</b>	<b>Number of Homes</b>
1	1
2	3
3	20
4	28
5	17
6	1
Total	70

**Table 7: Sampled Homes by Number of Bathrooms**

<b>Bathrooms</b>	<b>Number of Homes</b>
1–1.5	1
2–2.5	24
3–3.5	39
4–4.5	8
5–5.5	2

This study included a mix of one-story and two-story houses with a solitary three story home as summarized in Table 8.

**Table 8: Sampled Homes by Number of Stories**

<b>Stories</b>	<b>Number of Homes</b>
1	23
2	31
3	1

Most of the homes had floor areas in the range of 2000 to 3500 ft<sup>2</sup>, as shown in Table 9. The distribution of home sizes in the new study was very similar to homes in the Offermann study. For HENGH the Mean / Median / Interquartile (IQ) range were: 2657 / 2767 / 2096–3102 ft<sup>2</sup>. In the Offermann study the Mean / Median / IQ range were: 2669 / 2703 / 2166–3152 ft<sup>2</sup>.

**Table 9: Sampled Homes by Floor Area**

<b>Floor Area (ft<sup>2</sup>)</b>	<b>Number of Homes</b>
<1500	4
1500–1999	8
2000–2499	12
2500–2999	12
3000–3499	13
≥3500	6

Offermann reported that homes were 1.7 to 5.5 years old when monitored in the CNHS study. HENGH homes were visited when slightly newer, with the majority being between 1 and 3 years at the time of monitoring (Table 10).

**Table 10: Age of Homes When Sampled**

<b>Age of Home When Sampled</b>	<b>Number of Homes</b>
<1	2
1	14
2	32
3	14
4	4
5	2
No Response	2
Total	70

All homes in the current study had gas cooktops. This is different from the Offermann study, in which 2% were gas and 98% were electric. The HENGH sample included many homes with electric ovens and/or clothes dryers.

**Table 11: Appliance Fuel Use in Sampled Homes**

<b>Appliance</b>	<b>Number of Homes – Gas</b>	<b>Number of Homes – Electric</b>
Cooktop	70	0
Oven	30	40
Clothes Dryer	42	28
Water Heater	70	0
Heating	69	1

Twenty-six of the 70 homes had a gas fireplace in the main living space and all were vented to outside (as required in California). One home had a second gas fireplace inside the master bedroom. Three homes had a gas fireplace outdoors, and three in an indoor/outdoor space, e.g., a California Room.



### 3.1.2 Household Demographics

Data on household demographics were obtained via the survey. Table 12 shows that the most common household sizes were two or three residents and there were only three homes with a single resident. Summary data on the number of homes with occupants from each age group are provided in Table 13. Among the 70 homes sampled, 41 had no youths and 49 had no seniors, whereas only 8 homes had no (traditionally defined) working age adults.

**Table 12: Number of Occupants in Sampled Homes**

<b>Number of Occupants</b>	<b>Number of Homes</b>
1	3
2	29
3–4	23
5–6	9
7 or more	3
No response	3
Total	70

**Table 13: Number of Occupants in Sampled Homes by Age Group**

<b>Number of Occupants Within Age Group</b>	<b>Number of Homes with Designated Number of Occupants in Designated Age Group</b>		
	<b>Age 0–17</b>	<b>Age 18–65</b>	<b>Age 65+</b>
0	41	8	49
1	7	7	10
2	14	41	9
3	3	8	0
4	2	2	0
5	1	2	0
No response	2	2	2
Total	70	70	70

Table 14 indicates that the study sample comprised mostly college-educated heads of household, with about half having graduate degrees. The household earnings (Table 15) were also skewed toward higher earners, which is not surprising given the high cost of real estate in California.

**Table 14: Education Level of Head of Household in Sampled Homes**

	<b>Number of Homes</b>
Completed high school	1
Some college	5
Associate's degree	2
College degree	23
Graduate or professional degree	36
No response	3
Total	70

**Table 15: Total Household Income in Sampled Homes**

	<b>Number of Homes</b>
\$35,000–\$49,999	1
\$50,000–\$74,999	2
\$75,000–\$99,999	5
\$100,000–\$150,000	29
Greater than \$150,000	29
No response	4
Total	70

Study participants were the first owners in most of the homes, as indicated in Table 16. Many had their floor plans and appliance user manuals, and shared them with the research team.

**Table 16: Responses to Survey Question: Are you the first owner of the property?**

<b>Survey Response</b>	<b>Number of Homes</b>
Yes	53
No	9
No response	8
Total	70

### 3.1.3 Understanding of Mechanical Ventilation System Operation

Study participants answered two survey questions about their understanding of the operation of their own mechanical ventilation system. The responses are summarized in Table 17 and Table 18. A little more than half of the study participants responded that they understand how to operate their mechanical ventilation system, with 31 not knowing or not being sure. Only 29 said the system was explained to them at the time of purchase.

**Table 17: Answer to Survey Question: Do you feel you understand how to operate your mechanical ventilation system properly?**

<b>Survey Response</b>	<b>Number of Homes</b>
Yes	38
No	12
Not sure	19
No response	2
Total	70

**Table 18: Answer to Survey Question: Was the operation of the mechanical ventilation system explained to you when you bought or moved into the home?**

<b>Survey Response</b>	<b>Number of Homes</b>
Yes	29
No	30
Don't know	9
No response	2
Total	70

Study participants also answered questions about thermal comfort in winter and summer, air distribution, and moisture level.

- In winter / summer, how often is the temperature in your home uncomfortable to any occupants because some room(s) are too hot or too cold?
- How often do the following conditions affect comfort of occupants in your home?
  - Too much air movement
  - Not enough air movement
  - Indoor air is too dry
  - Indoor air is too damp
  - Indoor air as musty odor

The most commonly reported issues affecting occupant comfort a few times per week or more frequently are too cold in winter (29%), too hot in summer (31%), and not enough air movement (21%). Comparing responses from the 70 sampled homes with the larger sample of homes that completed the web-based survey (Table 19), fewer field study homes complained of being too hot in summer (31% versus 41%), but more of them complained of being too cold in winter (29% versus 20%). These differences may be partly explained by the web-based survey respondents being predominantly from SoCalGas territory, where the winter is milder. Forty-three percent of web-based survey respondents reported never opening windows in summer (Table 20), presumably relying on air conditioning for cooling. In contrast, only 23% of field study homes reported never opening windows in summer; presumably this indicates that the field study homes are more likely to open their window in summer to cool the house. This may explain why fewer field study homes reported being too hot in summer, compared to web-based survey respondents. Interestingly, the percent reporting too cold in summer was roughly twice as high in the HENGH homes. Reported rates of other types of discomfort were similar between the two samples.

**Table 19: Comparison of survey responses from field study with results from HENGH survey**

<b>Issues Affecting Occupant Comfort a Few Times per Week or More Frequently</b>	<b>Field Study (N=70)</b>	<b>HENGH Survey (N=2271)</b>
Too hot in summer	31%	41%
Too cold in winter	29%	20%
Not enough air movement	21%	18%
Too hot in winter	14%	10%
Indoor air too dry	9%	11%
Too cold in summer	4%	9%
Too much air movement	1%	5%
Musty odor	1%	3%
Indoor air too damp	1%	2%

See Appendix A for details about HENGH web-based survey.

### 3.1.4 Self-Reported Window Use Under Typical Conditions

As part of the activity survey, participants estimated their typical window use by season. The results are generally consistent with the findings of the prior mailed survey (Price et al., 2007). In summer, fall, and spring, approximately half of the homes (47% on average) reported substantial window use (>2 hours per day on average); but during winter more than half (57%) reported not opening their windows at all. For context, it is important to note the finding of Offermann (2009) that actual window use exceeded seasonal projected use in the sample of homes for which both types of data were available.

Two study participants gave written feedback that keeping windows closed during the one-week monitoring period was a significant deviation from their normal use.

- “Closed windows was the most difficult given the good weather.”
- “We really missed having our windows open, but other than that it was not bad.”

**Table 20: Self-Reported Window Use in Sampled Homes**

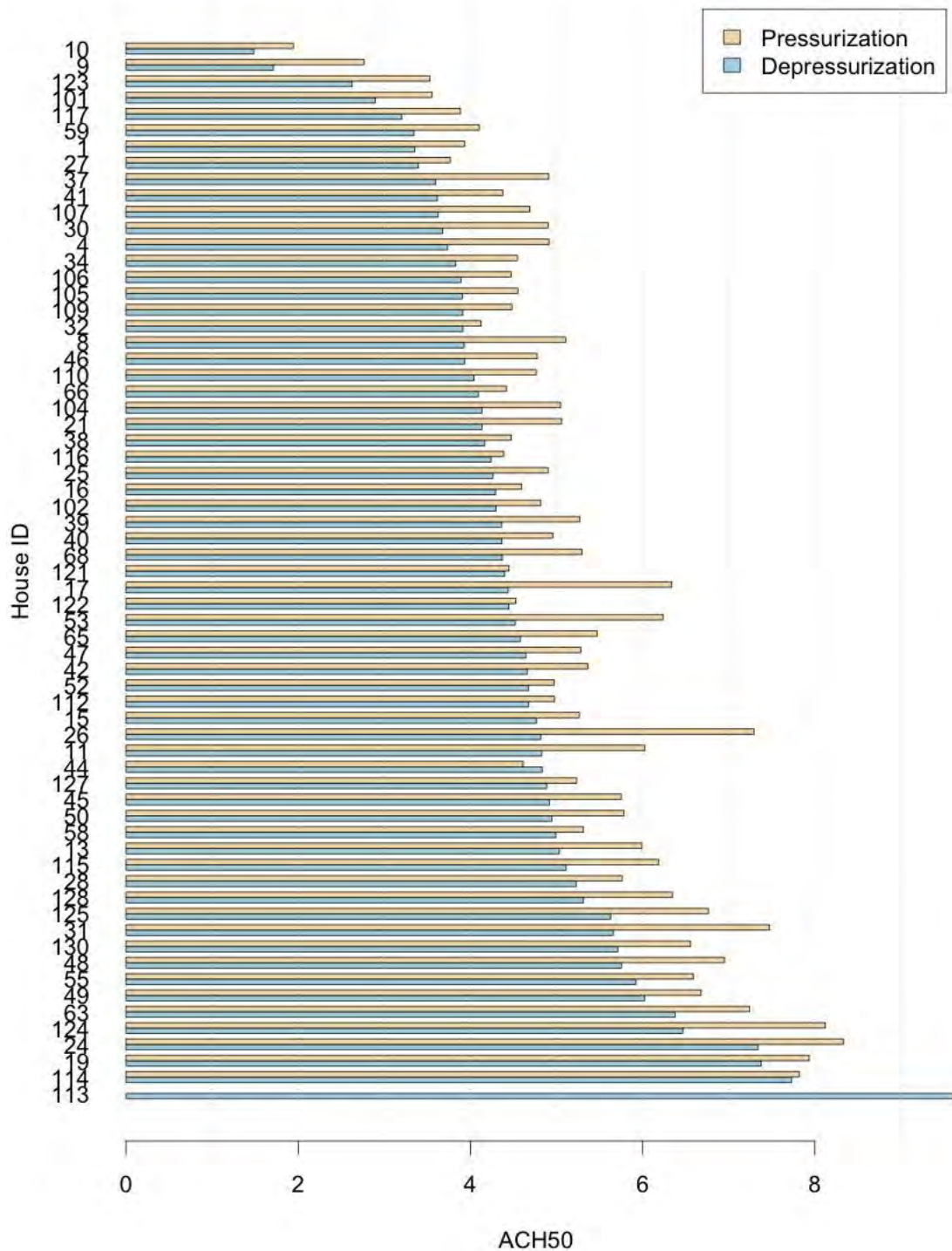
Hours per Day	Percent of respondents saying that windows in their home were opened for the number of hours in the first column							
	Summer		Fall		Winter		Spring	
	Field Study	Survey	Field Study	Survey	Field Study	Survey	Field Study	Survey
8+	17%	28%	24%	38%	3%	20%	27%	40%
2–8	29%	14%	26%	25%	10%	18%	19%	25%
1–2	29%	11%	27%	14%	26%	20%	30%	14%
0	23%	43%	19%	18%	57%	38%	20%	16%
No response	3%	4%	4%	4%	4%	5%	4%	5%

See Appendix A for details about HENGH web-based survey.

## 3.2 Envelope and Duct Leakage

Envelope leakage was measured using the DeltaQ test by first blowing air into a home (pressurization) then repeating the testing by sucking air out of the home (depressurization). The results were converted to ACH50 using the volume of the home and a calculated flow at 50 Pa. The results are shown in order from most leaky to most tight in Figure 3. Measured air leakage under pressurization was higher than depressurization by 20% on average. This result is not unusual and is due to “valving” of some envelope leaks, e.g., from an exhaust fan damper being pushed open during pressurization. Most homes were between 3 and 6 ACH50 (Figure 4). Only four homes had envelope leakage less than 3 ACH50, the level required for compliance with the 2018 International Energy Conservation Code (ICC 2018).

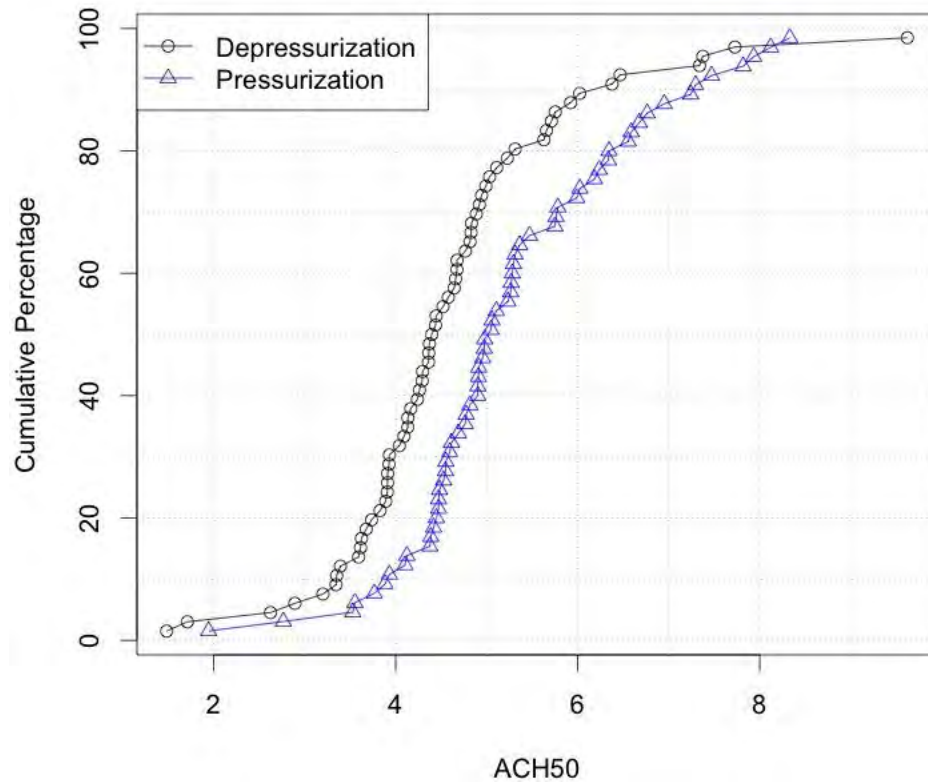
Figure 3: Envelope Leakage Measured by DeltaQ Test



House 113 is an outlier in terms of its small floor area (675 ft<sup>2</sup>). Air leakage measured during pressurization was nearly twice the value as measured during depressurization. A damper being pushed open during pressurization test could explain the large difference in the air leakage measured under the two test conditions.

It is noteworthy that the measured envelope air leakage of study homes built mostly in 2012 to 2016 is in the same range as air leakage of California homes built in the early 2000s, as reported on the online residential diagnostics database (resdb.lbl.gov) and in Chan et al. (2013).

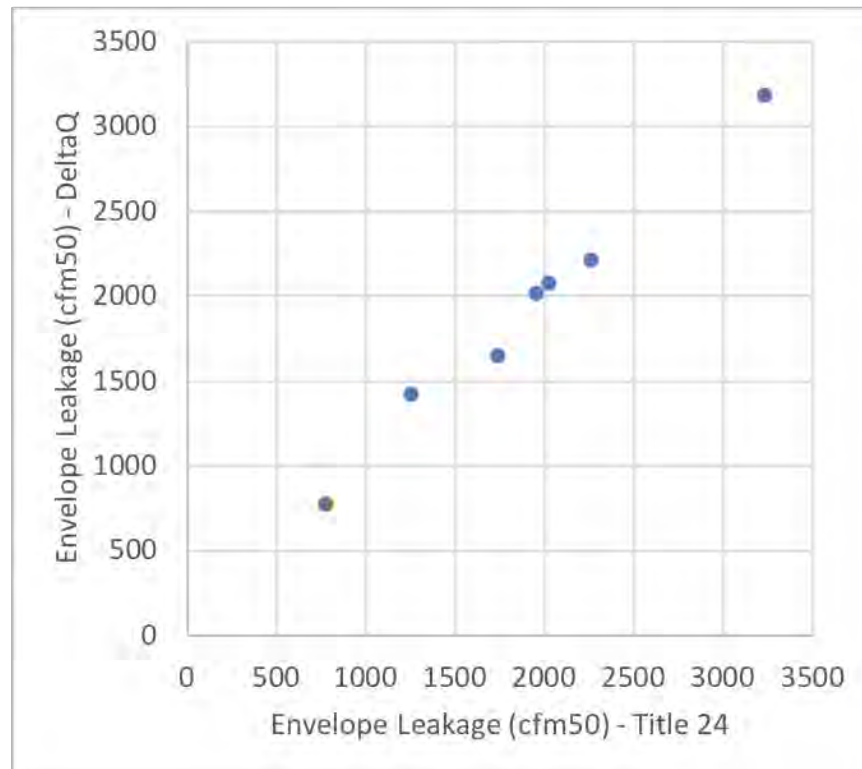
**Figure 4: Distribution of ACH50 from Envelope Leakage Measurements**



Title 24 compliance documents were obtained from CHEERS/CalCERTs for a subset of the homes (N=23). The measured envelope leakage was reported on the CF-1R form for only eight of these homes, as reporting is not mandatory. Figure 5 shows that envelope leakage measured in this study using the DeltaQ method corresponded closely to those reported in the Title 24 compliance records, which were likely measured by a standard blower door test. The two measurements of air leakage agreed with each other to within 5% in most of the 23 homes with data from both.

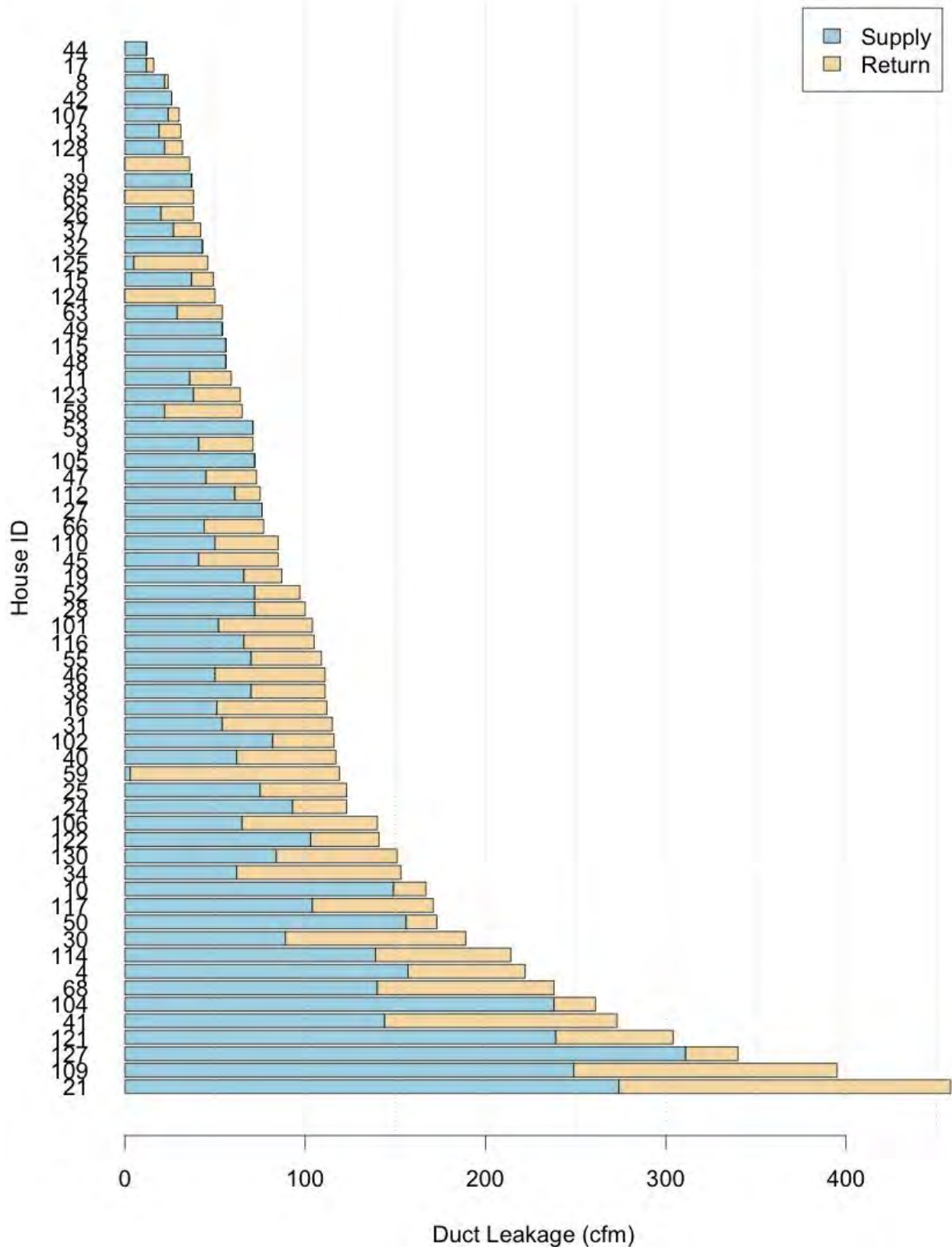


**Figure 5: Comparison of Envelope Leakage Reported in Title 24 Compliance Records and Measured by DeltaQ Test**



The DeltaQ test measures duct leakage at the operating pressure of the central fan system and measures supply and return leaks separately, as shown in Figure 6. Valid duct leakage measurements were obtained for 64 of 70 homes. Title 24 requires measurement of duct leakage at 25 Pa. Duct leakage measurements were available for all 23 homes from installation certificate (CF-6R) forms. Duct leakage measurements were also available from diagnostic testing results (CF-4R forms), but only for a subset of the homes (N=12). It is inappropriate to directly compare these two sets of measurements because they measure duct leakage under different equipment operating conditions.

Figure 6: Duct Leakage Measured by DeltaQ Test



### 3.3 Mechanical Ventilation System Characteristics and Flows

#### 3.3.1 Dwelling unit Mechanical Ventilation

Sixty-four of the 70 homes had exhaust ventilation; the other six had supply ventilation. Table 21 shows the number of homes by ventilation system type, operation mode, and location(s) of exhaust or supply fan (if any).

**Table 21: Dwelling unit Ventilation System Type**

System Type	Operation Mode	Fan Location(s)	Number of Homes
Exhaust	Continuous	Laundry Room	43
		Bathroom	9
		Attic	3
	Intermittent	Laundry Room	5
		Bathrooms (multiple)	4
Supply	Continuous	Attic	4
	Intermittent	None*	2
Total			70

\*These central fan integrated supply (CFIS) systems had a duct with motorized damper that connected the outdoors to the return side of the forced air system, but no supply fan.

##### 3.3.1.1 Supply Ventilation

In four (001, 003, 009, 010) of the six supply ventilation homes, a continuous supply fan in the attic drew in outdoor air and ducted it to the supply side of the forced air HVAC system through a filter (see Figure 7). Three of the homes had an on/off switch that controlled operation of the inline supply fan. In one home, the on/off switch had a “Whole House Ventilation Control” label (Figure 8, left). The fourth home had a programmable controller (Figure 8, left) that is not labeled.

Two homes (031, 055) had central fan integrated (CFIS) systems. These systems had a motorized damper open to draw outdoor air into the return plenum where airflow was induced by the operation of the forced air system blower rather than a separate fan. Outdoor air was not filtered for these systems because the filters were located at the return grilles and the outdoor air was introduced downstream of the grille. These systems were wired for control by a programmable thermostat; but the ventilation function was not programmed at either home and the intended (design) control algorithm was not apparent. (See Figure 9 for examples of CFIS control systems). As a result, these two homes were tested with the exhaust fan in the laundry room operating continuously during the one-week monitoring period to provide code-mechanical ventilation at a rate that exceeded the code requirement.

Figure 7: Supply Ventilation Filters



Photos of the supply air filter used in three homes.



**Figure 8: Continuous Supply Fan Control**



(left) Label reads: “Whole House Ventilation Control. Leave on except for severe outdoor air quality”.  
(right) Programmable controller used to control inline fan for supply ventilation.

**Figure 9: Central Fan Integrated System**



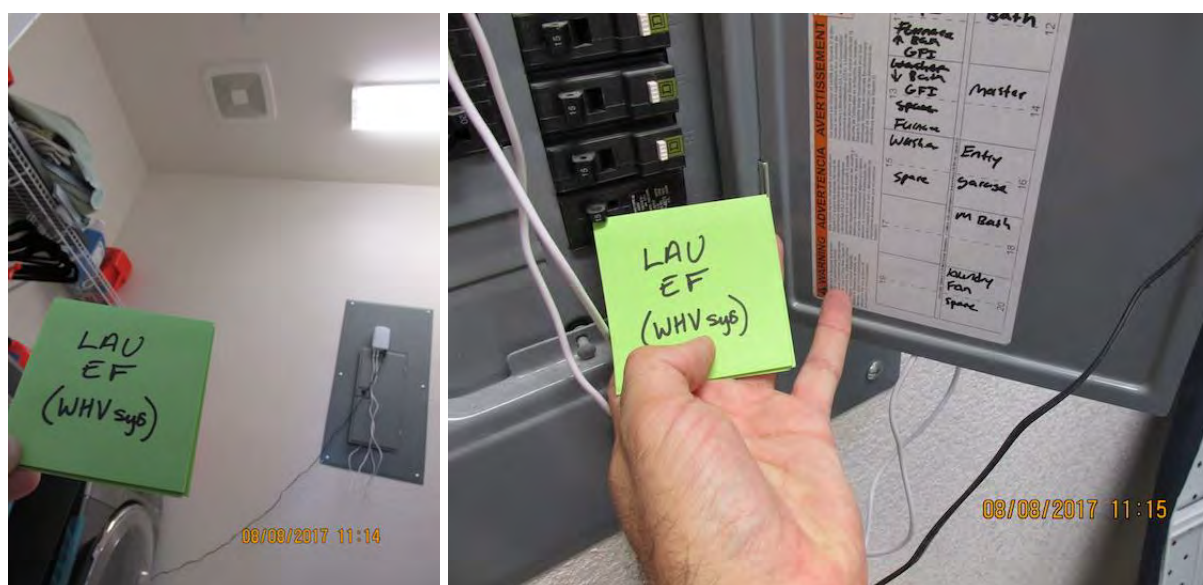
(top left) CFIS motorized damper and (top right) control module. (bottom) Thermostat showing ventilation control option was turned off.

### 3.3.1.2 Exhaust Ventilation

Of the 64 homes that met the Title 24 dwelling unit ventilation requirement with an exhaust system, 55 had continuous fan(s) and 9 had fans connected to controllers for intermittent operation. The continuous exhaust fan was located in the laundry room in 43 homes and in the bathroom in 9 homes. Three homes had a single continuous exhaust fan located remotely in the attic and connected to all bathrooms, as further described below. Five of the 9 intermittent exhaust fans were located in the laundry room and the other 4 were in bathrooms.

A simple on/off switch was used in the majority of homes that had continuous exhaust fans. In one home with a laundry exhaust fan, the only control was at the breaker panel (Figure 10).

**Figure 10: Continuous exhaust ventilation controlled at breaker panel in one home**



Three homes had a single exhaust fan located remotely in the attic and connected to all bathrooms; this configuration satisfied both local exhaust and dwelling unit mechanical ventilation airflow requirements. However, these homes had no switch inside the house that occupants could use to turn the fan on or off. The three homes with this type of exhaust ventilation system were located in the same housing development. The inline fan used in these homes had a rated airflow of 240 cfm. In all three cases, the field team observed installation problems. In one of the homes, the exhaust vent was detached from the roof (Figure 11, left). In the other two homes, the exhaust fan was not plugged in (Figure 11, right). In one of these two homes, the exhaust fan did not work and had to be replaced. Study participants contacted the builder and the repair occurred prior to the one-week monitoring in all three cases. A general challenge of this type of system is the following: without balancing dampers and commissioning to set these dampers the airflows from each bathroom can be quite different from one another. Table 22 shows the measured airflow rates in various bathrooms connected to the single exhaust fan.

**Figure 11: Continuous exhaust ventilation provided by a fan in attic**



Observed installation problem: (left) exhaust fan detached from roof, (right) exhaust fan not plugged in.

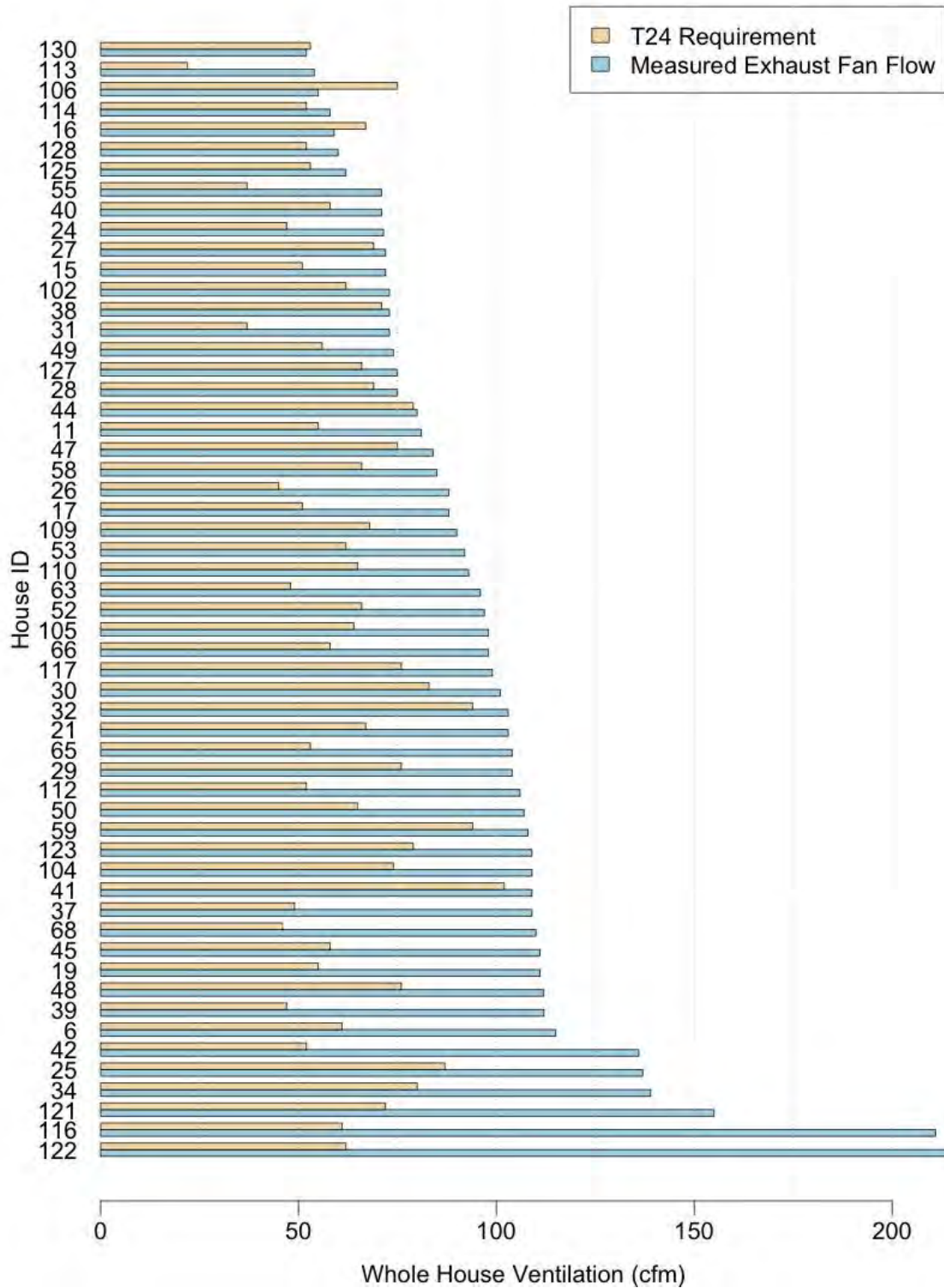
**Table 22: Measured Airflow in Bathrooms Connected to a Single Continuous Exhaust Fan in Attic**

	Measured Airflow (cfm)		
	House 116	House 121	House 122
Master Bathroom	49	25	39
Master Bathroom – Toilet	32	12	35
Full Bathroom 2	49	66	51
Full Bathroom 3	81	52	91
Total	211	155	216

Figure 12 shows the measured airflow of the dwelling unit continuous exhaust ventilation system rank ordered by measured airflow. In all but two cases (016, 106), the measured flows exceeded the Title 24 minimum requirement. The highest measured airflow rates were from the three homes (116, 121, 122) that used a single 240-cfm rated exhaust fan in the attic. The average minimum requirement was 63 cfm and the average installed flow was 96 cfm, or about 50% more than the minimum requirement. This is similar to the results in Stratton et al. (2012) for previous tests of new (built in 2010/2011) California homes.



Figure 12: Dwelling unit Ventilation Fan Flow Rate

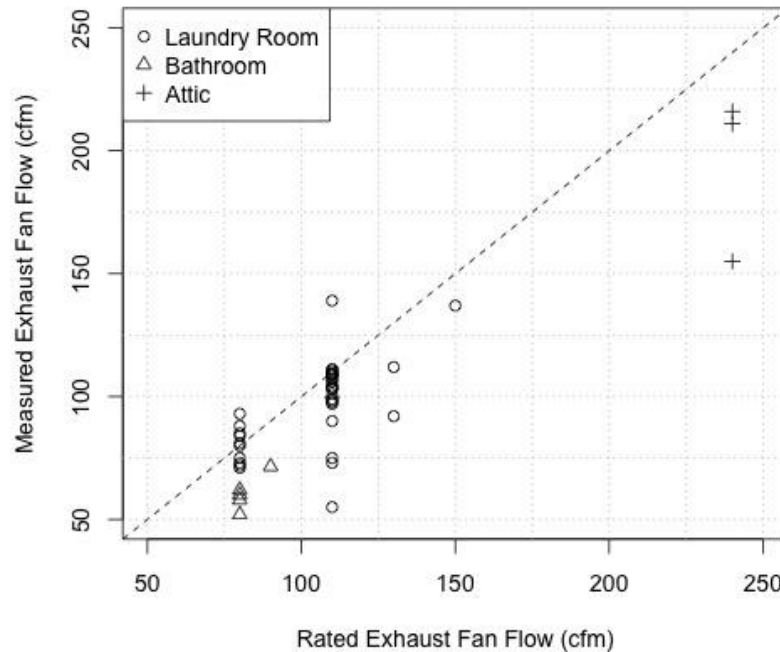


N=56, includes only continuously operating exhaust system with valid measured fan flow rate. Plot includes two homes with CFIS (031, 055) that were operated with laundry exhaust fan during the one-week monitoring period.



Figure 13 shows that the majority of the exhaust fans used to provide dwelling unit ventilation were rated at either 80 or 110 cfm. These were commonly available fan capacities provided by fan manufacturers. Note that the 110 cfm rated fans did not always achieve their rated flow, but still provided more flow than the minimum required by Title 24.

**Figure 13: Rated and Measured Fan Flow Rate of Dwelling unit Exhaust Ventilation**



### 3.3.1.3 Labeling and Operating Condition of Dwelling unit Ventilation in Homes As-Found

On the initial visit, the mechanical ventilation system was running in 18 homes (26%). The system was turned off in 52 homes. A key predictor of whether the system was operating appears to be whether the system control switch was labeled, and how clear the label was. Table 23 presents a summary of the system status when the research team first arrived to the home, by control type and presence or absence of any identifying label.

**Table 23: Dwelling unit Ventilation System Control**

<b>System Control</b>	<b>Label</b>	<b>System Status (as-found) - ON</b>	<b>System Status (as-found) – OFF</b>
On/Off Switch	Yes	7	5
	No	2	40
Programmable Controller	No	5	5
Thermostat	No	0	2
Breaker Panel	No	1	0
No Controller	No	3	0
Total		18	52

Both Title 24 and ASHRAE Standard 62.2 require that the controller of a dwelling unit ventilation system have an identifying and informative label. ASHRAE Guideline 24 provides the following example language for labeling:

*Manual switches associated with a whole-building ventilation system should have a clear label such as, “This controls the ventilation system of the home. Leave on except for severe outdoor contamination.” In addition, guidance on operations and maintenance procedures should be provided to occupants.*

The Title 24 Residential Compliance Manual also provides suggested labeling language, such as “Ventilation Control”, “Operate whenever the house is in use”, or “Keep on except when gone over 7 days”. The Compliance Manual recommends using more detailed labeling for intermittent systems to provide occupants with basic information on how to operate the timer. However, no specific wording is mandated in Title 24.

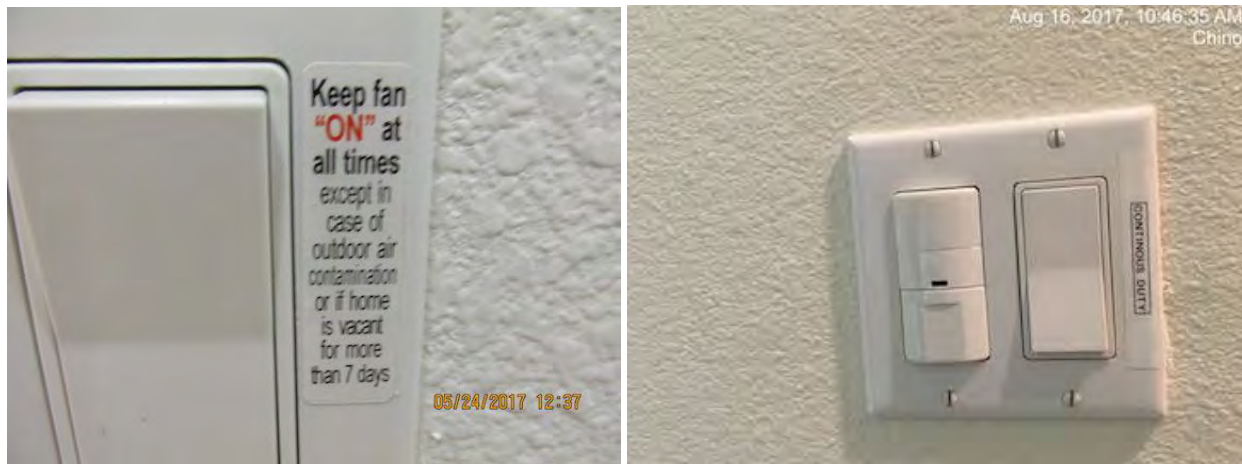
Only 11 homes had any label on the exhaust fan switch that identified it as controlling the dwelling unit ventilation system and all were on laundry room exhaust fans. In addition, only 1 in 6 homes that used supply ventilation had a labeled controller to identify its purpose.

The absence of labels is likely a contributing factor leading to systems being turned off. Furthermore, several of these labels were poorly worded, unclear and possibly confusing to occupants. A wide variety of labels were found (a couple of examples are illustrated in Figure 14). The following is a summary of the labeling “language”:

- “Whole House Ventilation Control. Leave on except for severe outdoor air quality.” (010, 026, 039, 049, 065; houses located in Davis, El Dorado Hills, Elk Grove, Manteca)
- “**Keep fan “ON” at all times** except in case of outdoor air contamination or if home is vacant for more than 7 days.” (029, 048, 050; houses located in Brentwood, Elk Grove)

- “To maintain minimum levels of outside air ventilation required by the State of California, this fan should be on at all times when the building is occupied, unless there is outdoor air contamination.” (053; house located in Hayward)
- “Continuous Duty” (105, 106, 109; houses located in Chino, Lake Forest)

**Figure 14: Dwelling unit Ventilation System Label**



The wording of the dwelling unit ventilation system label, like the choice of the system installer, has a direct impact on the understanding of the study participants. In the three homes that had the message “Continuous Duty”, all three systems were turned off.

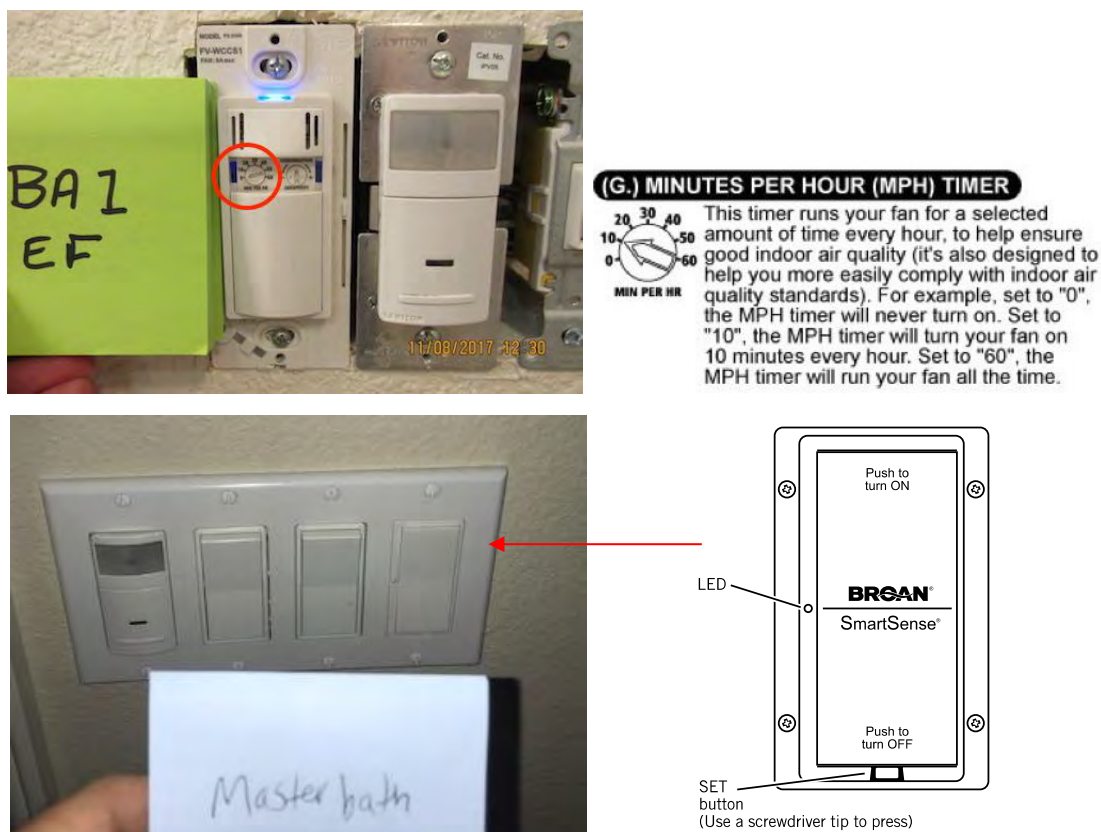
In 7 out of 9 cases where a more descriptive message was used to explain the purpose of the dwelling unit ventilation system, the system (laundry exhaust fan) was running when the research team arrived to the house. There was only one case (065) where the study participant did not understand that the intent was for the fan to be on continuously. A study participant in House 053 understood the meaning of the label, but explained that s/he did not feel dwelling unit ventilation system was always necessary. Occupants in House 053 made it a habit to turn the laundry exhaust fan off. They reported that the exhaust fan makes the laundry room colder in winter as another reason to turn it off.

Programmable controllers of dwelling unit ventilation systems also appeared to be confusing to study participants, leading to these systems not being operated. The field team observed two types of programmable controllers used in bathrooms (Figure 15). These programmable controllers also have humidity control. In addition, five homes from the same community development (004, 005, 007, 008, 013) used a different type of programmable controller in the laundry room (Figure 16) that does not have humidity control. The field team did not adjust the fan runtime setting on the programmable controller for the one-week monitoring.

Among the nine homes that used exhaust ventilation controlled by a programmable controller, only four (007, 101, 107, 115) had fans that were programmed to operate intermittently. Fans

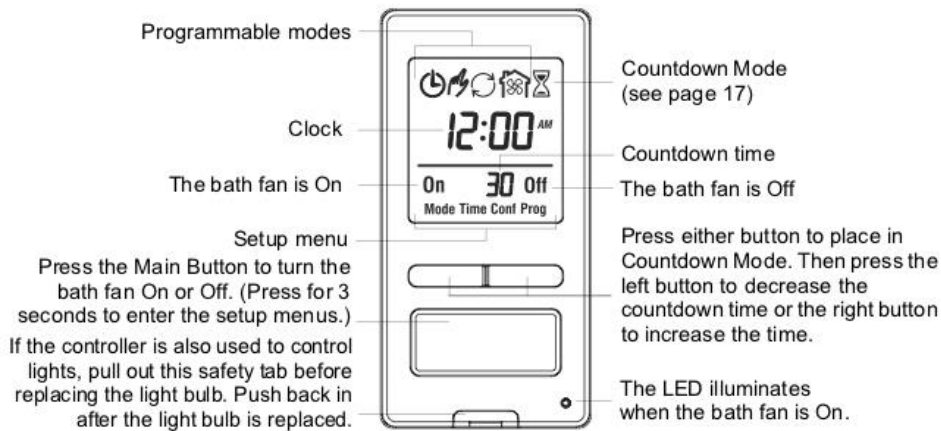
were set to run between 10 and 30 minutes every hour. Exhaust fans in the remaining five homes either did not operate at all during the one-week monitoring (005 and 046), operated constantly rather than intermittently for one week (013), operated constantly for a few days then turned off (008) or vice versa (004, i.e., off for a few days, then turned on). These results show that the runtime of intermittent exhaust fans was not properly set in many cases. The programmed setting can be easily overridden, leading to possible unintentional disabling of the ventilation system.

**Figure 15: Programmable Controller Used to Control Exhaust Ventilation in Bathrooms**



Schematics of programmable controller from online user manual: (top) Panasonic WhisperControls Adjustable Condensation Sensor used in home 046; (bottom) Broan SmartSense Intelligent Ventilation System used in home 101, 107 and 115.

**Figure 16: Programmable Controller Used to Control Exhaust Ventilation in Laundry Room**



Schematics of programmable controller from online user manual Honeywell Programmable Bath Fan Control.

### 3.3.2 Kitchen Range Hood

In more than half of the kitchens (N=38) exhaust ventilation was provided by an over the range (OTR) microwave with exhaust fan. Our measurements found that OTRs appeared to have much lower exhaust airflows than the 32 range hoods, as shown in Table 24; but as noted below, these data could be substantially biased by the method we used to measure airflow for OTRs.

The field method for measuring OTR exhaust flow in this study involved taping over the air inlet at the top front of the OTR and measuring the inlet airflow at the bottom. Since airflow through the microwave unit is generally restricted, it is very possible that the total exhaust ventilation is reduced when the higher inlet is obstructed. The trend of OTRs having lower airflows than range hoods has been reported in previous laboratory and field studies (e.g., Kim et al., 2018).

**Table 24: Measured Kitchen Range Hood Fan Flow (cfm)**

Fan Speed Setting	Mean (cfm)	
	Median (5 <sup>th</sup> –95 <sup>th</sup> %tile) (cfm)	
	Range Hood	Microwave
Low	142	80
	137 (59–292)	76 (33–141)
Medium	265	124
	224 (81–625)	121 (78–184)
High	341	128
	257 (138–806)	124 (37–216)

Most, but not all of the homes had kitchen exhaust devices that met the Title 24 minimum airflow requirement of 100 cfm as measured (Table 25); but many did so only at medium and high speed settings that may not comply with the 3 sone sound requirement. In general, the OTRs needed to operate at higher fan speeds to meet the 100 cfm requirement and only 24% of the OTRs met the airflow requirement at low speed. Nine (24%) of the OTRs did not move 100 cfm at any speed setting. In light of the potential bias noted above, we can only say that the actual airflows of OTR units as installed deserves further attention.

**Table 25: Fan Speed Settings at Which Range Hoods and Over-the-Range Microwave Exhaust Fans Moved at Least 100 cfm, as Required by Title 24.**

Lowest Fan Speed Setting Moving at Least 100 cfm	Range Hood	Over-the-Range Microwave
Low	22	9
Medium	7	14
High	3	6
No setting that moved at least 100 cfm	0	9
Total	32	38

Make and model information were obtained for 66 of the 70 range hood or OTRs. Only 11 of the 66 were listed in the Home Ventilating Institute (HVI) online catalog as having certified airflows and sound ratings; these include three distinct range hood models in four homes and two distinct OTR models across seven homes. Table 26 shows the HVI-certified airflow and sound

levels at high speed and low or “working” speed as well as the measured fan flows at all settings. All four of the range hoods moved 100 cfm at the low fan setting, which also met the sound requirement of <3 sones. None of the OTRs met the airflow requirement at the working speed, which was the only setting rated at <3 sones. All but one of the OTRs moved at least 100 cfm on high speed. The one that did not move 100 cfm had such low airflows that we suspect it may not have been installed properly for venting.

**Table 26: Rated and Measured Performance of HVI-Rated Range Hoods and Over-the-Range Microwave Exhaust Fans.**

<b>HVI Rated Kitchen Ventilation</b>	<b>HVI Rated CFM</b>	<b>HVI Rated Sones</b>	<b>House ID</b>	<b>Measured Fan Flow (cfm)</b>
Broan QP136SS	LS = 120, HS = 290	0.8, 5	027	132, 293
GE JV966DSS	WS = 160, HS = 590	0.4, 7.5	112	130, 224, 348, 434
			115	161, 266, 591, 780
KitchenAid KVWB606DSS	WS = 170, HS = 380	1.1, 5.5	010	138, 194, 227, 240
Whirlpool WMH31017	WS = 140, HS = 210	2, 5	001	77, 116
			019	68, 102
			028*	36, 42*
			046	84, 111
Whirlpool WMH53520	WS = 110, HS = 290	1.5, 7	015	58, 91, 97, 107
			040	82, 138, 130, 145
			101	79, 104, 102, 109

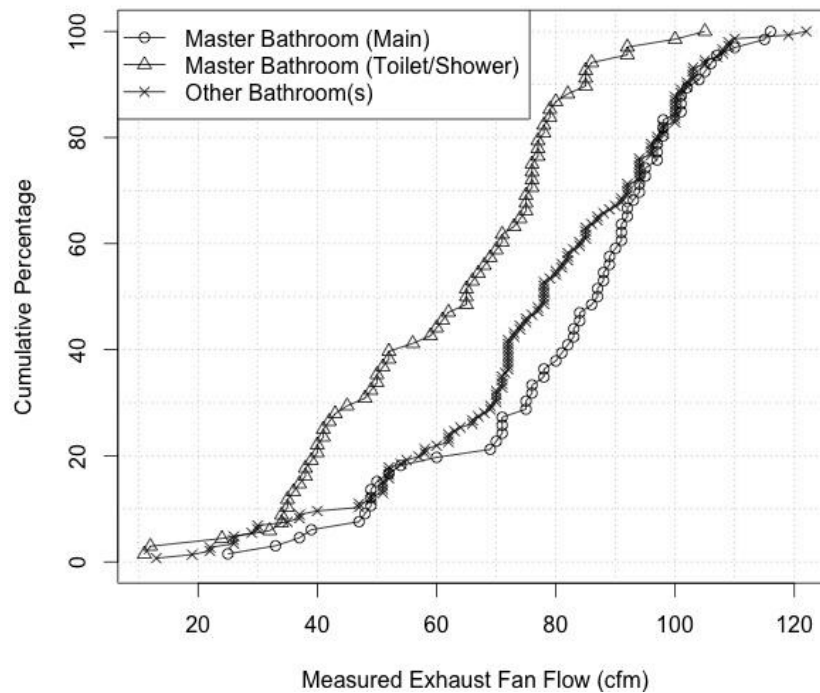
LS = low speed, WS = working speed, HS = high speed. Each row of measured fan flows represents one exhaust fan / home. \*Suspect installation problem with venting.

### 3.3.3 Bathroom Exhaust Fan

Most general bathroom exhaust fans met the requirement of 50 cfm minimum airflow for an intermittently operated fan. Figure 17 shows a cumulative distribution of the bathroom fan flow rates broken down into three categories: the main fan in the master bathroom; auxiliary fans in the master bath suite (e.g. in toilet room or shower; these are not required to meet the minimum airflow specifications if there is another fan in the bathroom), and exhaust fans in other bathrooms. Exhaust fans in the toilet room or shower tended to have lower measured airflows.



**Figure 17: Bathroom Exhaust Fan Measured Flow Rates**



The field team observed that in approximately two-thirds of homes (N=44) the main exhaust fan in the master bathroom had a humidistat control. The most common setting was 80% relative humidity for 20-minute runtime. However, lower relative humidity settings were also used: 30% (N=1), 50-60% (N=5), and 70-79% (N=6). Runtime was more consistently set between 15 and 20 minutes (N=18), with a few outliers: 5 minutes (N=2) and 40 minutes (N=1).

### 3.3.4 Mechanical and Total Ventilation Rate

Figure 18 summarizes the total mechanical ventilation airflow rate provided by all exhaust fans in homes and the estimated total outdoor airflow including air infiltration, during the week of monitoring. The mechanical fan flows were calculated by summing exhaust fan flows (dwelling unit exhaust fan, and other fans in bathroom, range hood, clothes dryer) weighted by their average usage time. Since it was not practical to directly measure the airflow of the clothes dryers in most homes, we assumed dryer airflow of 125 cfm based on a recent ENERGY STAR report<sup>5</sup>. The mechanical systems provided a large portion of total outdoor air in almost all homes and 78% on average.

---

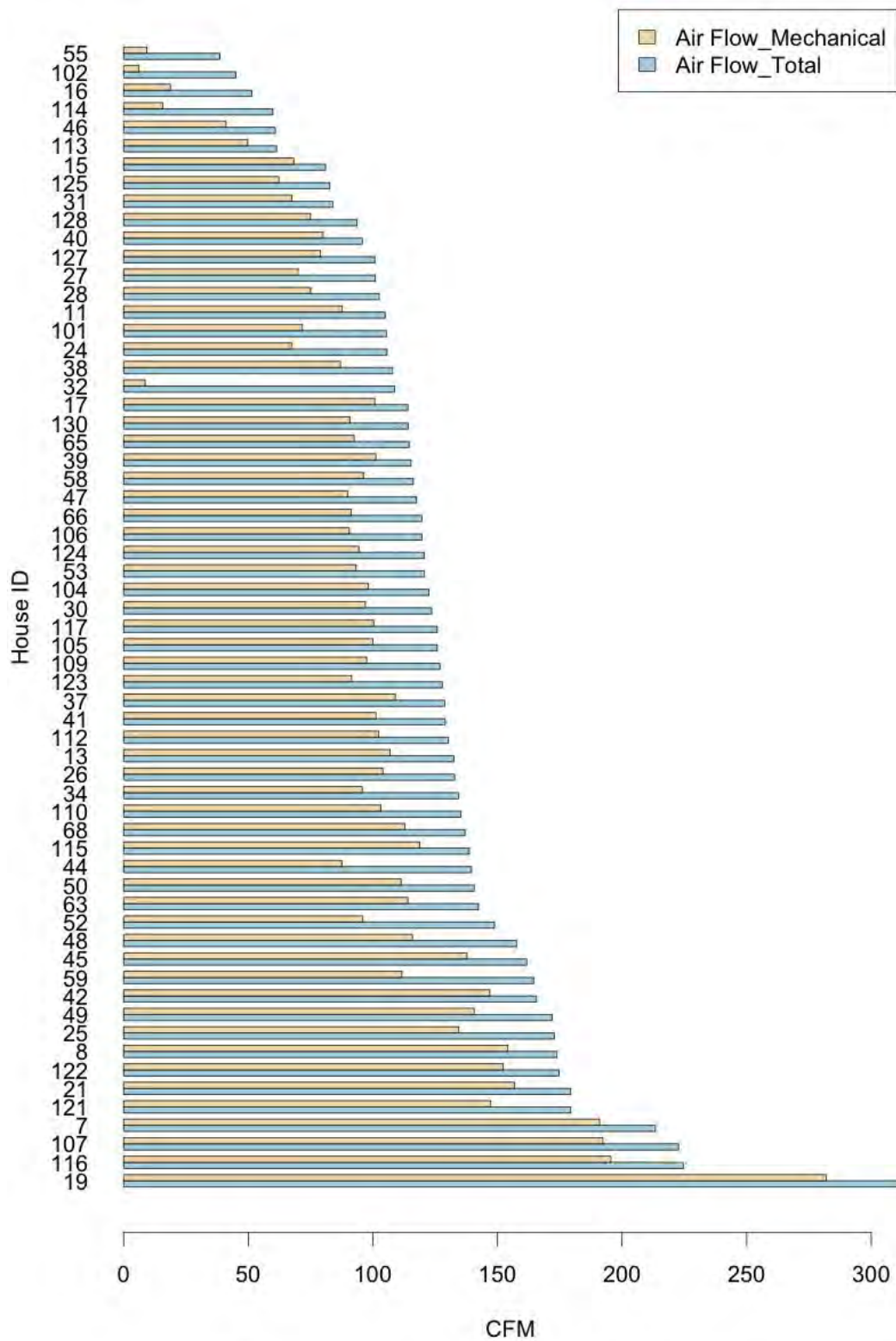
<sup>5</sup> ENERGY STAR reports rated fan flow of clothes dryer typically range between 100 and 150 cfm. [https://www.energystar.gov/sites/default/files/asset/document/ENERGY\\_STAR\\_Scoping\\_Report\\_Residential\\_Clothes\\_Dryers.pdf](https://www.energystar.gov/sites/default/files/asset/document/ENERGY_STAR_Scoping_Report_Residential_Clothes_Dryers.pdf)



The total mechanical airflow was very low in five homes (016, 032, 055, 102, 114) in which the continuous exhaust fan that was supposed to provide dwelling unit mechanical ventilation was turned off by occupants during the monitoring week. Another home (046) had an intermittent exhaust fan that was not correctly programmed to provide sufficient ventilation.

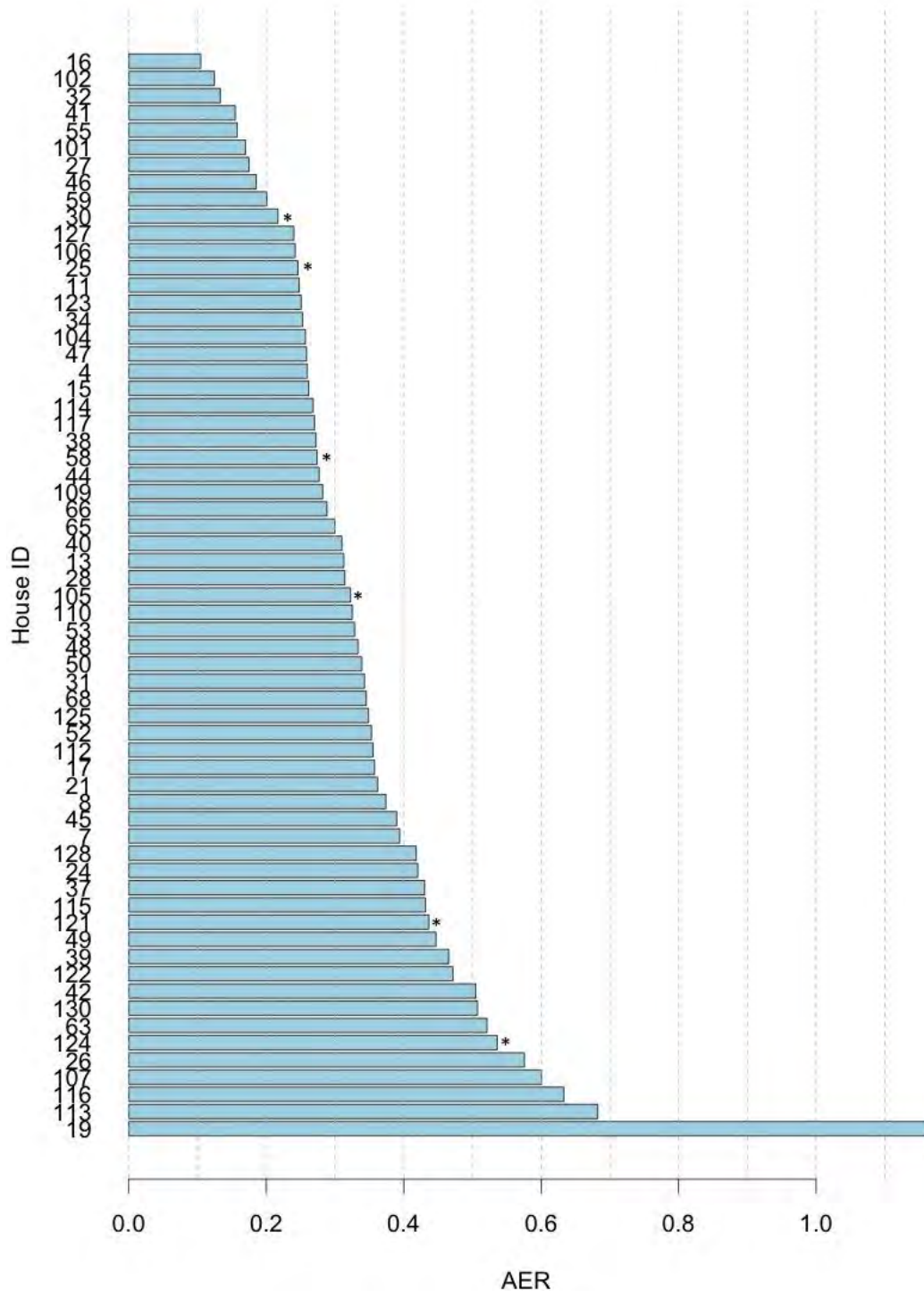
Figure 19 presents the total estimated air exchange rate (AER) provided by all mechanical fan flows and air infiltration. There are six homes identified in Figure 19 where occupants reported to have opened their house-to-patio and/or garage door(s) for more than 3 hours per day on average during the one-week monitoring; in these homes natural ventilation may have increased the overall AER substantially beyond what is estimated based on mechanical fan flow and air infiltration alone. Figure 19 also identified six homes in which the dwelling unit mechanical ventilation did not operate as designed to meet the Title 24 standard. Excluding results from these six homes suggest an AER estimate of about 0.35/h (mean = 0.37/h, median = 0.33/h), with most values between 0.20/h and 0.61/h, for homes complying with the standard. The air exchange rates estimated for homes operating with Title 24 compliant systems were higher than those measured by Offermann (2009) before the Title 24 standard was set in 2008. Offermann reported median AERs of 0.26/h for 107 homes measured during a single monitoring day and 0.24/h for 21 homes measured over a 2-week period.

**Figure 18 Mechanical and Total Ventilation Airflow Rate**



N=63. This plot excludes four homes that used supply ventilation because the mechanical airflow could not readily be measured. The plot also excludes three homes with missing DeltaQ test result because building envelope airtightness is required to calculate air infiltration (part of total ventilation).

Figure 19 Total Estimated Air Exchange Rate



### 3.3.5 Air Filters in Central Forced Air Systems

The characteristics and conditions of air filters installed in the forced air systems when the field teams arrived to the house are summarized in Table 27 to Table 30. Many homes (68%) had more than one air filter (Table 27). Almost all filters (96%) were rated MERV 8 or higher, and 30% were rated MERV 11 or higher (Table 28). The field team recorded any information they could obtain about the length of time since the filters were last changed and visually assessed filter loading. If the last change date was not marked on the air filter, study participants were asked to recall when the filter was last changed. Nineteen of the 85 filters (22%) for which data were obtained had not been changed within the past 12 months (Table 29). Eighteen of the 67 homes (27%) had at least one filter that appeared overdue for replacement (assessed onsite by the field team as “very dirty”) and roughly one fifth of all the air filters were assessed to be “very dirty” (Table 30).

**Table 27: Number of Air Filters Characterized Per Home**

Number of Air Filters	Number of Homes
1 Filter	22
2 Filters	34
3 Filters	10
4+ Filters	3
Total	69*

\* Statistics presented for homes with central forced air system only (one home, 113, has minisplit and no central forced air).

**Table 28: Air Filter MERV Ratings**

MERV	Number of Air Filters
6	2
7	2
8	57
10	17
11	22
12	1
13	9
14	1
Total	111

**Table 29: Time Since Last Air Filter Change**

<b>Marked or Estimated Time</b>	<b>Number of Air Filters</b>
0 to 2 Months	33
3 to 5 Months	16
6 to 8 Months	17
12 to 15 Months	8
Never Changed	11
Total	85

**Table 30: Condition of Air Filters Observed by Field Team**

<b>Air Filter Condition</b>	<b>Number of Homes</b>	<b>Number of Air Filters</b>
Clean or Like New	20	39
Used or Dirty	29	65
Very Dirty	18	24
Total	67*	128

\* Total excludes one home (113) without a central forced air system (this home had a minisplit heat pump with no filter for air quality), one home (127) without any air filters installed in the return air registers, and one home (117) for which field observations were missing.

### 3.3.6 Standalone Air Cleaners

The participant survey asked if a standalone (portable) air filter, air purifier, or air cleaner is used in the home. Fourteen replied yes. The percentage of homes that used air cleaners was higher in homes that also answered yes to whether anyone in the household has been diagnosed with asthma (33% versus 17%). Respondents reporting someone in the household with allergies were no more likely to have a standalone air cleaner compared to households without someone with allergies.

**Table 31: Use of Standalone Air Cleaners in Homes With/out Occupants Diagnosed with Asthma or Allergies**

<b>Standalone Air Cleaners</b>	<b>Asthma</b>		<b>Allergies</b>	
	Yes (N=18)	No (N=46)	Yes (N=37)	No (N=28)
Yes	6	8	8	6
No	12	38	29	22
Percentage of Homes with Standalone Air Cleaners	33%	17%	22%	21%

Among the homes that use standalone air cleaners, most study participants reported placing them in bedrooms.

**Table 32: Placement of Standalone Air Cleaners**

<b>Standalone Air Cleaners</b>	<b>Number of Homes (N=14*)</b>
Master Bedroom	6
Other Bedroom(s)	4
Living Room	3
Home Office	1
Laundry Room	2

\* Study participants have the option of selecting more than one location in survey.

### 3.4 Occupancy and Activity

Results of self-reported occupancy from the daily activity log filled out by participants during the study period are summarized in Table 33 and Table 34. Most of the homes had one to three occupants at home at any given time when occupied. Most homes (88% of those responding) were occupied 16 or more hours per day on average.

**Table 33: Self-Reported Average Occupancy (Number of People) When Home Was Occupied**

<b>Average Occupancy</b>	<b>Number of Homes</b>
1 to <2 People	23
2 to <3 People	20
3 to <4 People	14
4 to <5 People	4
5 to <6 People	4
6 to <7 People	3
No Response	2
Total	70

**Table 34: Self-Reported Average Number of Occupied Hours per Day During One-Week Monitoring**

<b>Number of Occupied Hours</b>	<b>Number of Homes</b>
> 23 Hours	16
20 to <23 Hours	27
16 to <20 Hours	17
12 to <16 Hours	3
6 to <12 Hours	3
< 6 Hours	2
No Response	2
Total	70

### 3.4.1 Self-Reported Window Use During Monitoring

The results in Table 35 and Table 36 show that the occupants reported that they mostly complied with the request to keep windows closed during the test period. The majority of homes (N=47) reported no window use. Only 21 homes reported some window used. Three homes (006, 110, 116) that opened a window regularly did so only for short periods (5 to 25 minutes) each time. Of the 68 participants who answered the question about window use only 6 opened windows for more than 3 hours per week and only one household reported opening windows for more than 7 hours during the week. It is important to note that the question asked only about window opening and did include opening a patio door, which can provide substantially more natural ventilation than an open window.

**Table 35: Self-Reported Window Use (Number of Times) During One-Week Monitoring Period**

<b>Number of Times</b>	<b>Number of Homes</b>
0	47
1–2	12
3–5	4
6–10	2
10–20	2
25	1
No Response	2
Total	70

**Table 36: Self-Reported Window Use (Total Length of Time) During One-Week Monitoring Period**

<b>Total Length of Time</b>	<b>Number of Homes</b>
0	47
<1 Hour	10
1 to 3 Hours	5
3 to 7 Hours	5
21 Hours	1
No Response	2
Total	70

### 3.4.2 Monitored Exterior Door Opening

Monitoring data from state open/close sensors show that in the majority of the 63 homes with valid data exterior doors were closed most of the time: in 90% of homes the garage-to-house door was open for less than 30 minutes per day on average and in 70% of homes the house-to-patio door was open for less than 30 minutes per day on average. There were six homes where the house-to-patio door(s) was open for more than 3 hours per days and may have added to the overall AER substantially (025, 030, 058, 105, 121, and 124). Another 4 homes had the patio door open for 1 to 3 hours. Since the amount of patio door opening was not recorded (door could have been open any amount between a crack and fully open), the impact of the open patio door on air exchange is not known. In House 025 the garage-to-house door was also open for more than 3 hours per day on average.

**Table 37: Average Duration of Door Opening Per Day During Monitoring Week**

<b>Average Duration of Door Opening Per Day</b>	<b>Door to Attached Garage</b>	<b>Patio Door</b>
	<b>Number of Homes</b>	
<30 Minutes	56	45
30 Minutes to 1 Hour	3	9
1 to 3 Hours	3	4
>3 Hours	1	6
Total	63	64



### 3.4.3 Self-Reported Cooking and Other Activities

Summary results for self-reported cooking activities are presented in Table 38 to Table 40. Of the 68 participants who provided information about cooking frequency, 50% said they used their cooktop 7 or more times per week, i.e. at least once per day on average; but only eight (12%) reported using the cooktop 15 or more times, i.e., more than twice per day on average. Ovens were used much less frequently and outdoor grills even less frequently. In 59% of the homes the average cooktop use lasted for 10–30 minutes and in another 29% the average cooktop use was between 30 and 60 minutes. Oven use was split more evenly between these times and outdoor grill use skewed even more to longer durations.

**Table 38: Self-Reported Cooktop Use (Number of Times) During Monitoring Week**

Number of Cooktop Use	Number of Homes
None	2
1–3 Times	16
4–6 Times	16
7–14 Times	26
15–21 Times	6
More than 21 Times	2
No Response	2
Total	70

**Table 39: Self-Reported Oven and Outdoor Grill Use During Monitoring Week**

Number of Uses	Number of Homes	
	Oven	Outdoor Grill
None	16	52
1 Time	14	9
2–3 Times	21	7
4–5 Times	11	0
6–8 Times	6	0
No Response	2	2
Total	70	70

**Table 40: Self-Reported Average Duration of Cooking Activities During One-Week Monitoring**

Number of Uses	Number of Homes		
	Cooktop	Oven	Outdoor Grill
Less than 10 Minutes	3	3	0
10–30 Minutes	40	20	5
30–60 Minutes	20	24	8
>60 Minutes	3	5	3
No Usage Reported	2	16	52
No Response	2	2	2
Total	70	70	70

### 3.5 Air Quality Measurements

The following discussion summarizes the field test results and compares indoor air quality measurements from HENGH to the results reported in Offermann (2009), herein described as the CNHS (for California New Home Study).

#### 3.5.1 Formaldehyde

Table 41 shows that in both HENGH and CNHS homes the vast majority of formaldehyde was from indoor sources, and that HENGH homes had lower indoor formaldehyde compared to CNHS homes, despite being newer when tested<sup>6</sup>. The mean indoor formaldehyde concentration was lower in HENGH by about 45% and the median was lower by about 38% compared to CNHS.

---

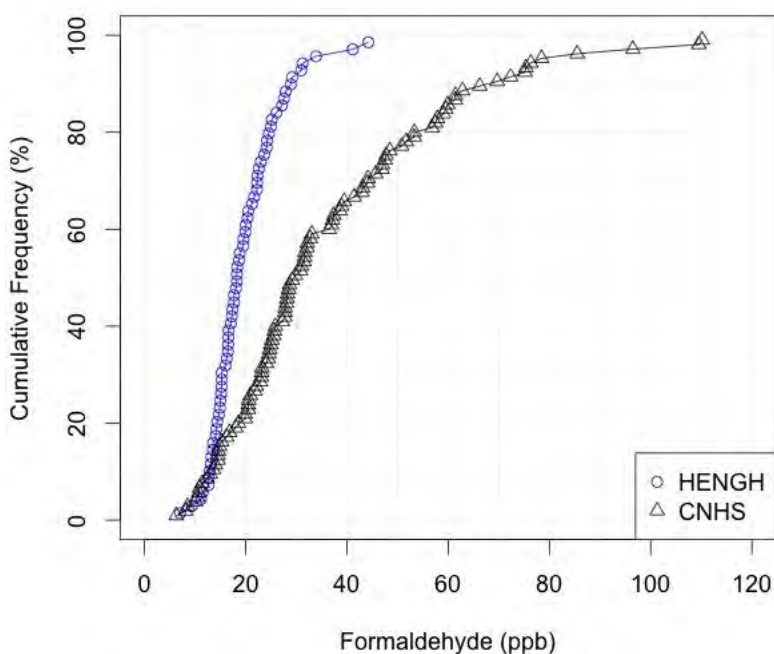
<sup>6</sup> There is some evidence (e.g., in Park and Ikeda, 2006) that formaldehyde emission rates are higher when homes are new.

**Table 41: Comparison of HENGH and CNHS Passive Formaldehyde Measurements**

Formaldehyde	HENGH	CNHS
Indoor	N=68	N=104
Mean (ppb)	19.8	36.3
Median (ppb)	18.2	29.5
Outdoor	N=68	N=43
Mean (ppb)	2.7	2.8
Median (ppb)	2.8	1.8

The six homes that had a patio or a house-to-garage door open for more than 3 hours per day on average did not have substantially lower formaldehyde and excluding those homes does not change the average indoor formaldehyde (mean = 19.9 ppb).

The distributions presented in Figure 20 show that 25% percent of the CNHS homes had formaldehyde concentrations higher than the highest formaldehyde level measured in any HENGH home.

**Figure 20: Comparison of HENGH and CNHS Passive Formaldehyde Measurements**

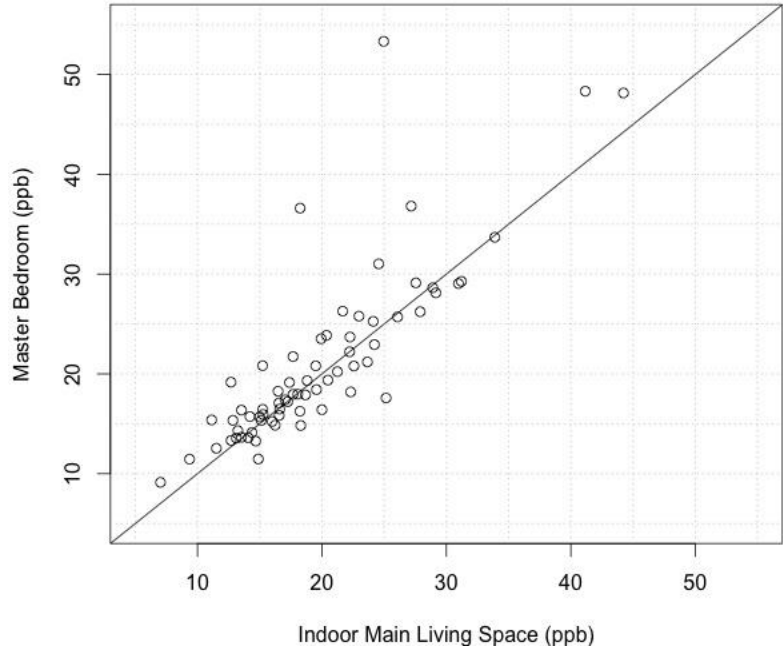
The substantial reduction in formaldehyde compared to the CNHS a decade earlier appears to result from both a lower emission rate and a reduction in homes that are severely under-ventilated. The mean indoor formaldehyde indoor emission rate calculated for homes in this study was  $6.8 \mu\text{g}/\text{m}^3\text{-h}$  (based on 61 homes with all of the required component data) compared to a mean  $13 \mu\text{g}/\text{m}^3\text{-h}$  calculated from 99 homes with the required component data in CNHS. The data required to calculate air exchange rate are indoor and outdoor formaldehyde concentrations and an estimate of the overall average air exchange rate over the week. For HENGH, only 61 homes had measured mechanical airflow and envelope air leakage (needed for calculating air infiltration rate) and valid indoor and outdoor formaldehyde concentrations. The CNHS estimated a wider range in formaldehyde indoor emission rates (10<sup>th</sup> to 90<sup>th</sup> percentile =  $4.0$  to  $23 \mu\text{g}/\text{m}^3\text{-h}$ ). The HENGH study found a narrower range (10<sup>th</sup> to 90<sup>th</sup> percentile =  $3.2$  to  $11.4 \mu\text{g}/\text{m}^3\text{-h}$ ). The reduction in indoor emission rate is likely a result from California's regulation to limit formaldehyde emissions from composite wood products that came into effect between the two studies. But it is important to note that our method of estimating AER based on mechanical airflow and air infiltration but excluding natural ventilation may have underestimated AER, and subsequently the formaldehyde indoor emission rate, by a small amount.

A potential indicator of the benefit of lower material emission rates is also apparent from the six HENGH homes that did not operate with code-compliant mechanical ventilation during the monitoring week, as discussed above in the section on air exchange rates. These included five homes in which occupants turned off the dwelling unit exhaust fan and a sixth in which the intermittent exhaust fan was not programmed correctly. Excluding these homes does not change the central estimate of indoor formaldehyde for HENGH: mean =  $19.7$  ppb, median =  $18.2$  ppb.

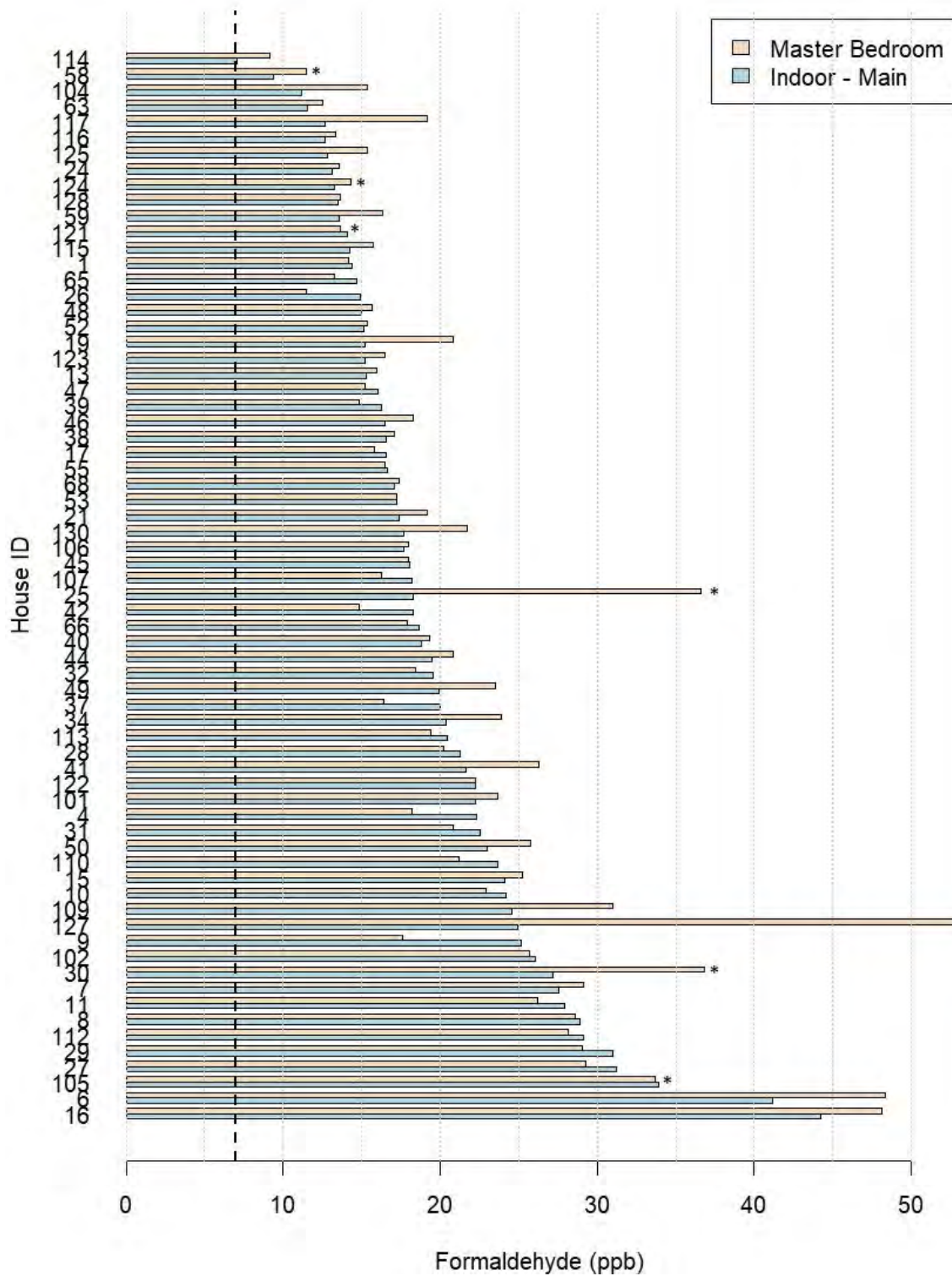
The lower formaldehyde concentrations measured by HENGH in comparison to CNHS are also partly the result of a higher baseline outdoor air exchange with mechanical ventilation. Many of the highest formaldehyde levels reported by Offermann were in CNHS homes that had air exchange rates below the minimum AER provided by mechanical ventilation systems in HENGH homes.

HENGH measured formaldehyde concentrations in the indoor main living space (e.g., living room) and also in master bedroom. Generally differences were small between locations; but in some homes a higher concentration of formaldehyde was measured in the master bedroom compared to the central monitoring location.

**Figure 21: One-Week Integrated Formaldehyde Measured with Passive Samples: Comparison of Concentrations at Bedroom and Central (Main) Indoor Locations**



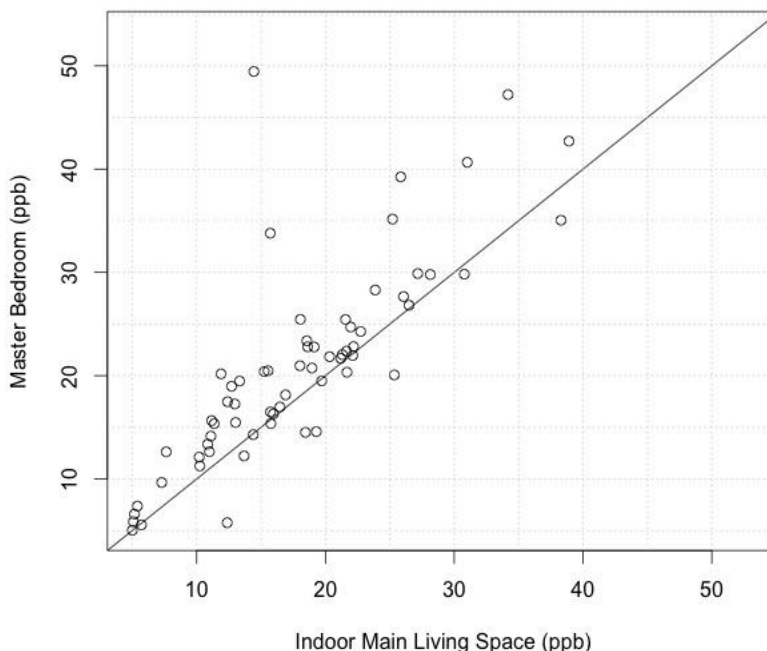
**Figure 22: One-Week Integrated Formaldehyde Measured With Passive Samplers at Two Indoor Locations, Ordered by Concentration at Central (Main) Site**



OEHHA REL (7 ppb) shown as dotted line. There are six homes (\*) where opening of the house-to-patio and/or garage door(s) for more than 3 hours per day on average may have increased the overall AER substantially (see earlier section for more details on window and door usage).

Indoor formaldehyde concentrations were also measured using time-resolved monitors that were co-located with the passive samples both at the indoor main living space and in the master bedroom. Figure 23 compares the one-week integrated formaldehyde concentrations measured by the time-resolved monitor at the two locations. Similar to results from passive samplers, higher formaldehyde concentrations were measured in the master bedroom of some homes, compared to the main living area.

**Figure 23: One-Week Integrated Indoor Formaldehyde Concentrations from Time-Resolved Monitor**

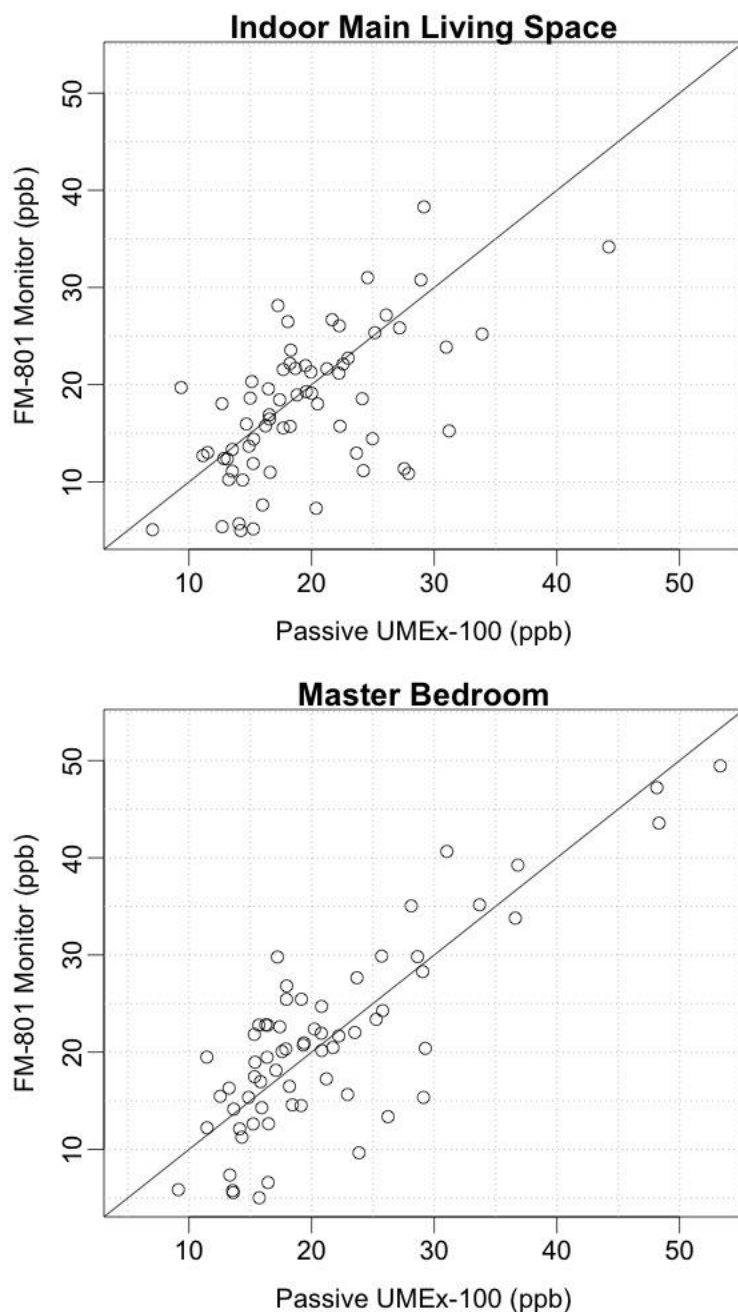


**Table 42: Comparison of Time-Integrated Formaldehyde Measurements Using UMEx-100 Samplers and Gray-Wolf FM-801 Monitors**

<b>Formaldehyde</b>	<b>UMEx-100 Samplers</b>	<b>Gray-Wolf FM-801 Monitors</b>
Indoor Main	N=68	N=67
Mean (ppb)	19.8	18.1
Median (ppb)	18.2	18.0
5 <sup>th</sup> to 95 <sup>th</sup> %tile (ppb)	11.9 – 31.1	5.5 – 30.9
Master Bedroom	N=68	N=66
Mean (ppb)	21.1	21.3
Median (ppb)	18.2	20.4
5 <sup>th</sup> to 95 <sup>th</sup> %tile (ppb)	12.8 – 36.7	6.0 – 42.2

Average formaldehyde concentrations measured by the real-time monitors provided similar aggregate results as the time-integrated passive samples (Table 42). However, considerable scattering was observed when comparing the time-average of the time-resolved to the time-integrated passive samples for each home (Figure 24). A better fit, in terms of  $R^2$  from linear regression, was obtained for paired measurements from the master bedroom.

**Figure 24: Comparison of Passive and Time-Resolved Formaldehyde Measurements**



Comparison of passive and real-time formaldehyde measurements averaged over a one-week period. Linear regression gives  $R^2 = 0.33$  for indoor main living space, and  $R^2=0.66$  for master bedroom.



Future analysis of the real-time monitored formaldehyde and estimates of air change rates will evaluate effects of temperature and relative humidity on indoor formaldehyde emission rates, as suggested in previous research (Parthasarathy et al., 2011).

### 3.5.2 Fine Particulate Matter (PM<sub>2.5</sub>)

PM<sub>2.5</sub> concentrations measured using real-time instruments (MetOne and pDR) were adjusted using gravimetric filter measurements to account for differences in particle size distribution between the field tests and instrument calibration. An adjustment factor (multiplier) was defined as follows:

$$\text{PM}_{2.5}(\text{real-time, adjusted}) = \text{PM}_{2.5}(\text{real-time, unadjusted}) \times \text{Adjustment Factor}$$

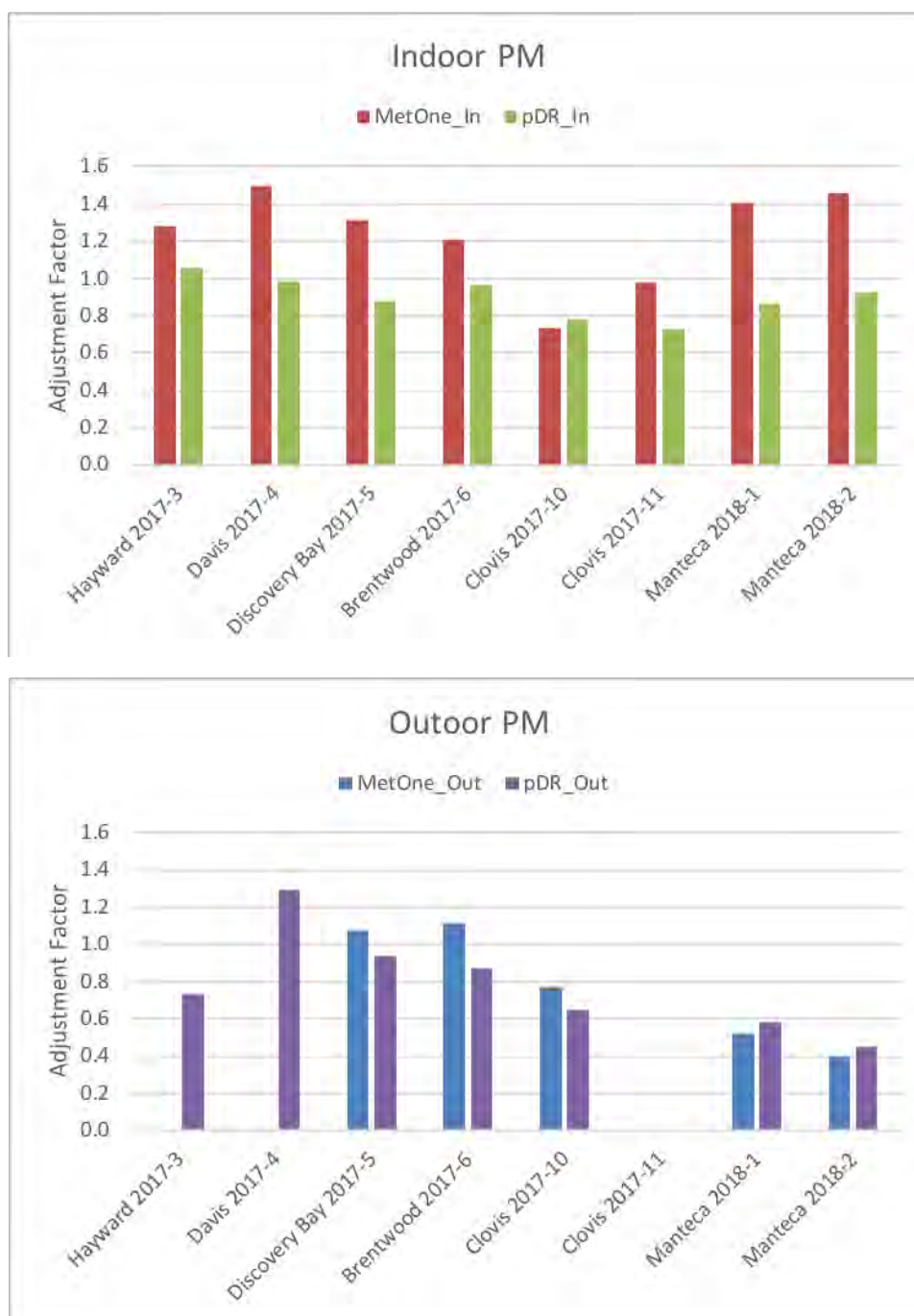
Figure 25 shows indoor and outdoor adjustment factors calculated from filter measurements indoors at 8 homes and outdoors at 7 homes for the pDR and 5 homes for the MetOne photometers. The adjustment factors for indoor measurements were not insignificant: they accounted for ~20% underestimate from MetOne, and ~10% overestimate from pDR, on average. The calculated adjustment factors were applied to all indoor measurements.

**Table 43: PM<sub>2.5</sub> Adjustment Factor Using Filter Measurements**

<b>PM<sub>2.5</sub> Instrument</b>	<b>Indoor</b>	<b>Outdoor</b>
MetOne	1.23	0.78
pDR	0.90	0.79

No adjustments were made for the outdoor measurements, even though Table 43 suggests that both MetOne and pDR may have overestimated the outdoor PM<sub>2.5</sub> concentrations. This is because unlike the adjustment factors estimated for indoor measurements (Figure 25), where MetOne consistently underestimated indoor PM<sub>2.5</sub> concentrations, and pDR consistently overestimated indoor PM<sub>2.5</sub> concentrations, the outdoor adjustment factors were more variable from home to home. The larger variability is thought to result from variations in particle size, mass distribution and compositions of outdoor PM<sub>2.5</sub>, as well as environmental conditions when the data were collected. Consequently, applying a single adjustment factor to outdoor PM<sub>2.5</sub> measurements would not have improved accuracy of the results. Future analysis could compare outdoor MetOne data with the PM<sub>2.5</sub> concentrations reported at nearby ambient air quality monitoring stations.

**Figure 25: PM<sub>2.5</sub> Adjustment Factors Calculated from Filter Measurements**



Column labels show city and year-month where real-time and filter measurements of PM<sub>2.5</sub> were collected.

Table 44 shows that the mean and median indoor PM<sub>2.5</sub> concentrations were much lower in HENGH than in CNHS. The median concentration outside of HENGH homes was also lower than the median outside of CNHS homes. The lower indoor PM<sub>2.5</sub> in HENGH compared to CNHS homes can only partly be attributed to the lower outdoor concentrations since the ratio

of median HENGH/CNHS indoor concentrations is 0.48 and the ratio of median outdoor concentrations is 0.78. The ratio of median indoor to median outdoor concentration was approximately 0.5 for HENGH homes and approximately 0.8 in the CNHS. Other possible explanations include the benefits of higher performance air filters in HENGH homes and a potential benefit of filtration by the building shell associated with the exhaust ventilation systems, as reported by Singer et al. (2017). The higher quality air filters in HENGH homes compared to CNHS would only be a factor in homes that in which the forced air systems operated for a substantial fraction of time during the week of monitoring. An analysis of the potential factors that could have resulted in the lower indoor concentrations and indoor/outdoor ratios is planned and will be reported separately when it is available.

While 20 of the 67 HENGH homes with outdoor data had outdoor PM<sub>2.5</sub> exceed the CalEPA annual ambient air quality standard of 12 µg/m<sup>3</sup>, only 12 of the 67 homes with indoor data had indoor concentrations exceed that benchmark (Figure 26).

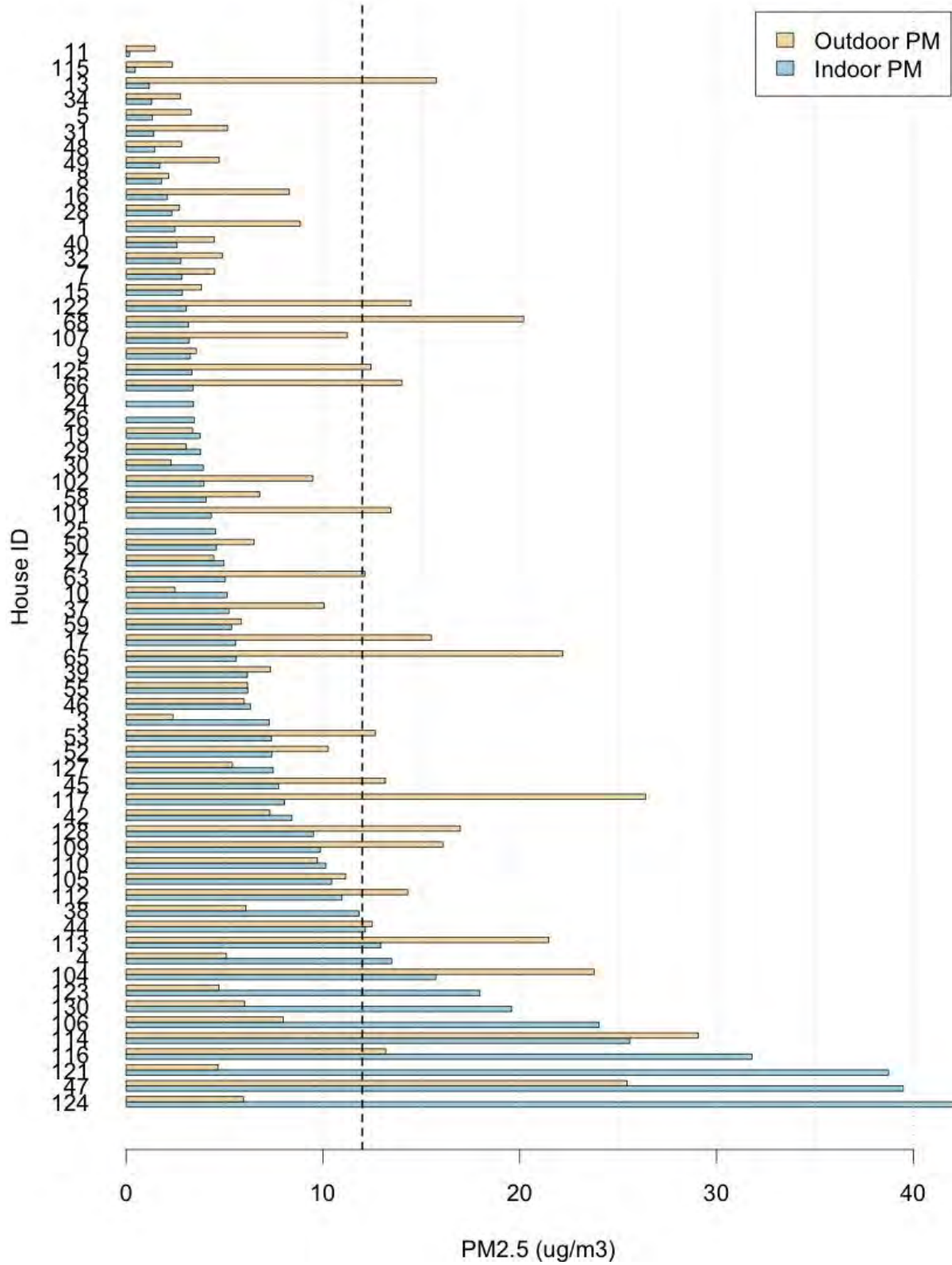
**Table 44: Comparison of HENGH and CNHS PM<sub>2.5</sub> Measurements**

<b>PM<sub>2.5</sub></b>	<b>HENGH</b>	<b>CNHS</b>
Indoor	N=67	N=28
Mean (µg/m <sup>3</sup> )	8.3	13.3
Median (µg/m <sup>3</sup> )	5.0	10.4
Outdoor	N=67	N=11
Mean (µg/m <sup>3</sup> )	9.3	7.9
Median (µg/m <sup>3</sup> )	6.8	8.7

To examine the dependence of indoor PM<sub>2.5</sub> concentrations on outdoor concentrations, Figure 27 shows the ratio of indoor to outdoor PM<sub>2.5</sub> in relation to outdoor PM<sub>2.5</sub>. Most homes (68%) showed an indoor/outdoor ratio less than unity. As expected, data suggested large variability in indoor/outdoor PM<sub>2.5</sub> ratios, with values ranging between 0.2 and 3.2 (5<sup>th</sup> to 95<sup>th</sup> percentile). The central estimates of indoor/outdoor PM<sub>2.5</sub> ratio are mean = 1.1 and median = 0.68.

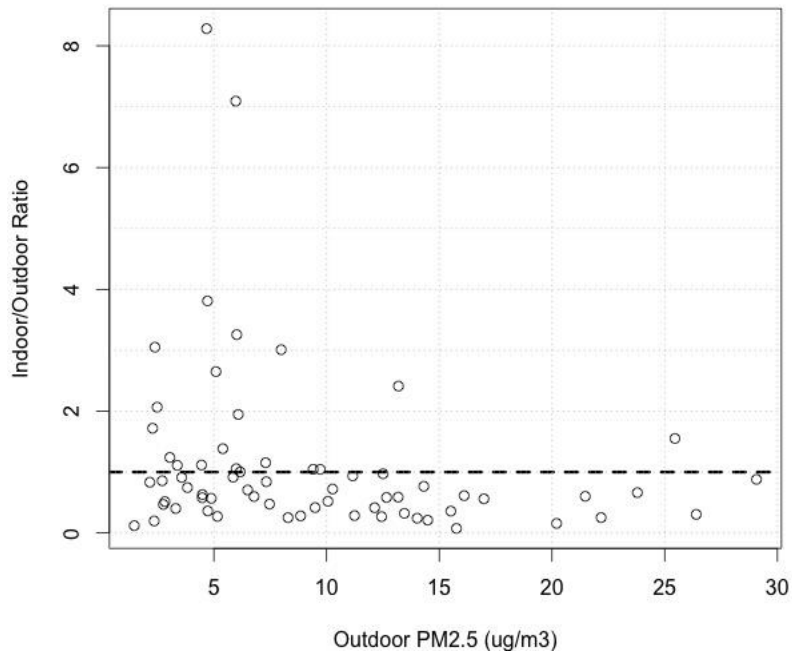
In homes that were monitored when outdoor PM<sub>2.5</sub> concentrations were relatively high (>15 µg/m<sup>3</sup>), the indoor/outdoor ratio (N=11) has a central tendency of about 0.55 (mean = 0.55, median = 0.56). Future analysis of PM<sub>2.5</sub> will seek to isolate contributions from indoor sources and calculate infiltration factors.

Figure 26: One-Week Average PM<sub>2.5</sub> Concentrations



CalEPA ambient air quality annual standard of 12 ug/m<sup>3</sup> showed as dotted line.

**Figure 27: Indoor/Outdoor PM<sub>2.5</sub> Ratio**



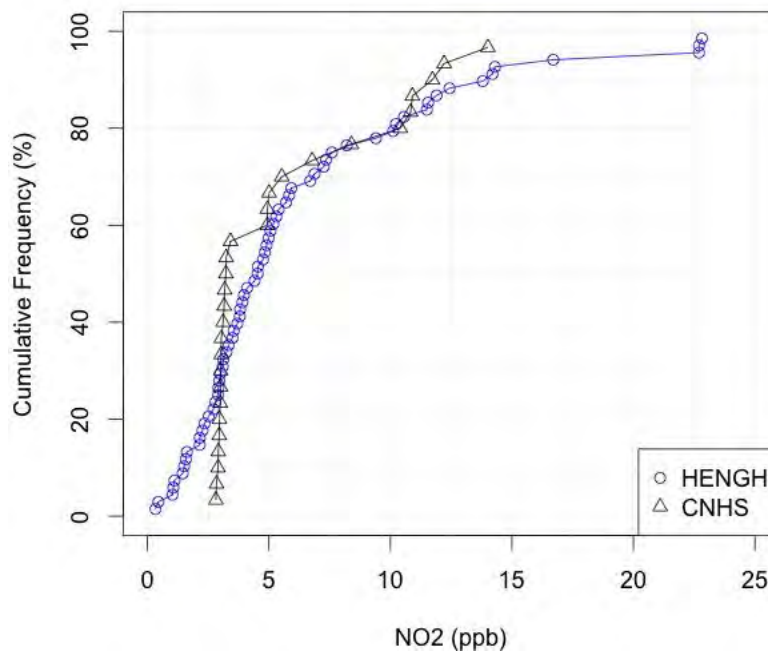
### 3.5.3 Nitrogen Oxides (NO<sub>x</sub>) and Nitrogen Dioxide (NO<sub>2</sub>)

The indoor NO<sub>2</sub> concentrations measured in HENGH were slightly higher than those reported in CNHS homes as shown in Table 45 and Figure 28 while median outdoor levels were similar in the two studies (Table 45). There were seven HENGH homes with indoor concentrations NO<sub>2</sub> concentrations that were similar or higher than the highest measured in any CNHS home. All of the measured NO<sub>2</sub> concentrations were well below the US EPA 53 ppb annual ambient air quality standard for NO<sub>2</sub>.

**Table 45: Comparison of HENGH and CNHS One-Week Integrated NO<sub>2</sub> Measurements**

NO <sub>2</sub>	HENGH	CNHS
Indoor	N=67	N=29
Mean (ppb)	6.2	5.4
Median (ppb)	4.5	3.2
Outdoor	N=66	N=11
Mean (ppb)	5.6	3.5
Median (ppb)	3.7	3.1

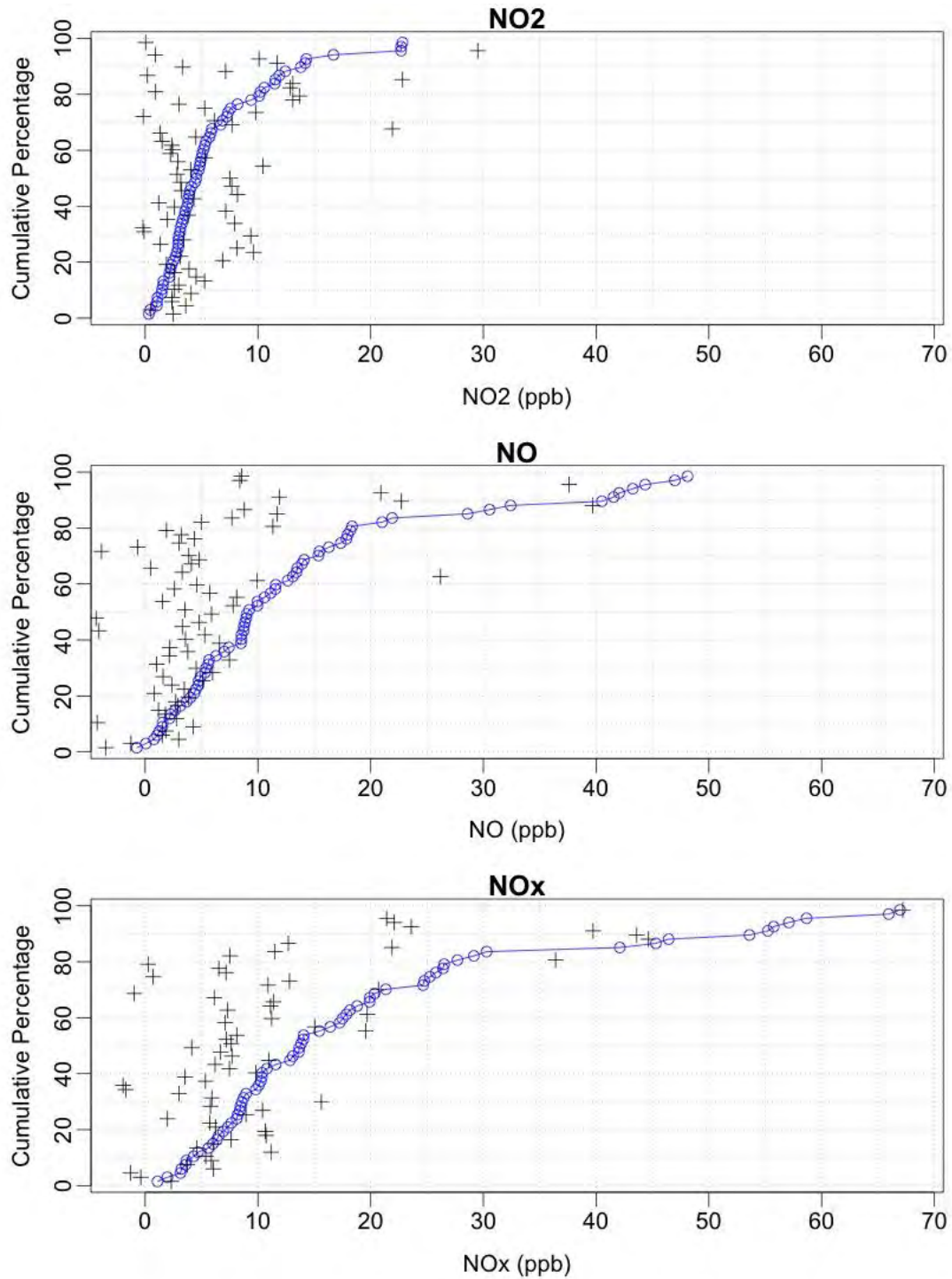
**Figure 28: Comparison of HENGH and CNHS One-Week Integrated NO<sub>2</sub> Measurements**



These results imply that the gas cooking appliances in the HENGH homes did not lead to widespread problems with indoor NO<sub>2</sub>; this is in contrast to a recent study that found gas cooking is a significant source leading to elevated NO<sub>2</sub> in California homes that cook frequently with gas burners (Mullen et al., 2016).

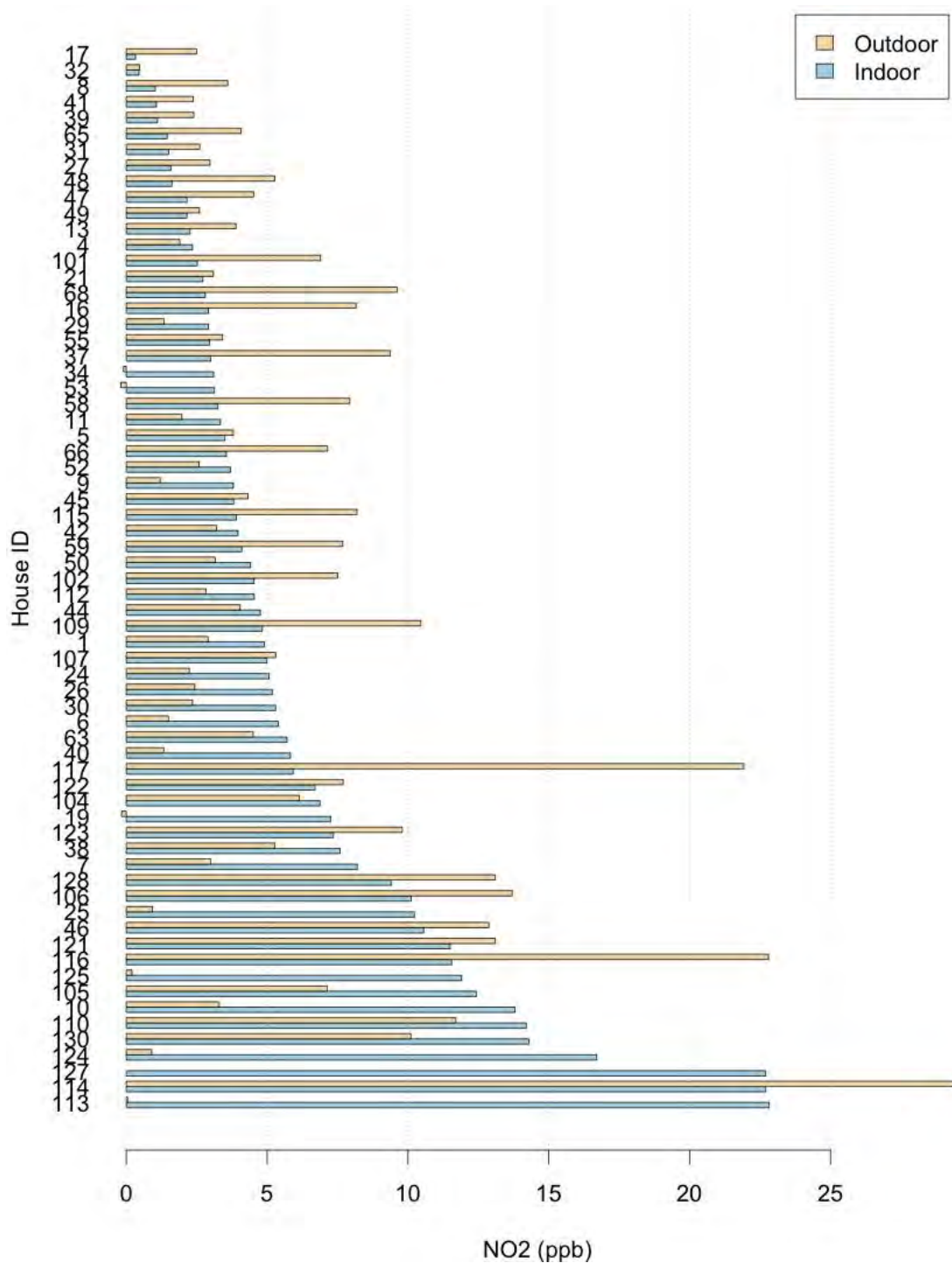
Even though NO<sub>2</sub> concentrations measured by HENGH are similar to levels found in CNHS, the two studies differed in that HENGH homes all used gas for cooking, whereas almost all homes (98%) from the prior study used electric ranges. For NO and NO<sub>x</sub>, Figure 29 shows that indoor concentrations were almost always higher than outdoors and that increased outdoor concentrations lead to increased indoor concentrations. For NO<sub>2</sub> deposition indoors results in indoor concentrations being substantially lower than outdoors when indoor sources represent a small contribution to total NO<sub>2</sub>.

**Figure 29: One-Week Integrated NO<sub>2</sub>, NO, and NO<sub>x</sub> Concentrations**



Ranked ordered indoor NO<sub>2</sub>, NO, and NO<sub>x</sub> concentrations plotted as blue circles. Corresponding outdoor concentrations plotted as black crosses.

Figure 30: One-Week Integrated NO<sub>2</sub> Indoor Concentrations from Passive Samples



All NO<sub>2</sub> concentrations below USEPA annual standard of 53 ppb.



### 3.5.4 Carbon Dioxide (CO<sub>2</sub>)

Figure 31 shows the distributions of average CO<sub>2</sub> concentrations over the monitoring period for various locations within the study homes. The highest time-averaged concentrations were in the master bedroom and the top 60% of the other bedroom locations were slightly higher than the main indoor living space.

**Figure 31: CO<sub>2</sub> Measurements in indoor main living space and bedrooms**

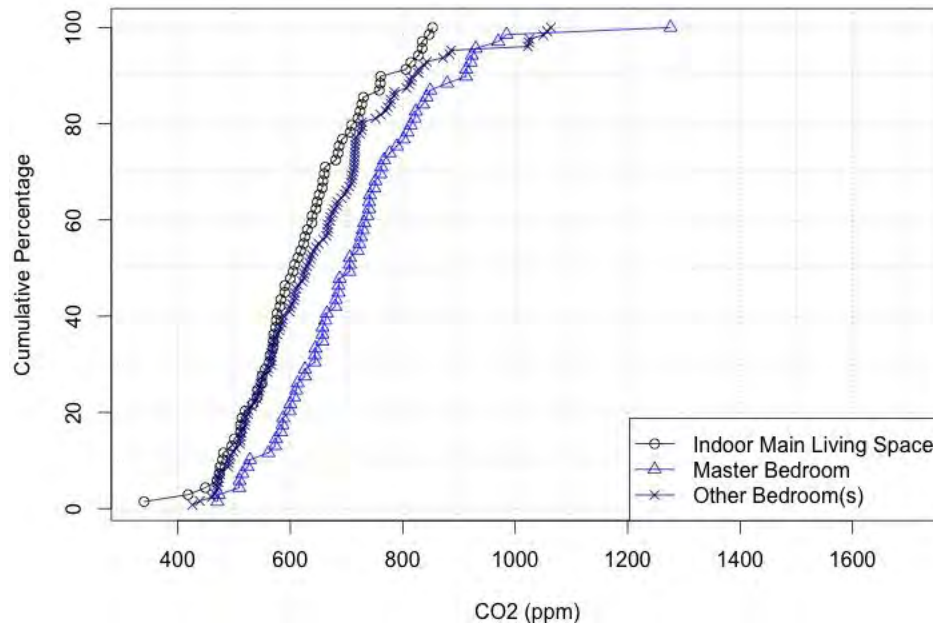


Table 46 shows that the median of time-averaged CO<sub>2</sub> concentrations across HENGH homes was substantially higher than the median for the CNHS sample, but the means for the two studies were very similar.

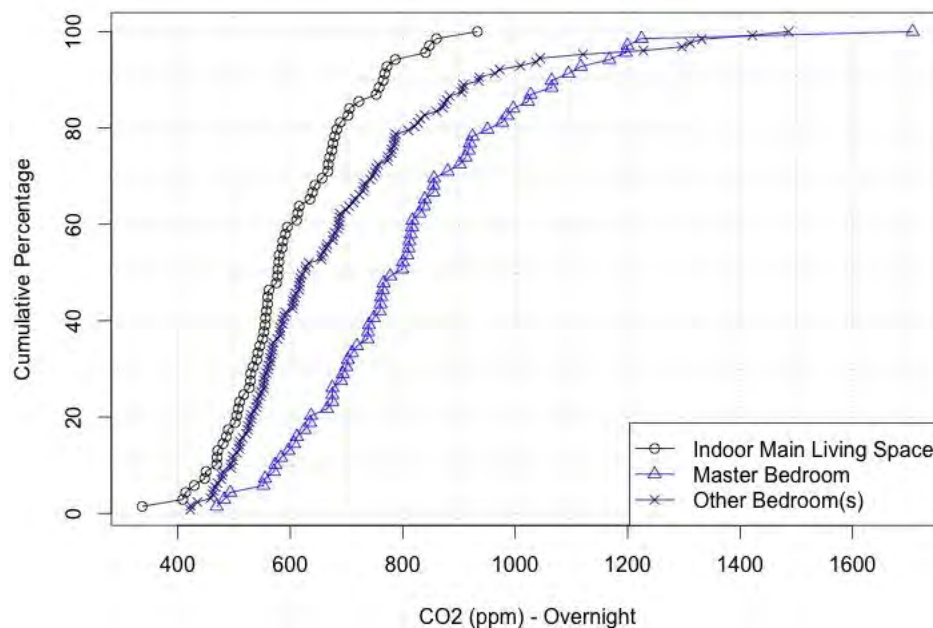
**Table 46: Comparison of HENGH and CNHS CO<sub>2</sub> Measurements**

CO <sub>2</sub>	HENGH	CNHS
Indoor	N=69	N=107
Mean (ppm)	620	610
Median (ppm)	608	564
10 <sup>th</sup> to 90 <sup>th</sup> %-tile (ppm)	481–770	405–890

In the absence of a consensus limit for CO<sub>2</sub> in residences, we use the ASHRAE 62.1 guideline level of 1100 ppm (700 ppm above the outdoor background of roughly 400 ppm) as a benchmark<sup>7</sup> for CO<sub>2</sub>. And considering that the ASHRAE guideline applies during occupied periods only, the average concentrations over an interval that include unoccupied periods should be solidly below this level. While only one home had time-averaged CO<sub>2</sub> above 1100 (in the master bedroom), several others had CO<sub>2</sub> above 1000 in other bedrooms. This suggests the possibility of concentrations exceeding 1100 during at least some occupied periods.

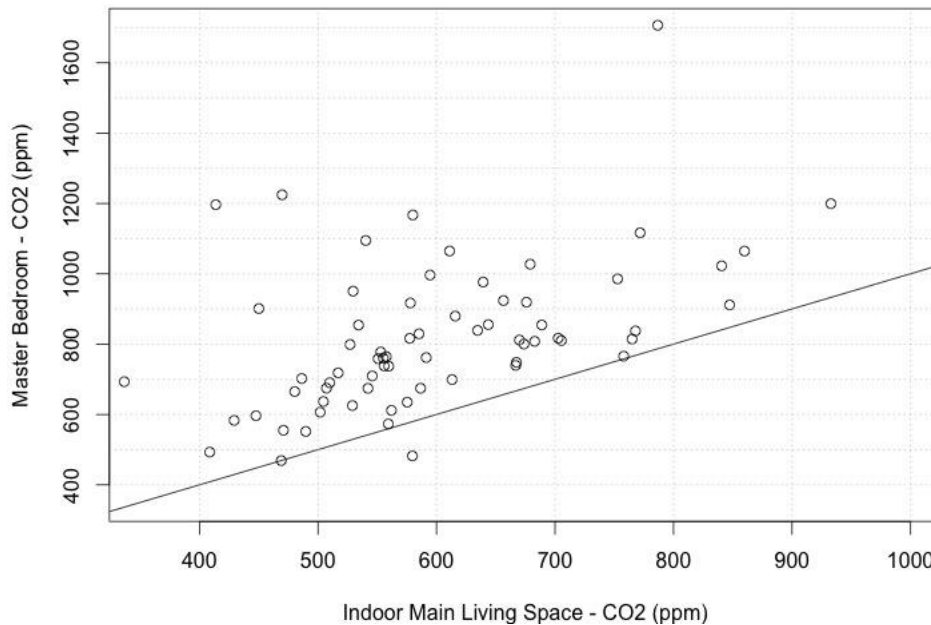
The difference in time-averaged CO<sub>2</sub> by indoor location results, unsurprisingly, from the bedrooms having much higher CO<sub>2</sub> overnight. Figure 32 shows the distributions of average CO<sub>2</sub> concentrations in each room, looking only at data from midnight to 5am (across all days with data during this time period). Six of the master bedrooms and 10% of the other bedrooms had mean CO<sub>2</sub> concentrations overnight in excess of 1100 ppm. Figure 33 compares the overnight CO<sub>2</sub> concentrations measured in the indoor main living space and master bedroom of the same homes.

**Figure 32: Overnight (midnight-5am) CO<sub>2</sub> Measurements in Indoor Main Living Space and Bedrooms**



<sup>7</sup> ASHRAE 62.1 guideline level of +700 ppm above outdoor background (currently about 400 ppm) is largely based on odor concern in commercial buildings, which is not intended for residences.

**Figure 33: Overnight (midnight-5am) CO<sub>2</sub> Measurements in Indoor Main Living Space and Master Bedroom**



### 3.5.5 Temperature and Relative Humidity

Time-averaged indoor temperature and relative humidity measured in this study were similar to CNHS. The (24h) time-averaged indoor air temperature results reported for the CNHS study had the same median and mean of 22.4 °C, and a range of 17.1 to 28.2 °C across homes. The mean indoor air temperatures measured over the roughly weeklong monitoring periods in HENGH homes had the same median and mean of 22.9 °C, and a range of 17.8 to 27.1 °C across homes. CNHS reported 24-hour average indoor relative humidity with a median of 43%, a mean of 45%, and a range of 20% to 64% across homes. The mean relative humidity measured over the roughly weeklong monitoring periods in HENGH homes had the same median and mean of 45%, and a range of 28% to 60% across homes.

## 3.6 Fan Sizing and Air Tightness Requirements from the Simulation Study

The dwelling unit ventilation fan sizing methods with the poorest weighted average IAQ (highest relative exposure) were those currently in Title 24 as compliance paths—the Fan Ventilation Rate Method and the Total Ventilation Rate Method. These had weighted average relative exposures of 1.3 and 1.4, respectively. Of all sizing methods, the proposed **Title 24 2019** sizing method maintained relative exposure closest to 1.0. The ASHRAE 62.2-2016 method and the ***Q<sub>total</sub>*** method were the next best approaches. The ASHRAE 62.2-2016 fan/infiltration superposition method consistently under-ventilated and had average relative exposure of about 1.09, while the ***Q<sub>total</sub>*** method consistently over-ventilated, with relative exposures averaging about 0.93. ***Q<sub>total</sub>*** was the only sizing method that maintained exposure below 1.0 in all

simulated cases. The best approaches from an IAQ standpoint were the *T24 2019* and *Qtotal* methods. They increased the weighted average energy use by 3 and 5% relative to the ASHRAE 62.2-2016 method. The difference in weighted average total consumption between any of these three sizing methods was roughly 300 kWh/year.

Most of the sizing methods had widely spread relative exposure values, meaning that most homes were either under- or over-ventilated relative to target rates in 62.2 and Title 24. This inconsistency increases the risk of either higher exposures to indoor emitted pollutants or excess energy consumption for individual homes, even when the weighted average results are acceptable. The ASHRAE 62.2-2016 fan sizing method, which accounts fully for infiltration and fan type (i.e., the differences between balanced and unbalanced fans), had the most consistent pollutant exposure and ventilation rates across all cases, irrespective of climate zone, fan type, airtightness or house prototype. This sizing method had average exposure of 1.09, due to biases in the exhaust fan sub-additivity calculations in ASHRAE 62.2-2016. If desired, the CEC could adopt an alternative sub-additivity formulation that would eliminate most of this bias, and should reduce average exposure very close to 1.0. The adopted Title 24\_2019 fan sizing method also had quite consistent exposure values, though it tended to over-ventilate leakier homes.

An airtightness requirement of 3 ACH50 in new California homes was found to have a predicted weighted average energy savings from 1 to 5% of total HVAC energy use, depending on what fan sizing method was used. Most of these savings were from reducing the ventilation rate and allowing higher concentrations of indoor emitted pollutants under the hypothetical airtightness requirement. The fixed airflow fan sizing methods saved more energy (roughly 3 to 5%) but worsened IAQ by increasing exposure by 5 to 24%. The energy savings are low because the majority of the projected new construction will be in mild climates, and because the interactions between unbalance mechanical ventilation and natural infiltration lead to small changes in total airflow when we tighten to this 3 ACH50 limit. Energy use decreased as weighted average exposure increased, essentially trading potentially higher pollutant exposure for improved energy performance. The sizing methods that accounted for infiltration and/or fan type had substantially reduced weighted average energy savings (1%), while they marginally improved IAQ (reduced exposure by roughly 3 to 4%) under an airtightness requirement. These fan sizing methods are designed to ensure a similar dwelling unit ventilation rate across levels of airtightness, which they did with moderate success. Savings from an air leakage requirement were roughly double in the 2-story vs. 1-story prototype homes, because of their increased natural infiltration rates. Savings were also higher in climates with the harshest weather (CZ16 and CZ1), but the lack of new construction in these zones nearly eliminated their effect on the weighted average results. When HVAC energy consumption was normalized by exposure to ensure equivalent IAQ in all simulated cases, the energy savings for airtightening from 5 to 3 ACH50 were well below 1% for all fan sizing methods.

The adopted fan sizing method in the 2019 Title 24 energy code produces results that are relatively independent of regarding air leakage limits, because it provided weighted average exposure nearly equal to 1 under both airtightness scenarios (existing and airtightened). Weighted average exposure would increase 5% with an air leakage limit in the energy code, though it would still be less than exposure achieved using the ASH622\_2016 sizing method.

Relative to the ASHRAE 62.2-2016 method, the adopted T24 2019 fan sizing method over-ventilates leaky homes (3 and 5 ACH50), with increased site energy consumption ranging from 70 to, 1,400 kWh/year, when averaged across climate zones. Our results suggest that unless occupant exposure to indoor generated contaminants is allowed to increase by 5-10%, then an airtightness limit will have very marginal savings of roughly 1% of annual HVAC energy. If exposure is allowed to increase, then savings of 3-5% are possible through airtightening.

# CHAPTER 4:

## Conclusions and Recommendations

### Conclusions

The following conclusions may be drawn from the field study of homes constructed since the 2008 version of the Title 24 Building Energy Efficiency Standards first required mechanical ventilation.

1. The vast majority of homes appear to have ventilation equipment that exceeds the minimum airflow requirements for dwelling unit and bathroom ventilation, and dwelling unit ventilation systems appear to be substantially oversized (by roughly 50% on average in the study sample). The oversizing appears to result from use of standard sizes of exhaust fans, as most homes with exhaust ventilation had either an 80 cfm or a 110 cfm fan. This suggests that increasing ventilation requirements in future versions of Title 24 may have only a small impact on the ventilation equipment installed in homes.
2. The most common equipment used to meet the dwelling unit ventilation requirement appears to be a single exhaust fan (used in 60 of 70 study homes). The most common control for these exhaust systems appears to be continuous operation (55 homes) and the most common location for the exhaust fan was the laundry room (48 homes).
3. Having a clear label on the controller – as required by the Standard – appears to greatly increase the chance that the dwelling unit ventilation system will be operated. It was common for the dwelling unit ventilation system to be turned off as the systems were operating in only 18 of 70 study homes when the field team arrived. It was uncommon for ventilation control switches to have informative labels as required by the Standards, as control switches were labeled in only 12 of 70 study homes. Homes with clearly labeled control switches were much more likely to have ventilation operating.
4. Understanding about ventilation systems appears to be mixed: just over half of the participants in this study said they understood how to operate the ventilation system in their home and about half of those who could recall said that the ventilation system was explained to them when they bought the house.
5. The kitchen ventilation equipment in many homes appears to meet most but not all of the requirements, specifically not meeting the requirement of moving  $\geq 100$  cfm at a setting with a certified sound rating of  $\leq 3$  sones. While most homes had a range hood or over-the-range microwave exhaust fan (OTR) that met the 100 cfm minimum airflow requirement, many of the range hoods and most of the OTRs did so only at medium or high speed, and some OTRs did not meet the airflow requirement even at the highest speed setting. An important caveat to this finding is that the OTR airflows could be biased low based on the measurement method, which required taping over the air inlets provided at the front top of some OTRs. Not all kitchen ventilation equipment was HVI certified. There is a need for the CEC to HERS verify compliance with the 62.2 requirement for the range hood fans to be HVI certified (as has been adopted in the 2019 Title 24 Part 6 standards).

6. Many homes had air filters in their forced air heating and cooling systems that should be at moderately to substantially effective at reducing PM<sub>2.5</sub> when operated. Of the 132 filters identified in study homes, MERV performance values were discerned for 111. Of these all but four were MERV8 or better and 33 were MERV11 or better. Eighteen of the 67 homes had at least one filter that appeared overdue for replacement (assessed onsite by the field team as “very dirty”) and roughly one fifth of all the air filters were assessed to be “very dirty”. Nineteen of the 85 filters for which data were obtained had not been changed within the past 12 months.
7. A substantial minority of field study participants reported discomfort or dissatisfaction with some environmental condition on a weekly basis during at least some season(s): roughly 30% reported too hot in summer, roughly 30% reported too cold in winter, roughly 20% reported not enough air movement, roughly 15% reported too hot in winter and roughly 10% too dry.
8. Similar to the results of prior surveys, a majority of participants reported no daily window opening in winter and roughly 20-25% reported no window opening during other seasons. This indicates an ongoing need for mechanical ventilation, as a substantial fraction of the population will not open windows to provide natural ventilation on a regular basis.
9. The envelope air tightness of California homes built 2012-2017 appears roughly similar to airtightness of homes built in the early 2000s, with over 80% of the homes falling in the range of 3–6 ACH50 under depressurization conditions. Only four of the study homes had envelopes tight enough to meet the 3 ACH50 requirement of the 2018 International Energy Conservation Code.
10. When operated with compliant dwelling unit mechanical ventilation and with windows closed, recently constructed homes appear as a group to have much lower formaldehyde than homes constructed a decade earlier and ventilated according to the owner’s preference (CNHS). HENGH homes had a mean of 20 ppb and median of 18 ppb whereas CNHS homes had a mean of 36 ppb and median of 29 ppb of formaldehyde. The lower formaldehyde appears to result from both lower emissions and greatly reducing the number of homes that are severely under-ventilated. The mean emission rate calculated from 61 HENGH homes with required data was 6.8 µg/m<sup>3</sup>-h. The mean of 99 CNHS homes with required data was 13 µg/m<sup>3</sup>-h.
11. The time-averaged concentrations of fine particulate matter (PM<sub>2.5</sub>) in the HENGH study homes (median of 5.0 µg/m<sup>3</sup>) were generally lower than those reported in a subset of the California new homes studied a decade earlier (CNHS, median of 10.4 µg/m<sup>3</sup>). And the ratio of indoor median to outdoor median decreased from roughly 0.8 for the CNHS homes to roughly 0.5 in the HENGH homes. If indoor emissions of PM<sub>2.5</sub> were not greatly different, this result suggests that more recently constructed homes may be providing a higher level of protection from outdoor particles. Further analysis is needed to resolve the factors that could be leading to these results.
12. Despite having and using gas cooking appliances – cooktops were used 7 or more times in 38 homes and 15 or more times in 8 homes – the time-averaged nitrogen dioxide (NO<sub>2</sub>) concentrations in study homes were not much higher than in the CNHS study, in

which 98% had electric cooking appliances. It is still possible that some HENGH homes may have had high concentrations of NO<sub>2</sub> over short periods when cooking occurred. Time resolved NO<sub>2</sub> data collected with a sensor-based IAQ monitor will be analyzed in the future to evaluate this question.

13. Our simulation results suggest that the adopted changes to fan sizing in the 2019 Title 24 results in relative exposures close to one (i.e., meeting the IAQ requirements set forth in ASHRAE 62.2 -2016) across a wide range of homes and climates. Relative to the ASHRAE 62.2-2016 method, the adopted T24 2019 fan sizing method over-ventilates leaky homes (3 and 5 ACH50), with increased site energy consumption ranging from 70 to, 1,400 kWh/year, when averaged across climate zones. Unless occupant pollutant exposure is allowed to increase by 5-10% relative to target rates, then an airtightness limit (suggested to be 3 ACH50) will have very marginal statewide weighted average savings of roughly 1% of annual HVAC energy. If exposure is allowed to increase, then savings of 3-5% are possible through airtightening. If pollutant exposure is held constant in new California homes, then energy savings from airtightening will be well below 1%.

## Recommendations

In light of the findings that acceptable indoor air quality was achieved in almost all homes built to meet the 2008 or more recent Title 24 Building Energy Efficiency Standards, and that IAQ was generally improved relative to homes constructed before mechanical ventilation was required, we strongly recommend that the core ventilation requirements of dwelling unit and local exhaust ventilation should remain in the Title 24 Building Energy Efficiency Standards for the foreseeable future.

In light of the finding that many of the range hoods and most of the over the range microwave exhaust fans could achieve the required 100 cfm of airflow only at medium or higher speeds (which are likely louder than 3 sones), and that some OTRs could not achieve 100 cfm even at the highest setting, we recommend that builders pay more attention to selecting range hoods and OTRs that are certified by the Home Ventilating Institute as meeting the airflow and sound requirements and also take care to install low resistance ducting to maximize range hood and OTR airflow. We recommend that the Commission engage with HVI efforts to develop a certification for capture efficiency tests for range hoods and consider adding an explicit capture efficiency requirement for range hoods. An important caveat to this finding is that the OTR airflows could be biased low based on the measurement method, which required taping over the air inlets provided at the front top of some OTRs.

Recognizing that many homes were not using their dwelling unit mechanical ventilation systems when first visited by the research team, and the additional findings that the control switches in the majority of homes did not have clear labeling and those with clear labels were much more likely to be operating, we recommend that the Commission and the building industry work together to ensure that ventilation system controllers or switches in all new homes are equipped with *durable and understandable* labels describing their purpose and the importance of operating the dwelling unit mechanical ventilation system.



Confirming airflows in supply ventilation systems presents a general challenge for demonstrating compliance with ventilation standards. In this study, we encountered four homes with supply ventilation systems that could not be measured to verify airflows without substantial effort. There were accessibility challenges both with the exterior roof level inlets (which could only be reached with an extension ladder) and with ducts, which were encased in spray foam insulation. This indicates a need to find alternative measurement approaches to show compliance. One possibility is to add a requirement to the Title 24 Building Energy Efficiency Standards that ventilation equipment must incorporate an onboard diagnostic or technology to verify airflow as installed. We recommend that the Commission coordinate with entities that develop field methods to measure airflow for ventilation systems (e.g., RESNET Standard 380) to address this challenge.

Implementing the Title 24 2019 fan sizing approach had lower pollutant exposure and higher energy consumption than the ASHRAE 62.2-2016 method and gave consistent robust results with little variation in exposure across a wide range of homes and climates. If new home envelopes are tightened to below 3 ACH50 and ventilation fans are sized using the 2019 Title 24 requirements, exposure will increase by about 5% in new homes, while total HVAC energy use will be reduced by roughly 3%.

## GLOSSARY

Term	Definition
ACH50	Air changes per hour at a pressure different of 50 Pascals between the living space and outdoors
AER	Air Exchange Rate
ASHRAE	American Society of Heating, Refrigerating and Air-Conditioning Engineers
CalCERTS	California's Home Energy Rating System (HERS) provider
CalEPA	California Environmental Protection Agency
CFM	Cubic feet per minute
CHEERS	California's Home Energy Rating System (HERS) Provider
CNHS	California New Home Study – the precursor to this study that investigated homes pre-mechanical ventilation requirements
CO <sub>2</sub>	Carbon Dioxide
DeltaQ	DeltaQ Test – for measuring building envelope and duct leakage
EPIC	Electric Program Investment Charge
GTI	Gas Technology Institute
HENGH	Healthy Efficient New Gas Homes – the title of this study
IAQ	Indoor Air Quality
LBNL	Lawrence Berkeley National Laboratory
MERV	Minimum Efficiency Rating Value – a rating for air filters for removing particles. A higher value implies more removal of smaller particles.
NO	Nitrogen Monoxide – a byproduct of combustion
NO <sub>2</sub>	Nitrogen Dioxide – a byproduct of combustion
NO <sub>x</sub>	Various oxides of nitrogen – byproducts of combustion
Pa	Pascal
ppb	Parts per billion
PG&E	Pacific Gas and Electric Company
ppm	Parts per million

<b>Term</b>	<b>Definition</b>
PM <sub>2.5</sub>	Particle mass less than 2.5 microns in diameter – usually expressed as a concentration in mass per unit volume
OEHHA	Office of Environmental Health Hazard Assessment
OTR	Over-the-range microwave
REL	Reference Exposure Level
RESNET	The National Home Energy Rating Network
SoCalGas	Southern California Gas Company
Title 24	California Building Energy Efficiency Standards
ug/m <sup>3</sup>	Microgram per meter cube
USEPA	United States Environmental Protection Agency
VOC	Volatile Organic Compound

## REFERENCES

- ASHRAE. 2007. ASHRAE Standard 62.2-2007. Ventilation and Acceptable Indoor Air Quality in Low-Rise Residential Buildings. ASHRAE, Atlanta, GA.
- ASTM E1554-2013, Standard Test Methods for Determining External Air Leakage of Air Distribution Systems by Fan Pressurization. ASTM International, West Conshohocken, PA
- Chan, WR, J Joh, and MH Sherman. (2013) Analysis of Air Leakage Measurements of US Houses, *Energy and Buildings*, **66**, 616-625.
- Chan, WR, RL Maddalena, JC Stratton, T Hotchi, BC Singer, IS Walker, and MH Sherman. 2016. Healthy Efficient New Gas Homes (HENGH) Pilot Test Results. Lawrence Berkeley National Laboratory, Berkeley, California. LBNL-1005818
- Chan, WR, YS Kim, BC Singer, IS Walker, and MH Sherman. 2016. Healthy Efficient New Gas Homes (HENGH) Field Study Protocol. Lawrence Berkeley National Laboratory, Berkeley, California. LBNL-1005819
- Eklund, K., Kunkle, R., Banks, A. and Hales, D. (2015) Pacific Northwest Residential Effectiveness Study - FINAL REPORT Portland, OR, Northwest Energy Efficiency Alliance, Prepared by Washington State University Energy Program, NEEA Report #E15-015.
- ICC. (2018). International Energy Conservation Code. International Code Council.
- Kim, Y-S., Walker, I.S. and Delp, W.W. (2018). Development of a Standard Capture Efficiency Test Method for Residential Kitchen Ventilation. Science and Technology for the Built Environment. Vol. 24, No. 2. doi:10.1080/23744731.2017.1416171
- Less, B, IS Walker, and MH Sherman. 2018. Fan Sizing and Airtightness Requirements for New California Homes. Lawrence Berkeley National Laboratory, Berkeley, California. LBNL Report Number Pending.
- Mullen, N.A., Li, J., Russell, M.L., Spears, M., Less, B.D. and Singer, B.C. (2016) Results of the California Healthy Homes Indoor Air Quality Study of 2011-2013: impact of natural gas appliances on air pollutant concentrations, *Indoor Air*, **26**, 231-245.
- Offermann, F. (2009). *Ventilation and Indoor Air Quality in New Homes* (No. CEC-500-2009-085). California Energy Commission.
- Park, J. and Ikeda, K. (2006). Variations of formaldehyde and VOC levels during 3 years in new and older homes. *Indoor Air*; 16: 129-135. doi:10.1111/j.1600-0668.2005.00408.x
- Parthasarathy, S., Maddalena, R.L., Russell, M.L., and Apte, M.G. (2011) Effect of Temperature and Humidity on Formaldehyde Emissions in Temporary Housing Units, *Journal of the Air & Waste Management Association*, 61:6, 689-695. DOI: 10.3155/1047-3289.61.6.689

- Price, P. P., Sherman, M., Lee, R. H., and Piazza, T. (2007) *Ventilation Practices and Household Characteristics in New California Homes*. California Energy Commission Report number CEC-500-2007-033. Final Report, ARB Contract 03-326.
- Price, P. and Sherman, M.H. (2006). Ventilation Behavior and Household Characteristics in New California Houses. Lawrence Berkeley National Laboratory Report number LBNL 59620.
- Singer, B.C., Delp, W.W., Black, D.R., and Walker, I.S. (2017) Measured performance of filtration and ventilation systems for fine and ultrafine particles and ozone in an unoccupied modern California house. *Indoor Air* 27(4) 780-790. doi:10.1111/ina.12359
- Sonne, J.K., Withers, C. and Vieira, R.K. (2015) Investigation of the effectiveness and failure rates of whole-house mechanical ventilation systems in Florida, Vol. Final Report, Cocoa, FL, Florida Solar Energy Center, FSEC-CR-2002-15.
- Stratton, J.C., Walker, I.S. and Wray, C.P. (2012) Measuring Residential Ventilation System Airflows: Part 2 - Field Evaluation of Airflow Meter Devices and System Flow Verification, Berkeley, CA, Lab, L. B. N., Lawrence Berkeley National Lab, LBNL-5982E.
- Walker, I.S., Wray, C.P., Dickerhoff, D.J. and Sherman, M.H. (2001) Evaluation of flow hood measurements for residential register flows, Berkeley CA, Lawrence Berkeley National Laboratory, LBNL-47382.

# **APPENDIX A: IAQ Survey Results from the Healthy, Efficient, New Gas Homes Study**

(Appendix A provided in a separate document.)

## **APPENDIX B:**

# **Title 24 Fan Sizing and Airtightness Requirements for New California Homes**

(Appendix B provided in a separate document.)

## **APPENDIX C: Healthy Efficient New Gas Homes (HENGH) Pilot Test Results**

(Appendix C provided in a separate document.)



## **APPENDIX D: Daily Activity Log and Occupant Survey**

(Appendix D provided in a separate document.)